



## New York 2013 Mental Health National Outcome Measures (NOMS): CMHS Uniform Reporting System



| <b>Utilization Rates/Number of Consumers Served</b>          | <b>U.S.</b> | <b>State</b> | <b>U.S. Rate</b> | <b>States</b> |
|--|-------------|--------------|------------------|---------------|
| Penetration Rate per 1,000 population                        | 7,242,764   | 36.64        | 22.77            | 58            |
| Community Utilization per 1,000 population                   | 7,024,249   | 33.01        | 22.09            | 58            |
| State Hospital Utilization per 1,000 population              | 147,853     | 0.52         | 0.47             | 54            |
| Other Psychiatric Inpatient Utilization per 1,000 population | 368,266     | 4.10         | 1.34             | 42            |

| <b>Adult Employment Status</b>            | <b>U.S.</b> | <b>State</b> | <b>U.S. Rate</b> | <b>States</b> |
|---|-------------|--------------|------------------|---------------|
| Employed (Percent in Labor Force)*        | 559,640     | 50.4%        | 36.5%            | 57            |
| Employed (percent with Employment Data)** | 559,640     | 16.0%        | 17.0%            | 57            |

| <b>Adult Consumer Survey Measures</b> | <b>State</b> | <b>U.S. Rate</b> | <b>States</b> |
|---------------------------------------|--------------|------------------|---------------|
| Positive About Outcome                | 79.9%        | 70.6%            | 53            |

| <b>Child/Family Consumer Survey Measures</b> | <b>State</b> | <b>U.S. Rate</b> | <b>States</b> |
|--|--------------|------------------|---------------|
| Positive About Outcome                       | 84.8%        | 67.6%            | 51            |

| <b>Readmission Rates:(Civil "non-Forensic" clients)</b> | <b>U.S.</b> | <b>State</b> | <b>U.S. Rate</b> | <b>States</b> |
|---|-------------|--------------|------------------|---------------|
| State Hospital Readmissions: 30 Days                    | 9,248       | 7.7%         | 8.6%             | 48            |
| State Hospital Readmissions: 180 Days                   | 21,397      | 19.1%        | 19.8%            | 49            |
| State Hospital Readmissions: 30 Days: Adults            | 8,744       | 9.2%         | 8.8%             | 48            |
| State Hospital Readmissions: 180 Days: Adults           | 20,186      | 21.2%        | 20.3%            | 49            |
| State Hospital Readmissions: 30 Days: Children          | 499         | 4.5%         | 5.7%             | 21            |
| State Hospital Readmissions: 180 Days: Children         | 1,197       | 14.8%        | 13.8%            | 25            |

| <b>Living Situation</b>    | <b>U.S.</b> | <b>State</b> | <b>U.S. Rate</b> | <b>States</b> |
|----------------------------|-------------|--------------|------------------|---------------|
| Private Residence          | 4,130,253   | 73.4%        | 83.3%            | 57            |
| Homeless/Shelter           | 162,654     | 3.3%         | 3.3%             | 53            |
| Jail/Correctional Facility | 102,191     | 6.2%         | 2.1%             | 53            |

| <b>Adult EBP Services</b>     | <b>U.S.</b> | <b>State</b> | <b>U.S. Rate</b> | <b>States</b> |
|-------------------------------|-------------|--------------|------------------|---------------|
| Supported Housing             | 75,873      | 4.8%         | 2.8%             | 38            |
| Supported Employment          | 54,190      | 0.4%         | 1.9%             | 42            |
| Assertive Community Treatment | 63,445      | 1.5%         | 2.0%             | 40            |
| Family Psychoeducation        | 21,794      | 0.2%         | 1.3%             | 17            |
| Dual Diagnosis Treatment      | 63,026      | -            | 3.7%             | 24            |
| Illness Self Management       | 235,902     | -            | 15.2%            | 22            |
| Medications Management        | 365,616     | -            | 25.4%            | 18            |

| <b>Child/Adolescent EBP Services</b> | <b>U.S.</b> | <b>State</b> | <b>U.S. Rate</b> | <b>States</b> |
|--------------------------------------|-------------|--------------|------------------|---------------|
| Therapeutic Foster Care              | 13,444      | 0.1%         | 1.3%             | 28            |
| Multisystemic Therapy                | 7,566       | -            | 1.0%             | 18            |
| Functional Family Therapy            | 10,452      | -            | 1.7%             | 13            |

| <b>Change in Social Connectedness</b>      | <b>State</b> | <b>U.S. Rate</b> | <b>States</b> |
|--|--------------|------------------|---------------|
| Adult Improved Social Connectedness        | 76.9%        | 69.5%            | 53            |
| Child/Family Improved Social Connectedness | 86.6%        | 85.1%            | 49            |

\*Denominator is the sum of consumers employed and unemployed.

