

## **Application for the 2012 Science and Service Awards for Opioid Treatment Programs (OTP)**

**December 5, 2011**

Dear OTP Director:

On behalf of the Substance Abuse and Mental Health Services Administration (SAMHSA), you are invited to apply for SAMHSA's inaugural **2012 Science and Service (SAS) Awards for Opioid Treatment Programs (OTPs)**. The awards are designed to recognize excellence in opioid treatment services by programs who have successfully implemented innovative services, practices, and/or strategies, resulting in improved patient outcomes. OTPs that are certified and accredited by SAMHSA are eligible to apply for these awards.

This Science and Service Awards Program promotes excellence in the treatment of opioid addiction by increasing the integration of innovative approaches in opioid treatment services, including medical, clinical, administrative, structural, or systems change approaches that improve the patients' quality of care, as well as approaches that establish and implement system-wide processes for integration of services with health care systems. Five OTP programs will be selected during this award cycle. Winners will receive full support to travel to the 2012 American Association for the Treatment of Opioid Dependence (AATOD) conference in Las Vegas in April 2012 to accept the award.

To broaden the professional network, and allow award recipients to share with colleagues at the state and local levels, SAMHSA will notify the winning applicants' government leadership (federal, state, and local) of the awards. Additionally, winners will be featured on SAMHSA's Science and Service Awards web page and highlighted in an article in the *SAMHSA News*.

The application will be available on **Monday, December 5, 2011** on SAMHSA's website (<http://www.samhsa.gov/ScienceandService/index.aspx>). The deadline for submitting a completed application is **Friday, January 13, 2012, at 5:00 pm, Eastern Standard Time**. We encourage you to give this opportunity your full consideration, and support SAMHSA's efforts to improve services, increase competencies, and enhance contributions to the quality of patient care.

Sincerely,

Robert Lubran, MS, MPA  
Director, Division of Pharmacologic Therapies, CSAT

cc: Alina Salvatore, RPh, MS, CSAT  
Suzan Swanton, LCSW-C, CSAT  
Carmelita Grady, Ph.D. CRP, Inc.

***Attachments***

**2012 SCIENCE AND SERVICE AWARDS  
FOR OPIOID TREATMENT PROGRAMS**

# **OTP APPLICATION**



Sponsored by:

U.S. Department of Health and Human Services (DHHS)  
Substance Abuse and Mental Health Services Administration (SAMHSA)

**Application Due Date: January 13, 2012**

**2012 OTP Science and Service Awards Program**

**APPLICATION CHECKLIST**

- Application Cover Sheet** (with signature)
  
- Program Abstract**
  
- Application Narrative** (not to exceed 15 pages)
  
- Organizational Chart** (does not count against page limit)
  
- Resume(s)** (does not count against page limit)

**OTP APPLICATION COVER SHEET**  
(Include with Application)

SAMHSA OTP ID: \_\_\_\_\_

Name of OTP: \_\_\_\_\_

Name of Treatment Facility: \_\_\_\_\_

Street Address of OTP: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_

Zip Code: \_\_\_\_\_

Telephone: \_\_\_\_\_

Fax: \_\_\_\_\_

OTP Provider Contact Name: \_\_\_\_\_

Position: \_\_\_\_\_

Telephone: \_\_\_\_\_

Fax: \_\_\_\_\_

E-Mail: \_\_\_\_\_

*I have reviewed the information in this application and certify that it is accurate to the best of my knowledge.*

\_\_\_\_\_  
**SIGNATURE OF OTP DIRECTOR**

\_\_\_\_\_  
**DATE**

**PROGRAM ABSTRACT**

**(Not to exceed 500 words; please return with application)**

**Instructions:**

Summarize your service, program, or practice that is being considered for the OTP award. At a minimum provide: the name of the innovation, its goal(s), the innovation's main focus, a profile of the patients served, the innovation's start date and duration, and outcomes of the approach. Describe the content and context of the approach (i.e., community or place in which it is conducted). Finally, include research support, if any, and discuss the innovation's creativity, originality, and utility in delivering quality service.

