Dear Resident:

To better serve all people across the nation, the United States Public Health Service (USPHS) is conducting a national study on health-related issues. Your address was randomly chosen along with more than 200,000 others. Research Triangle Institute (RTI) is under contract to carry out this study for the USPHS. Soon, an RTI interviewer will be in your neighborhood to give you more information.

When the interviewer arrives, please ask to see his or her personal identification card. An example of the ID card is shown below. The interviewer will ask you a few questions, and then may ask one or two members of your household to complete an interview. It is possible that no one from your household will be chosen to be interviewed. You may choose not to take part in this study, but no one else can take your place. **Every person who is chosen and completes the interview will receive $30 in cash.**

All the information collected is confidential and will be used only for statistical purposes. This is assured by federal law. This letter is addressed to “Resident” because your address was selected, and we do not know your name. Feel free to ask the interviewer any questions you have about the study.

Your help is very important to this study’s success. Thank you for your cooperation.

Sincerely,

National Study Director,
Center for Behavioral Health Statistics and Quality

“Image of Identification Badge”

National Field Director, RTI

Assigned Field Interviewer

Confidentiality protected by the Confidential Information Protection and Statistical Efficiency Act of 2002 (PL 107-347) Authorized by Section 505 of the Public Health Service Act (42 USC 290aa4) Approved by Office of Management and Budget (OMB Approval No. 0930-0110)
Your address is one of several in this area randomly chosen for the 2011 National Survey on Drug Use and Health. This study, sponsored by the United States Public Health Service, collects information for research and program planning by asking about:

- tobacco, alcohol, and drug use or non-use,
- knowledge and attitudes about drugs,
- mental health, and
- other health issues.

You cannot be identified through any information you give us. Your name and address will never be connected to your answers. Also, federal law requires us to keep all of your answers confidential. Any data that you provide will only be used by authorized personnel for statistical purposes according to the Confidential Information Protection and Statistical Efficiency Act of 2002.

The screening questions take just a few minutes. If anyone is chosen, the interview will take about an hour. You can refuse to answer any questions, and you can quit at any time. Each person who is chosen and completes the interview will receive $30 in cash.

If you have questions about the study, call the Project Representative at [insert contact information]. If you have questions about your rights as a study participant, call RTI’s Office of Research Protection at [insert contact information] (a toll-free number). You can also visit our project Website: [http://nsduhweb.rti.org/] for more information.

Thank you for your cooperation and time.

Project Officer
Center for Behavioral Health Statistics and Quality
Substance Abuse and Mental Health Services Administration (SAMHSA)
U.S. Public Health Service
Department of Health and Human Services

Your confidentiality is protected by the Confidential Information Protection and Statistical Efficiency Act of 2002 (CIPSEA, PL 107-347). Any project staff or authorized data user who violates CIPSEA may be subject to a jail term of up to 5 years, a fine of up to $250,000, or both.
INTRODUCTION AND INFORMED CONSENT FOR INTERVIEW RESPONDENTS AGE 18+

IF INTERVIEW RESPONDENT IS NOT SCREENING RESPONDENT, INTRODUCE YOURSELF AND STUDY AS NECESSARY: Hello, I’m ________, and I’m working on a nationwide study sponsored by the U.S. Public Health Service. You should have received a letter about this study. (SHOW LEAD LETTER, IF NECESSARY.)

READ THE BOXED INFORMATION BELOW BEFORE STARTING EVERY INTERVIEW

This year, we are interviewing about 70,000 people across the nation. You have been randomly chosen to take part. You will represent over 4,500 other people who are similar to you. You may choose not to take part in this study, but no one else can take your place. We will pay you $30 when you finish the interview.

GIVE STUDY DESCRIPTION TO R IF YOU HAVE NOT ALREADY DONE SO.