\*\*Denominator is the sum of consumers employed, unemployed, and not in labor force.

## CMHS Uniform Reporting System - 2013 State Mental Health Measures

**STATE: New York**

| Utilization                                     | State Number | State Rate | U.S.       | U.S. Rate | States |
|---|--------------|------------|------------|-----------|--------|
| Penetration Rate per 1,000 population           | 717,075      | 36.64      | 7,242,764  | 22.77     | 58     |
| Community Utilization per 1,000 population      | 646,034      | 33.01      | 7,024,249  | 22.09     | 58     |
| State Hospital Utilization per 1,000 population | 10,236       | 0.52       | 147,853    | 0.47      | 54     |
| Medicaid Funding Status                         | 359,251      | 51%        | 4,274,218  | 62%       | 56     |
| Employment Status (percent employed)            | 68,218       | 16%        | 559,640    | 17%       | 57     |
| State Hospital Adult Admissions                 | 5,231        | 0.64       | 115,644    | 0.84      | 53     |
| Community Adult Admissions                      | 443,150      | 0.89       | 11,132,022 | 2.45      | 51     |
| Percent Adults with SMI and Children with SED   | 526,981      | 73%        | 4,867,556  | 67%       | 57     |

| Utilization   | State Rate | U.S. Rate | States |
|---|------------|-----------|--------|
| State Hospital LOS Discharged Adult patients (Median)                       | 77 Days    | 79 Days   | 51     |
| State Hospital LOS for Adult Resident patients in facility <1 year (Median) | 95 Days    | 64 Days   | 49     |
| Percent of Client who meet Federal SMI definition                           | 74%        | 68%       | 55     |
| Adults with Co-occurring MH/SA Disorders                                    | 27%        | 21%       | 51     |
| Children with Co-occurring MH/SA Disorders                                  | 5%         | 5%        | 49     |

| Adult Consumer Survey Measures      | State Rate | U.S. Rate | States |
|-------------------------------------|------------|-----------|--------|
| Access to Services                  | 90%        | 85%       | 52     |
| Quality/Appropriateness of Services | 89%        | 88%       | 52     |
| Outcome from Services               | 80%        | 71%       | 53     |
| Participation in Treatment Planning | 81%        | 81%       | 52     |
| General Satisfaction with Care      | 89%        | 88%       | 52     |

| Child/Family Consumer Survey Measures | State Rate | U.S. Rate | States |
|---------------------------------------|------------|-----------|--------|
| Access to Services                    | 98%        | 85%       | 50     |
| General Satisfaction with Care        | 98%        | 86%       | 51     |
| Outcome from Services                 | 85%        | 68%       | 51     |
| Participation in Treatment Planning   | 98%        | 88%       | 51     |
| Cultural Sensitivity of Providers     | 99%        | 93%       | 50     |

| Consumer Living Situations | State Number | State Rate | U.S.      | U.S. Rate | States |
|----------------------------|--------------|------------|-----------|-----------|--------|
| Private Residence          | 514,394      | 73.4%      | 4,130,253 | 83.3%     | 57     |
| Jail/Correctional Facility | 43,502       | 6.2%       | 102,191   | 2.1%      | 53     |
| Homeless or Shelter        | 23,465       | 3.3%       | 162,654   | 3.3%      | 53     |

| Hospital Readmissions                            | State Number | State Rate | U.S.   | U.S. Rate | States |
|--|--------------|------------|--------|-----------|--------|
| State Hospital Readmissions: 30 Days             | 393          | 7.7%       | 9,248  | 8.6%      | 48     |
| State Hospital Readmissions: 180 Days            | 977          | 19.1%      | 21,397 | 19.8%     | 49     |
| Readmission to any psychiatric hospital: 30 Days | 13,497       | 17.9%      | 28,305 | 13.0%     | 22     |