*Programs and interventions may include innovative approaches, evidence-based treatment, practice-based treatment, and promising and innovative treatment approaches.*

**Application and Project Timeline**  
**(December 2011-April 2012)**

<b>Call for Applications</b>	<b>December 5, 2011</b>
Opioid Treatment Programs (OTPs) Application Release	<b>December 5, 2011</b>
Submission of Questions to CRP by Potential Applicants	<b>December 8, 2011</b>
Responses to Questions	<b>December 13, 2011</b>
Webinar for OTP Applicants	<b>December 15, 2011</b>
<b>APPLICATION DUE</b>	<b>January 13, 2012</b>
Panel Review Period	<b>January 18-27, 2012</b>
On-site Reviews of OTP Finalists	<b>February 13-22, 2012</b>
Notice of Science and Service Awards	<b>March 2, 2012</b>
Awards Ceremony 2012 American Association for the Treatment of Opioid Dependence Conference	<b>April 2012</b>

**For additional information contact:**

**Carmelita Grady, Ph.D.**  
**Task Leader**  
Science and Service Awards  
CRP, Incorporated  
(240) 247-2142  
cgrady@crpcorp.com

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## I. INTRODUCTION AND BACKGROUND

SAMHSA's Center for Substance Abuse Treatment (CSAT) has been in the forefront of developing, expanding, and adopting innovative substance abuse treatment practices in treatment settings. Critical to this policy goal is SAMHSA's Science and Service Initiative, an agency wide effort to facilitate implementation of effective and innovative substance abuse prevention, treatment, and mental health interventions into routine practice.

In 2007, SAMHSA created the Science and Service Awards Program to recognize community-based organizations for exemplary implementation of innovative services. While scientific research has led to effective treatments for opioid addiction, how and if an innovative practice is translated and implemented into routine or "real world" treatment settings is influenced by individual, organizational, and systemic barriers.

The SAMHSA Science and Service Awards Program advances SAMHSA's interest in a strong infrastructure of certified and accredited OTPs with the capacity to implement and sustain innovative practices for the treatment and maintenance of patients with opioid dependency.

The Science and Service Awards Program also supports SAMHSA's overall efforts to improve the treatment of opioid-related disorders and to reduce methadone mortalities nationwide. Opioid addiction is a growing problem in the United States. According to the 2010 National Survey on Drug Use and Health (NSDUH), 140,000 persons aged 12 or older reported using heroin for the first time within the past year.<sup>1</sup> Although the prevalence was not significantly different from 2002 to 2009, (i.e., 91,000 to 180,000 per year),<sup>2</sup> heroin remains the primary drug of abuse for thousands of Americans (SAMHSA 2010). Heroin, however, is not the only opioid that is abused. According to data from the National Survey on Drug Use and Health (NSDUH), prescription drug abuse is the nation's fastest-growing drug problem. For example, NSDUH data show that nearly one-third of people aged 12 and over who used drugs for the first time in 2009,<sup>3</sup> began by using a prescription drug non-medically.

The same survey found that more than 70 percent of people who abused prescription pain relievers got them from friends or relatives, while approximately 5 percent obtained them from a drug dealer or from the Internet.<sup>4</sup> Additionally, the latest Monitoring the Future (MTF) study—the nation's largest survey of drug use among young people—showed that prescription drugs are the second most-abused category of drugs after marijuana.<sup>5</sup>

Finally, while there has been a marked decrease in the use of some illegal drugs like cocaine,<sup>6</sup> the increase in opioid abuse places greater demands on the nation's treatment care system. It also requires more accountability in an era when opioid treatment providers are expected to demonstrate evidence of treatment effectiveness.

The **2012 Science and Service Awards (SAS) for Opioid Treatment Programs** aims to identify and publicly recognize OTPs that use pharmacotherapy as part of their treatment protocol and have demonstrated "outcomes that matter" through the implementation of innovative practices. Approaches can vary along a continuum of evidence-based practices, practice-based evidence or promising and

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<sup>1</sup>.Results from the 2009 National Survey on Drug Use and Health (NSDUH): National Findings, SAMHSA (2010)

<sup>2</sup> Ibid

<sup>3</sup>.Ibid

<sup>4</sup> Ibid

<sup>5</sup>.Ibid

<sup>6</sup> Ibid

innovative practices and may target a variety of administrative, clinical, medical, structural, or systems processes that transform OTP outcomes.

## **II. APPLICATION REQUIREMENTS**

### **A. Eligibility Requirements**

OTPs eligible to apply for a Science and Service Award must meet SAMHSA accreditation and certification requirements.