This study asks about tobacco, alcohol, and drug use or non-use, knowledge and attitudes about drugs, mental health, and other health issues. It takes about an hour. You will answer most of the questions on the computer, so I will not see your answers. We are only interested in the combined responses from all 70,000 people, not just one person’s answers. This is why we do not ask for your name and we keep your answers separate from your address. RTI may contact you by phone or mail to ask a few questions about the quality of my work. This is why we ask for your phone number and mailing address at the end of the interview.

While the interview has some personal questions, federal law keeps your answers private. We hope that protecting your privacy will help you to give accurate answers. You can quit the interview at any time and you can refuse to answer any questions.

If it is all right with you, let’s get started.

(Can we find a private place to complete the interview?)
INTRODUCTION AND INFORMED CONSENT FOR INTERVIEW RESPONDENTS AGE 12-17

FIRST, OBTAIN PERMISSION FROM THE PARENT AND READ THE BOXED INFORMATION BELOW

Your (AGE) year-old child has been selected to be in this study. Your child’s participation is voluntary. This interview asks about tobacco, alcohol, and drug use or non-use, knowledge and attitudes about drugs, mental health, and other health related issues. All of your child’s answers will be confidential and used only for statistical purposes. Since your child will answer most of the questions on the computer, I will never see the answers, and you are not allowed to see them either. If it is all right with you, we’ll get started.

(Can we find a private place to complete the interview?)

THEN, READ THE BOXED INFORMATION BELOW BEFORE STARTING EVERY INTERVIEW WITH A 12-17 YEAR OLD

This year, we are interviewing about 70,000 people across the nation. You have been randomly chosen to take part. You will represent over 1,000 young people in this country who are similar to you. You may choose not to take part in this study, but no one else can take your place. We will pay you $30 when you finish the interview.

GIVE STUDY DESCRIPTION TO R IF YOU HAVE NOT ALREADY DONE SO.

This study asks about tobacco, alcohol, and drug use or non-use, knowledge and attitudes about drugs, mental health, and other health issues. It takes about an hour. You will answer most of the questions on the computer, so I will not see your answers. Your answers will never be seen by either your parents or your school. We are only interested in the combined responses from all 70,000 people, not just one person’s answers. This is why we do not ask for your name and we keep your answers separate from your address. RTI may contact you by phone or mail to ask a few questions about the quality of my work. This is why we ask for your phone number and mailing address at the end of the interview.

While the interview has some personal questions, federal law keeps your answers private. We hope that protecting your privacy will help you to give accurate answers. You can quit the interview at any time and you can refuse to answer any questions.

If it is all right with you, let’s get started.
SHOWCARD 1

1  MEXICAN, MEXICAN AMERICAN, MEXICANO OR CHICANO

2  PUERTO RICAN

3  CENTRAL OR SOUTH AMERICAN

4  CUBAN OR CUBAN AMERICAN

5  DOMINICAN (FROM DOMINICAN REPUBLIC)

6  SPANISH (FROM SPAIN)
SHOWCARD 2

1 WHITE

2 BLACK OR AFRICAN AMERICAN

3 AMERICAN INDIAN OR ALASKA NATIVE
   (AMERICAN INDIAN INCLUDES NORTH
   AMERICAN, CENTRAL AMERICAN, AND SOUTH
   AMERICAN INDIANS)

4 NATIVE HAWAIIAN

5 OTHER PACIFIC ISLANDER

6 ASIAN (INCLUDING: ASIAN INDIAN, CHINESE,
   FILIPINO, JAPANESE, KOREAN, AND
   VIETNAMESE)
SHOWCARD 3

1 ASIAN INDIAN
2 CHINESE
3 FILIPINO
4 JAPANESE
5 KOREAN
6 VIETNAMESE
SHOWCARD 4

0 NEVER ATTENDED SCHOOL

PRIMARY AND SECONDARY GRADES:

1 1ST GRADE COMPLETED
2 2ND GRADE COMPLETED
3 3RD GRADE COMPLETED
4 4TH GRADE COMPLETED
5 5TH GRADE COMPLETED
6 6TH GRADE COMPLETED
7 7TH GRADE COMPLETED
8 8TH GRADE COMPLETED
9 9TH GRADE COMPLETED
10 10TH GRADE COMPLETED
11 11TH GRADE COMPLETED
12 12TH GRADE COMPLETED

COLLEGE OR UNIVERSITY:

13 1ST YEAR COMPLETED
14 2ND YEAR COMPLETED
15 3RD YEAR COMPLETED
16 4TH YEAR COMPLETED
17 5TH OR HIGHER YEAR COMPLETED
SHOWCARD 5

PRIMARY AND SECONDARY GRADES:

1  1ST GRADE
2  2ND GRADE
3  3RD GRADE
4  4TH GRADE
5  5TH GRADE
6  6TH GRADE
7  7TH GRADE
8  8TH GRADE
9  9TH GRADE
10 10TH GRADE
11 11TH GRADE
12 12TH GRADE

COLLEGE OR UNIVERSITY:

13  1ST YEAR
14  2ND YEAR
15  3RD YEAR
16  4TH YEAR
17  5TH YEAR OR HIGHER
SHOWCARD 6

1 SCHOOL WAS BORING OR I DIDN’T WANT TO BE THERE

2 I GOT PREGNANT / I GOT SOMEONE PREGNANT

3 I GOT IN TROUBLE OR EXPELLED FOR SELLING DRUGS

4 I GOT IN TROUBLE OR EXPELLED FOR USING DRUGS

5 I GOT IN TROUBLE OR EXPELLED FOR SOME OTHER REASON

6 I OFTEN GOT INTO TROUBLE

7 I HAD TO GET A JOB (OR WORK MORE HOURS)

8 I WAS GETTING BAD GRADES

9 I WASN’T LEARNING ANYTHING

10 I GOT MARRIED OR MOVED IN WITH MY BOY/GIRLFRIEND

11 I MOVED HERE FROM ANOTHER COUNTRY AND DIDN’T ENROLL IN SCHOOL (OR DROPPED OUT OF SCHOOL) BECAUSE OF LANGUAGE OR OTHER PROBLEMS

12 I WAS TREATED BADLY AT SCHOOL

13 I BECAME ILL OR INJURED

14 I WENT TO JAIL / PRISON

15 I HAD RESPONSIBILITIES AT HOME OR PERSONAL PROBLEMS
SHOWCARD 7

1  MANUFACTURING
2  WHOLESALE TRADE
3  RETAIL TRADE
4  AGRICULTURE
5  CONSTRUCTION
6  SERVICE
7  GOVERNMENT
SHOWCARD 8

1 PRIVATE FOR-PROFIT COMPANY OR BUSINESS
2 PRIVATE NOT-FOR-PROFIT COMPANY OR BUSINESS
3 LOCAL GOVERNMENT (CITY, COUNTY, ETC.)
4 STATE GOVERNMENT
5 FEDERAL GOVERNMENT
6 INTERNATIONAL OR FOREIGN GOVERNMENT
7 SELF-EMPLOYED IN AN INCORPORATED BUSINESS
8 SELF-EMPLOYED IN AN UNINCORPORATED BUSINESS
9 WORKING WITHOUT PAY IN A FAMILY BUSINESS OR FARM
1. ON VACATION, SICK, FURLough, STRIKE, OR OTHER TEMPORARY ABSENCE