| State Mental Health Finance (FY2012) | State Number    | State Rate | U.S.             | U.S. Rate | States |
|--------------------------------------|-----------------|------------|------------------|-----------|--------|
| SMHA Expenditures for Community MH * | \$3,554,900,000 | 70.3%      | \$28,781,463,713 | 73.9%     | 51     |
| SMHA Revenues from State Sources **  | \$1,000,600,000 | 22.9%      | \$15,004,098,297 | 39.5%     | 51     |
| Total SMHA Expenditures              | \$5,055,600,000 | -          | \$38,921,776,673 | -         | 51     |

| Adult Evidence-Based Practices       | State Number | State Rate | U.S.    | U.S. Rate | States |
|--------------------------------------|--------------|------------|---------|-----------|--------|
| Assertive Community Treatment        | 6,189        | 1.5%       | 63,445  | 2.0%      | 40     |
| Supported Housing                    | 19,864       | 4.8%       | 75,873  | 2.8%      | 38     |
| Supported Employment                 | 1,634        | 0.4%       | 54,190  | 1.9%      | 42     |
| Family Psychoeducation               | 867          | 0.2%       | 21,794  | 1.3%      | 17     |
| Integrated Dual Diagnosis Treatment  | -            | -          | 63,026  | 3.7%      | 24     |
| Illness Self-Management and Recovery | -            | -          | 235,902 | 15.2%     | 22     |
| Medications Management               | -            | -          | 365,616 | 25.4%     | 18     |

| Child Evidence Based Practices | State Number | State Rate | U.S.   | U.S. Rate | States |
|--------------------------------|--------------|------------|--------|-----------|--------|
| Therapeutic Foster Care        | 72           | 0.1%       | 13,444 | 1.3%      | 28     |
| Multisystemic Therapy          | -            | -          | 7,566  | 1.0%      | 18     |
| Functional Family Therapy      | -            | -          | 10,452 | 1.7%      | 13     |

| Outcome                         | State Number | State Rate | U.S.   | U.S. Rate | States |
|---------------------------------|--------------|------------|--------|-----------|--------|
| Adult Criminal Justice Contacts | 10,891       | 3.9%       | 21,607 | 4.5%      | 38     |
| Juvenile Justice Contacts       | 65           | 5.4%       | 5,147  | 4.6%      | 39     |
| School Attendance (Improved )   | 391          | 37.5%      | 9,657  | 34.8%     | 26     |

\* Includes Other 24 -Hour expenditures for state hospitals.

\*\* Revenues for state hospitals and community MH



# Mental Health Community Services Block Grant: 2013 State Summary Report



## New York

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|--|
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| State Revenue Expenditure Data                           | Amount          |
|--|-----------------|
| FY 2012 Mental Health Block Grant Revenues               | \$23,200,000    |
| FY 2012 SMHA Community MH Expenditures                   | \$3,732,300,000 |
| FY 2012 Per Capita Community MH Expenditures             | \$190.99        |
| FY 2012 Community Percent of Total SMHA Spending         | 70.84%          |
| FY 2012 Total SMHA Mental Health Expenditure             | \$5,268,400,000 |
| FY 2012 Per Capita Total SMHA Mental Health Expenditures | \$269.60        |

### Statewide Mental Health Agency Data\*

| Measure                              | Number of Clients | Utilization Rate Per 1,000 Population |
|--------------------------------------|-------------------|---------------------------------------|
| Total Clients Served by SMHA System  | 717,075           | 36.6                                  |
| Clients Served in Community Settings | 646,034           | 33.0                                  |
| Clients Served in State Hospitals    | 10,236            | 0.5                                   |

| Gender | Percent |
|--------|---------|
| Female | 50.6%   |
| Male   | 49.4%   |

| Age           | Percent |
|---------------|---------|
| 0 to 12       | 11.9%   |
| 13 to 17      | 10.5%   |
| 18 to 20      | 4.6%    |
| 21 to 24      | 5.8%    |
| 25 to 44      | 28.9%   |
| 45 to 64      | 31.3%   |
| 65 to 74      | 4.4%    |
| 75 and over   | 2.3%    |
| Not Available | 0.1%    |