**Which of the following applies to your facility? (CHECK ALL THAT APPLY)**

- Licensed, certified, or accredited by the state substance abuse agency (SSA)
- Licensed, certified, or accredited by the state department of health
- Licensed, certified, or accredited by the hospital licensing authority

***Eligible applicants are requested to provide the following information:***

**Which of the following accrediting bodies has approved your facility? (CHECK ALL THAT APPLY)**

- The Commission on Accreditation of Rehabilitation Facilities (CARF)
- Council on Accreditation (COA)
- Division of Alcohol and Drug Abuse, State of Missouri Department of Mental Health
- Division of Alcohol and Substance Abuse, Washington Department of Social and Health Services
- Joint Commission [formerly, the Joint Commission Accreditation of Healthcare Organizations (JCAHO)]
- National Commission of Correctional Health Care (NCCHC)
- American Osteopathic Association (AOA) Healthcare Facilities Accreditation Program (HFAP)
- Other (**please specify**) \_\_\_\_\_

### **B. Application Formatting Requirements**

#### **BEFORE YOU BEGIN**

***Please keep the following minimum requirements in mind as you prepare your application.***

1. The application narrative **cannot exceed 15 pages**, numbered sequentially. The cover page, table of contents, abstract, organizational chart and resume(s) are not included in the page count.
2. All responses should be single-spaced, typed on 8.5 x 11-inch paper, on only one side of the paper. Use a 12 point Times New Roman or similar font. Margins must be 1 inch on all sides.
3. Note that the cover sheet requires the OTP director's signature. The signature indicates that the application has been reviewed and is accurate.
4. Please define all abbreviations, acronyms, and other terms that are unique to your program.

### C. **Methods of Submission**

- **Electronic.** Applicants are strongly encouraged to submit applications online. Electronic applications submitted by 5pm Eastern Standard Time, January 13, 2012, will be considered eligible for the award. For instructions to guide you through the submission process, [click here](#). If you encounter problems with online submission, please notify Lakeisha Scott at [Lscott@crpcorp.com](mailto:Lscott@crpcorp.com) or **voice:** 240-247-2142.
- **Hard Copy (USPS, UPS, Federal Express, etc.).** Applicants may also submit a hard copy of the application and should allow sufficient time for delivery. Only applications **received by January 13, 2012**, will be considered eligible for the award. **Faxed applications WILL NOT be accepted.** Applications mailed or hand delivered to CRP should use the following address:

**CRP, Incorporated**  
**1110 Bonifant Street, Suite 400**  
**Silver Spring, MD 20910**  
**Attn: Lakeisha Scott**

### D. **Application Structure and Content**

Applicants must follow the order and exact headings as shown below.

1. **Front Matter (These items are not included in the page count.)**
  - a. **Cover Sheet**
    - i. Name of the OTP applicant, mailing address, telephone, fax, and e-mail address.
    - ii. Name and title of person with authority to sign the cover sheet.
    - iii. Name of contact person, title, mailing address, telephone, and e-mail address.

b. **Proposal Abstract**

Summarize the innovative service or practice that is being considered for the OBOT award. At a minimum provide: the name of the innovation, its goal(s), the innovation's main focus a profile of the patients served, the innovation's start date and duration, and outcomes. Describe the content and context of the approach (i.e., community or place in which it is conducted). Finally, include research support, if any, and discuss the innovation's creativity, originality, and utility in delivering quality service.

c. **Table of Contents**

Include titles or headers and page numbers for each of the major sections and subsections of the Application Narrative.

2. **Application Narrative (Up to 15 pages)**

- a. **Section 1:** Description of Treatment Population(s)/Subpopulation(s) Served by the OTP **(Up to 2 pages)**
- b. **Section 2:** Description of the Opioid Addiction Treatment Service or Approach **(Up to 5 pages)**
- c. **Section 3:** Description of *Outcome(s) of the Approach(es)* **(Up to 2 pages)**
- d. **Section 4:** Description of Innovation(s) **(Up to 4 pages)**
- e. **Section 5:** Description of Organization and Structure, Partnerships, and Collaborations **(Up to 2 pages)**

### III. APPLICATION NARRATIVE

All applications will be reviewed and scored by an independent panel of experts, according to the evaluation criteria outlined in the paragraphs that follow. This new initiative seeks to promote excellence in addiction treatment by recognizing a continuum of clinic-based approaches that include evidence-based practices, practice-based evidence, and promising and innovative practices that have produced "outcomes that matter" using pharmacotherapy and/or psychosocial therapy. Eligible OTP approaches include medical, clinical, administrative, structural, or systems level interventions.