2. ON LAYOFF AND NOT LOOKING FOR WORK

3. ON LAYOFF AND LOOKING FOR WORK

4. WAITING TO REPORT TO A NEW JOB

5. SELF-EMPLOYED AND DID NOT HAVE ANY BUSINESS LAST WEEK

6. GOING TO SCHOOL OR TRAINING
SHOWCARD 10

1 LOOKING FOR WORK

2 ON LAYOFF AND NOT LOOKING FOR WORK

3 KEEPING HOUSE OR CARING FOR CHILDREN FULL-TIME

4 GOING TO SCHOOL OR TRAINING

5 RETIRED

6 DISABLED FOR WORK

7 DIDN’T WANT A JOB
<table>
<thead>
<tr>
<th></th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>LESS THAN 10 PEOPLE</td>
</tr>
<tr>
<td>2</td>
<td>10-24 PEOPLE</td>
</tr>
<tr>
<td>3</td>
<td>25-99 PEOPLE</td>
</tr>
<tr>
<td>4</td>
<td>100-499 PEOPLE</td>
</tr>
<tr>
<td>5</td>
<td>500 PEOPLE OR MORE</td>
</tr>
<tr>
<td></td>
<td>Description</td>
</tr>
<tr>
<td>---</td>
<td>-------------</td>
</tr>
<tr>
<td>1</td>
<td>HANDLED ON AN INDIVIDUAL BASIS/_POLICY DOES NOT SPECIFY WHAT HAPPENS</td>
</tr>
<tr>
<td>2</td>
<td>EMPLOYEE IS FIRED</td>
</tr>
<tr>
<td>3</td>
<td>EMPLOYEE IS REFERRED FOR TREATMENT OR COUNSELING</td>
</tr>
<tr>
<td>4</td>
<td>NOTHING HAPPENS</td>
</tr>
<tr>
<td>5</td>
<td>SOMETHING ELSE HAPPENS</td>
</tr>
<tr>
<td>No.</td>
<td>Relationship Description</td>
</tr>
<tr>
<td>-----</td>
<td>-------------------------------------------------------------</td>
</tr>
<tr>
<td>1</td>
<td>SELF</td>
</tr>
<tr>
<td>2</td>
<td>FATHER (INCLUDES STEP, FOSTER, ADOPTIVE)</td>
</tr>
<tr>
<td>3</td>
<td>SON (INCLUDES STEP, FOSTER, ADOPTIVE)</td>
</tr>
<tr>
<td>4</td>
<td>BROTHER (INCLUDES HALF, STEP, FOSTER, ADOPTIVE)</td>
</tr>
<tr>
<td>5</td>
<td>HUSBAND</td>
</tr>
<tr>
<td>6</td>
<td>UNMARRIED PARTNER</td>
</tr>
<tr>
<td>7</td>
<td>HOUSEMATE OR ROOMMATE</td>
</tr>
<tr>
<td>8</td>
<td>SON-IN-LAW</td>
</tr>
<tr>
<td>9</td>
<td>GRANDSON</td>
</tr>
<tr>
<td>10</td>
<td>FATHER-IN-LAW</td>
</tr>
<tr>
<td>11</td>
<td>GRANDFATHER</td>
</tr>
<tr>
<td>12</td>
<td>BOARDER OR ROOMER</td>
</tr>
<tr>
<td>13</td>
<td>OTHER RELATIVE</td>
</tr>
<tr>
<td>14</td>
<td>OTHER NON-RELATIVE</td>
</tr>
<tr>
<td>Number</td>
<td>Relationship</td>
</tr>
<tr>
<td>--------</td>
<td>--------------------------------------</td>
</tr>
<tr>
<td>1</td>
<td>SELF</td>
</tr>
<tr>
<td>2</td>
<td>MOTHER (INCLUDES STEP, FOSTER, ADOPTIVE)</td>
</tr>
<tr>
<td>3</td>
<td>DAUGHTER (INCLUDES STEP, FOSTER, ADOPTIVE)</td>
</tr>
<tr>
<td>4</td>
<td>SISTER (INCLUDES HALF, STEP, FOSTER, ADOPTIVE)</td>
</tr>
<tr>
<td>5</td>
<td>WIFE</td>
</tr>
<tr>
<td>6</td>
<td>UNMARRIED PARTNER</td>
</tr>
<tr>
<td>7</td>
<td>HOUSEMATE OR ROOMMATE</td>
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<td>8</td>
<td>DAUGHTER-IN-LAW</td>
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<td>GRANDDAUGHTER</td>
</tr>
<tr>
<td>10</td>
<td>MOTHER-IN-LAW</td>
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<tr>
<td>11</td>
<td>GRANDMOTHER</td>
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<td>12</td>
<td>BOARDER OR ROOMER</td>
</tr>
<tr>
<td>13</td>
<td>OTHER RELATIVE</td>
</tr>
<tr>
<td>14</td>
<td>OTHER NON-RELATIVE</td>
</tr>
</tbody>
</table>
1. PERSON IN FAMILY WITH HEALTH INSURANCE LOST JOB OR CHANGED EMPLOYERS