| Race/Ethnicity                            | Percent |
|---|---------|
| American Indian or Alaska Native          | 0.3%    |
| Asian                                     | 1.6%    |
| Black or African American                 | 24.0%   |
| Native Hawaiian or Other Pacific Islander | 0.2%    |
| White                                     | 53.5%   |
| Hispanic or Latino                        | 23.5%   |
| More Than One Race                        | 2.3%    |
| Not Available                             | 18.1%   |

| Living Situation (with Known Status) | Percent |
|--------------------------------------|---------|
| Private Residence                    | 73.4%   |
| Foster Home                          | 0.3%    |
| Residential Care                     | 4.5%    |
| Crisis Residence                     | 0.2%    |
| Residential Treatment Center         | 0.1%    |
| Institutional Setting                | 10.8%   |
| Jail (Correctional Facility)         | 6.2%    |
| Homeless (Shelter)                   | 3.3%    |
| Other                                | 1.2%    |
| Not Available                        | -       |

| Employment With Known Status (Adults) | Percent |
|---------------------------------------|---------|
| Employed                              | 16.0%   |
| Unemployed                            | 15.7%   |
| Not In Labor Force                    | 68.3%   |

| Medicaid Funding Status of Consumers | Percent |
|--------------------------------------|---------|
| Medicaid Only                        | 37.3%   |
| Non-Medicaid                         | 49.1%   |
| Both Medicaid and Other Funds        | 13.5%   |

| Consumer Perception of Care: (Adults) | Percent |
|---------------------------------------|---------|
| Access to Services                    | 89.7%   |
| Quality/Appropriateness of Services   | 89.3%   |
| Outcome from Services                 | 79.9%   |
| Participation in Treatment Planning   | 80.8%   |
| Overall Satisfaction with Care        | 89.3%   |

| Consumer Perception of Care: (Children/Adolescents) | Percent |
|---|---------|
| Access to Services                                  | 97.7%   |
| Overall Satisfaction with Care                      | 97.9%   |
| Outcome from Services                               | 84.8%   |
| Participation in Treatment Planning                 | 98.4%   |
| Cultural Sensitivity of Providers                   | 99.3%   |

| Implementation of Evidence-Based Practices | Percent |
|--|---------|
| Assertive Community Treatment              | 1.5%    |
| Supported Housing                          | 4.8%    |
| Supported Employment                       | 0.4%    |
| Family Psychoeducation                     | 0.2%    |
| Integrated Dual Diagnosis Treatment        | -       |
| Illness Self-Management and Recovery       | -       |
| Medications Management                     | -       |
| Therapeutic Foster Care                    | 0.1%    |
| Multisystemic Therapy                      | -       |
| Functional Family Therapy                  | -       |

| Outcome Measures Developmental | Percent |
|--------------------------------|---------|
| Adults Arrested this Year      | 3.9%    |
| Youth Arrested this Year       | 5.4%    |
| Improved School Attendance     | 37.5%   |

| Hospital Readmissions (Civil Status Patients)    | Percent |
|--|---------|
| State Hospital Readmissions: 30 Days             | 7.7%    |
| State Hospital Readmissions: 180 Days            | 19.1%   |
| Readmission to any psychiatric hospital: 30 Days | 17.9%   |

\* Based on 2013 URS data provided by US States and Territories per annual reporting guidelines.



The Community Mental Health Block Grant is administered by the Center for Mental Health Services within the Substance Abuse and Mental Health Services Administration of the US Department of Health and Human Services

Access Domain: Demographic Characteristics of Persons Served by the State Mental Health Authority, FY 2013