*Define all abbreviations and acronyms used in the narrative.*

A. **Section 1: Treatment Population(s)/Subpopulation(s) Served by the OTP.** The approach may fall along a continuum of evidence-based practices, practice-based evidence or promising and innovative practices. **(Up to 2 pages)**

- 1. Identify the target population(s)/subpopulation(s) served by this OTP and/or innovative clinic-based practice. Include information on the number of clients served between January 2011 and June 2011 and client demographics such as gender, race, ethnicity, and socio-economic status.
- 2. What unique or special needs of the target population(s)/subpopulation(s) does the OTP approach address?
- 3. How are members of the target population(s)/subpopulation(s) recruited and retained in the OTP and/or innovative practice?
- 4. How have clients been impacted by the OTP and/or innovative practice(s)?

**B. Section 2: Description of the Opioid Addiction Treatment Service or Approach (Up to 5 pages)**

1. Describe the opioid addiction treatment service and/or innovative practice(s) currently implemented.
2. How long has this OTP been in existence?
3. How is medication-assisted therapy used in the pharmacologic management of opioid dependence in this clinic setting?
4. What factors have facilitated adoption of medication-assisted therapy and/or innovative practices for opioid addiction in this clinic setting?
5. What challenges were encountered in increasing access to treatment and how were they addressed?
6. Describe any collaborative efforts and supportive relationships across agencies and systems that have been helpful or necessary to implement opioid addiction treatment services and/or innovative practice(s).
7. Describe plans to expand access to treatment for opioid addiction and innovative practice(s) in this clinic setting.
8. What specific treatment and support services are offered to clients, in conjunction with the medication-assisted therapy and/or innovative practices, at this OTP?
9. Describe how you could improve your OTP approach to treat opioid dependence.

**C. Section 3: Outcome(s) of the Approach(es) (Up to 2 pages)**

1. Identify and describe your "outcomes that matter" that are attributable to the OTP practice(s).
2. What evaluation results or documentation supports how the effective OTP services and approach led to improved patient outcomes?
3. Describe the role of technology, if any, in effecting client outcomes described.
4. Describe the impact of the OTP and/or innovative practice(s) on the local health care system.

**D. Section 4: Innovation(s) (Up to 4 pages)**

1. Describe the innovation or innovative practice(s) adopted in this OTP.
2. Describe, from research or practice, any methods adopted in this OTP setting that are viewed as innovative.
3. Discuss, from research or practice, how outcomes were measured.
4. Discuss how your approach challenged existing paradigms and practices or addressed critical barriers to traditional approaches.
5. Discuss any novel concepts, approaches, methodologies, tools, or technologies used in this approach.

**E. Section 5: Organization and Structure, Partnerships and Collaborations (Up to 2 pages) Include your organizational chart. It does not count against your page limit.**

1. Describe this OTP's organizational resources, infrastructure, collaborators and partners used to implement the opioid addiction treatment approach. Include a description of treatment and support services and linkages with local mental health professionals, medical facilities, and community providers to help the client develop and maintain recovery from addiction.
2. Identify full- and part-time positions or staff (e.g., program director/manager, clinician, counselor, and/or medical director) responsible for implementing the opioid addiction treatment services and/or innovative practices.

3. Identify additional clinical and support staff, if any, and delineate their roles in providing support and coordination services for the OTP and/or innovative practice(s.) Include an organizational chart highlighting the team structure and roles of all clinical and non-clinical staff.
4. Describe the relevant expertise, qualifications, and skills of staff involved in implementing the OTP approach. Include resumes of the program director, clinician(s), counselor(s), and medical director. (Attach resumes to the application.)
5. What training has staff undertaken and how did the training enhance the OTP's capability to implement the opioid addiction treatment approach? Highlight special training in addiction science and practice, addiction medicine, or addiction psychiatry received by the program director, clinician(s), counselor(s), and medical director.

#### **IV. REVIEW AND SELECTION PROCESS**

The selection process will be conducted by an independent panel of experts from the field. All applications will be rated according to the quality of information submitted and its appropriateness for the approach being submitted. Once finalists are identified, one or more reviewers will conduct a site visit to the OTP, prior to the announcement of the award recipients. Five OTP programs will be selected during this award cycle. Winners will receive full support to travel to the 2012 American Association for the Treatment of Opioid Dependence (AATOD) conference in Las Vegas in April 2012 to accept the award. In addition, winners will be highlighted on SAMHSA's Science and Service Awards web page and appear in a feature article in the *SAMHSA News*.