2. LOST MEDICAID OR MEDICAL ASSISTANCE COVERAGE BECAUSE OF NEW JOB OR INCREASE IN INCOME

3. LOST MEDICAID OR MEDICAL ASSISTANCE COVERAGE FOR SOME OTHER REASON

4. COST IS TOO HIGH / CAN’T AFFORD PREMIUMS

5. BECAME INELIGIBLE BECAUSE OF AGE OR LEAVING SCHOOL

6. EMPLOYER DOES NOT OFFER COVERAGE, OR NOT ELIGIBLE FOR COVERAGE

7. GOT DIVORCED OR SEPARATED FROM PERSON WITH INSURANCE

8. DEATH OF SPOUSE OR PARENT

9. INSURANCE COMPANY REFUSED COVERAGE

10. DON’T NEED IT

11. RECEIVED MEDICAID OR MEDICAL INSURANCE ONLY WHILE PREGNANT
SHOWCARD 16

1  COST IS TOO HIGH / CAN’T AFFORD PREMIUMS

2  EMPLOYER DOES NOT OFFER COVERAGE, OR NOT ELIGIBLE FOR COVERAGE

3  INSURANCE COMPANY REFUSED COVERAGE

4  DON’T NEED IT
1 VETERAN’S ADMINISTRATION PAYMENTS

2 OTHER DISABILITY, RETIREMENT OR SURVIVOR PENSION

3 UNEMPLOYMENT OR WORKER’S COMPENSATION

4 INTEREST INCOME

5 DIVIDENDS FROM STOCKS OR MUTUAL FUNDS

6 INCOME FROM RENTAL PROPERTIES, ROYALTIES, ESTATES OR TRUSTS

7 ALIMONY

8 CHILD SUPPORT
1 LESS THAN $1,000 (INCLUDING LOSS)
2 $1,000 - $1,999
3 $2,000 - $2,999
4 $3,000 - $3,999
5 $4,000 - $4,999
6 $5,000 - $5,999
7 $6,000 - $6,999
8 $7,000 - $7,999
9 $8,000 - $8,999
10 $9,000 - $9,999
11 $10,000 - $10,999
12 $11,000 - $11,999
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18 $17,000 - $17,999
19 $18,000 - $18,999
20 $19,000 - $19,999
<table>
<thead>
<tr>
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<tbody>
<tr>
<td>21</td>
<td>$20,000 - $24,999</td>
</tr>
<tr>
<td>22</td>
<td>$25,000 - $29,999</td>
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<tr>
<td>23</td>
<td>$30,000 - $34,999</td>
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<td>$40,000 - $44,999</td>
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<td>26</td>
<td>$45,000 - $49,999</td>
</tr>
<tr>
<td>27</td>
<td>$50,000 - $74,999</td>
</tr>
<tr>
<td>28</td>
<td>$75,000 - $99,999</td>
</tr>
<tr>
<td>29</td>
<td>$100,000 OR MORE</td>
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</tbody>
</table>
You have asked to know more about the *National Survey on Drug Use and Health* and the types of questions the interviewer will ask. Below is a summary of each section of the questionnaire for you to examine. Keep in mind that not everyone will see every question—the questions depend on the participant’s own experiences. Furthermore, participants can always refuse to answer any questions during the interview.