New York

| Demographics                     | Total Served   |               |                  |               | Penetration Rates      |             |             | States Reporting |
|----------------------------------|----------------|---------------|------------------|---------------|------------------------|-------------|-------------|------------------|
|                                  | States         |               | US               |               | (per 1,000 population) |             |             |                  |
|                                  | n              | %             | n                | %             | State                  | Northeast   | US          |                  |
| <b>Total</b>                     | <b>717,075</b> | <b>100.0%</b> | <b>7,242,764</b> | <b>100.0%</b> | <b>36.6</b>            | <b>34.6</b> | <b>22.8</b> | <b>58</b>        |
| 0-12                             | 85,557         | 11.9%         | 1,121,956        | 15.5%         | 28.2                   | 30.9        | 21.2        | 58               |
| 13-17                            | 75,355         | 10.5%         | 867,316          | 12.0%         | 61.1                   | 57.8        | 41.6        | 58               |
| 18-20                            | 33,291         | 4.6%          | 346,105          | 4.8%          | 40.1                   | 40.0        | 26.0        | 57               |
| 21-24                            | 41,894         | 5.8%          | 435,196          | 6.0%          | 35.9                   | 37.6        | 24.1        | 58               |
| 25-44                            | 207,523        | 28.9%         | 2,218,050        | 30.6%         | 39.2                   | 39.4        | 26.8        | 58               |
| 45-64                            | 224,709        | 31.3%         | 1,927,249        | 26.6%         | 42.8                   | 35.7        | 23.3        | 58               |
| 65-74                            | 31,354         | 4.4%          | 208,484          | 2.9%          | 21.2                   | 16.9        | 8.7         | 57               |
| 75 and over                      | 16,477         | 2.3%          | 108,667          | 1.5%          | 12.9                   | 12.6        | 5.7         | 56               |
| Age Not Available                | 915            | 0.1%          | 9,741            | 0.1%          | -                      | -           | -           | 30               |
| Female                           | 362,565        | 50.6%         | 3,731,595        | 51.5%         | 36.0                   | 34.5        | 23.1        | 58               |
| Male                             | 354,273        | 49.4%         | 3,500,934        | 48.3%         | 37.3                   | 34.7        | 22.4        | 58               |
| Gender Not Available             | 237            | 0.0%          | 10,235           | 0.1%          | -                      | -           | -           | 35               |
| American Indian/Alaskan Native   | 2429           | 0.3%          | 87,413           | 1.2%          | 13.0                   | 25.0        | 22.7        | 53               |
| Asian                            | 11350          | 1.6%          | 81,396           | 1.1%          | 7.2                    | 6.3         | 5.0         | 55               |
| Black/African American           | 172118         | 24.0%         | 1,426,247        | 19.7%         | 50.3                   | 52.8        | 34.6        | 53               |
| Native Hawaiian/Pacific Islander | 1285           | 0.2%          | 14,752           | 0.2%          | 51.2                   | 40.3        | 21.1        | 53               |
| White                            | 383537         | 53.5%         | 4,490,973        | 62.0%         | 27.5                   | 27.0        | 18.4        | 56               |
| Hispanic or Latino Race          | *              | *             | 64,678           | 0.9%          | *                      | -           | 3.5         | 12               |
| Multi-Racial                     | 16550          | 2.3%          | 240,189          | 3.3%          | 37.7                   | 84.5        | 33.6        | 52               |
| Race Not Available               | 129806         | 18.1%         | 837,116          | 11.6%         | -                      | -           | -           | 54               |
| Hispanic or Latino Ethnicity     | 168,862        | 23.5%         | 939,844          | 13.4%         | 47.5                   | 43.0        | 17.8        | 53               |
| Not Hispanic or Latino Ethnicity | 529,048        | 73.8%         | 5,394,820        | 76.8%         | 33.0                   | 31.9        | 21.2        | 55               |
| Ethnicity Not Available          | 19,165         | 2.7%          | 692,051          | 9.8%          | -                      | -           | -           | 47               |

\* Reported under Hispanic Origin.

**Note:**

Are Client Counts Unduplicated? Unduplicated Number of States with Unduplicated Counts 43

This table uses data from URS/DIG Table 2a, Table 2b and from the US Census Bureau. All denominators use US Census data from 2012

US totals are calculated uniquely for each data element based on only those states who reported clients served.

Regional groupings are based on SAMHSA's Block Grant Regions.

**State Notes:**

**Table 2a**

Age None  
 Gender None  
 Race None  
 Overall Source: Patient Characteristics Survey (PCS 2011); 95% CI is 649,632 to 797,889. Annual estimates were prepared using capture-recapture methodology (Laska, Meisner, Siegel, Biometrics, June 1988).

**Table 2b**

Age None  
 Gender None  
 Race None  
 Overall Source: Patient Characteristics Survey (PCS 2011); 95% CI is 649,632 to 797,889. Annual estimates were prepared using capture-recapture methodology (Laska, Meisner, Siegel, Biometrics, June 1988).

# Access Domain: Persons Served in Community Mental Health Programs by Age and Gender, FY 2013

## New York

| Demographic          | Served in Community |        |           |        | Penetration Rates<br>(rate per 1,000 population) |      | States Reporting |
|----------------------|---------------------|--------|-----------|--------|--|------|------------------|
|                      | State               |        | US        |        | State  | US   |                  |
|                      | n                   | %      | n         | %      |  |      |                  |
| Age 0-17             | 148,676             | 23.0%  | 1,985,979 | 28.3%  | 34.9   | 26.9 | 58               |
| Age 18-20            | 27,350              | 4.2%   | 338,392   | 4.8%   | 32.9   | 25.4 | 57               |
| Age 21-64            | 427,437             | 66.2%  | 4,385,594 | 62.4%  | 36.5   | 23.9 | 58               |
| Age 65+              | 41,658              | 6.4%   | 305,133   | 4.3%   | 15.1   | 6.5  | 57               |
| Age Not Available    | 913                 | 0.1%   | 9,151     | 0.1%   | -  | -    | 28               |
| Age Total            | 646,034             | 100.0% | 7,024,249 | 100.0% | 33.0   | 22.1 | 58               |
| Female               | 328,391             | 50.8%  | 3,634,417 | 51.7%  | 32.6   | 22.5 | 58               |
| Male                 | 317,409             | 49.1%  | 3,380,128 | 48.1%  | 33.4   | 21.6 | 58               |
| Gender Not Available | 234                 | 0.0%   | 9,704     | 0.1%   | -  | -    | 32               |
| Total                | 646,034             | 100.0% | 7,024,249 | 100.0% | 33.0   | 22.1 | 58               |

**Note:**

US totals are based on states reporting.

This table uses data from URS/DIG Table 3.

US penetration rates are calculated uniquely for each data element based on only those states who reported numerator (clients served) data.

**State Notes:**

Age None

Gender None

Overall Community MH includes all settings except inpatient. State Hospital (SFY 4/2012-3/2013). Other Psy Inpatient includes all persons in general hospital psychiatric units and Medicaid recipients served in private psy. hospitals (CY 2012). RTC (SFY 2013).

Access Domain: Persons Served in State Psychiatric Hospitals by Age and Gender, FY 2013

New York

| Demographic          | Served in State Psychiatric Hospitals |        |         |        | Penetration Rates<br>(rate per 1,000 population) |     | States Reporting |
|----------------------|---------------------------------------|--------|---------|--------|--|-----|------------------|
|                      | State                                 |        | US      |        | State  | US  |                  |
|                      | n                                     | %      | n       | %      |  |     |                  |
| Age 0-17             | 2,068                                 | 20.2%  | 10,083  | 6.8%   | 0.5  | 0.2 | 41               |
| Age 18-20            | 262                                   | 2.6%   | 6,626   | 4.5%   | 0.3  | 0.5 | 53               |
| Age 21-64            | 7,301                                 | 71.3%  | 123,798 | 83.7%  | 0.6  | 0.7 | 54               |
| Age 65+              | 605                                   | 5.9%   | 7,320   | 5.0%   | 0.2  | 0.2 | 52               |
| Age Not Available    | -                                     | -      | 26      | 0.0%   | -  | -   | 6                |
| Age Total            | 10,236                                | 100.0% | 147,853 | 100.0% | 0.5  | 0.5 | 54               |
| Female               | 3,455                                 | 33.8%  | 50,121  | 33.9%  | 0.3  | 0.3 | 53               |
| Male                 | 6,781                                 | 66.2%  | 97,719  | 66.1%  | 0.7  | 0.6 | 54               |
| Gender Not Available | -                                     | -      | 13      | 0.0%   | -  | -   | 9                |
| Total                | 10,236                                | 100.0% | 147,853 | 100.0% | 0.5  | 0.5 | 54               |

**Notes:**

US totals are based on states reporting.

This table uses data from URS/DIG Table 3.

US penetration rates are calculated uniquely for each data element based on only those states who reported numerator (clients served) data.

**State Notes:**

Age None

Gender None

Overall Community MH includes all settings except inpatient. State Hospital (SFY 4/2012-3/2013). Other Psy Inpatient includes all persons in general hospital psychiatric units and Medicaid recipients served in private psy. hospitals (CY 2012). RTC (SFY 2013).