**Demographics**
This section, administered by the interviewer, consists of questions about the participant such as his/her date of birth, race, educational background, and health status.

*Sample Questions:*
- What is the highest grade or year of school you have completed?
- Would you say your health in general is excellent, very good, good, fair, or poor?

**Computer Practice Session**
In this section, the interviewer shows the participant how to use the laptop computer and lets him/her practice using a short practice session.

**Cigarettes, Alcohol and Illicit Drugs**
For most of the rest of the interview, the participant answers questions by listening to the questions over the headphones and/or reading the questions on the computer screen, and then entering responses using the computer’s keyboard. The participant answers these questions in private, although the interviewer is available to help with any problems using the computer. During this part of the interview, only the participant can hear and see the questions and see his/her responses.

**Tobacco Products and Alcohol**
These sections include questions about whether and how often participants have used cigarettes, chewing tobacco, snuff, cigars, pipe tobacco, and alcoholic beverages such as beer, wine, or liquor.

*Sample Questions:*
- Have you ever smoked part or all of a cigarette?
- How old were you the first time you used chewing tobacco?
- What is your best estimate of the number of days you drank alcohol during the past 30 days?

**Illicit Drugs**
The next sections ask about the participant’s use or non-use of marijuana, heroin, cocaine, hallucinogens, and inhalants; and prescription pain killers, tranquillizers, stimulants, and sedatives when taken only for their effect. Questions about drug dependence and drug treatment are also included in these sections.

*Sample Questions:*
- Have you ever, even once, used marijuana or hashish?
- How much do people risk harming themselves physically and in other ways when they use cocaine once a month?
**Adult Social Environment and Mental Health**
Participants aged 18 and older receive questions about their social experiences such as: how many times they have moved, their opinions about drug use, and in some cases, their experiences as a parent. Mental health questions cover such topics as depression and treatment for mental health problems.

*Sample Questions:*
- How do you feel about **adults** trying marijuana or hashish once or twice?
- During the past 12 months, was there any time when you **needed** mental health treatment or counseling for yourself but **didn’t get it**?
- Have you ever in your life had a period of time lasting several days or longer when **most of the day** you felt **sad, empty, or depressed**?

**Youth Experiences and Mental Health**
Youth aged 12-17 participating in the survey are also asked questions about their social experiences such as: perceptions about the risks of using certain drugs; whether getting drugs is difficult or easy; feelings about school and peers; and involvement in clubs, sports, and other extracurricular activities. The mental health questions cover such topics as depression and treatment for mental health problems.

*Sample Questions:*
- During the past 12 months, in how many different kinds of school-based activities, such as team sports, cheerleading, choir, band, student government, or clubs, have you participated?
- Have you ever in your life had a period of time lasting several days or longer when **most of the day** you felt **sad, empty, or depressed**?
- During the past 12 months, did you receive treatment or counseling from a **private therapist, psychologist, psychiatrist, social worker, or counselor** for emotional or behavioral problems that were **not** caused by alcohol or drugs?

**Health Care and Demographic Information**
In this section, the laptop is handed back to the interviewer, who asks questions about education, health insurance, and family income information to help in analyzing the data. If necessary, a knowledgeable adult in the household may be asked to help participants answer some of these questions.

The answers to these questions increase the government’s knowledge about health care, especially as it may relate to drug use or treatment. This information helps in planning health care services and finding ways to lower the costs of care.

*Sample Questions:*
- How many hours did you work **last week** at all jobs or businesses?
- **Before taxes and other deductions**, was the total **combined family** income during 2010 more or less than 20,000 dollars?
- Are you currently covered by private health insurance?

Please feel free to ask the interviewer if you have any other questions about the questionnaire.

*Thank you for your cooperation and help!*