**Access Domain: Demographic Characteristics of Adults with SMI and Children with SED Served by the State Mental Health Authority, FY 2013  
New York**

| Demographics                     | Total Served |        |           |        | Penetration Rates<br>(per 1,000 population) |           |      | States    |
|----------------------------------|--------------|--------|-----------|--------|---|-----------|------|-----------|
|                                  | State        |        | US        |        | State                                       | Northeast | US   | Reporting |
|                                  | n            | %      | n         | %      |   |           |      |           |
| Total                            | 526,981      | 100.0% | 4,867,556 | 100.0% | 26.9  | 20.3      | 15.3 | 57        |
| 0-12                             | 58,484       | 11.1%  | 776,767   | 16.0%  | 19.3  | 19.6      | 14.7 | 57        |
| 13-17                            | 57,003       | 10.8%  | 627,136   | 12.9%  | 46.2  | 37.0      | 30.1 | 57        |
| 18-20                            | 22,312       | 4.2%   | 206,063   | 4.2%   | 26.8  | 21.3      | 15.5 | 56        |
| 21-64                            | 353,529      | 67.1%  | 3,055,317 | 62.8%  | 30.2  | 21.6      | 16.6 | 57        |
| 65-74                            | 24,063       | 4.6%   | 141,049   | 2.9%   | 16.2  | 9.9       | 5.9  | 56        |
| 75 and over                      | 11,219       | 2.1%   | 59,498    | 1.2%   | 8.8   | 6.2       | 3.4  | 55        |
| Age Not Available                | 371          | 0.1%   | 1,726     | 0.0%   | -   | -         | -    | 19        |
| Female                           | 265,443      | 50.4%  | 2,498,030 | 51.3%  | 26.3  | 19.9      | 15.5 | 57        |
| Male                             | 261,455      | 49.6%  | 2,366,873 | 48.6%  | 27.6  | 20.8      | 15.1 | 57        |
| Gender Not Available             | 83           | 0.0%   | 2,653     | 0.1%   | -   | -         | -    | 30        |
| American Indian/Alaskan Native   | 1,681        | 0.3%   | 55,042    | 1.1%   | 9.0   | 12.8      | 14.3 | 51        |
| Asian                            | 9,205        | 1.7%   | 60,835    | 1.2%   | 5.9   | 4.2       | 3.8  | 54        |
| Black/African American           | 128,923      | 24.5%  | 1,002,859 | 20.6%  | 37.7  | 33.0      | 24.3 | 52        |
| Native Hawaiian/Pacific Islander | 862          | 0.2%   | 9,106     | 0.2%   | 34.3  | 26.2      | 12.9 | 53        |
| White                            | 277,179      | 52.6%  | 2,933,358 | 60.3%  | 19.9  | 15.3      | 12.0 | 55        |
| Hispanic or Latino Race          | *            | *      | 32,172    | 0.7%   | *   | -         | 0.6  | 10        |
| Multi-Racial                     | 14,357       | 2.7%   | 171,817   | 3.5%   | 32.7  | 54.4      | 22.9 | 51        |
| Race Not Available               | 94,774       | 18.0%  | 602,367   | 12.4%  | -   | -         | -    | 53        |
| Hispanic or Latino Ethnicity     | 126,979      | 24.1%  | 780,191   | 16.6%  | 35.7  | 44.4      | 14.7 | 52        |
| Not Hispanic or Latino Ethnicity | 390,107      | 74.0%  | 3,388,053 | 72.2%  | 24.4  | 16.0      | 13.0 | 54        |
| Ethnicity Not Available          | 9,895        | 1.9%   | 525,502   | 11.2%  | -   | -         | -    | 46        |

\* Reported under Hispanic Ethnicity.

**Note:**  
This table uses data from URS/DIG Table 14a, Table 14b and from the US Census Bureau. All denominators use US Census data from 2012

US totals are calculated uniquely for each data element based on only those states who reported clients served.

Regional groupings are based on SAMHSA's Block Grant Regions.

**State Notes:**

Table 14a

Age None

Gender None

Race None

Overall Source: Patient Characteristics Survey 2011. Point estimates are shown above; the 95% CI for persons with SMI or SED served annually is 476,698 - 587,238.

Table 14b

Age None

Gender None

Race None

Overall Source: Patient Characteristics Survey 2011. Point estimates are shown above; the 95% CI for persons with SMI or SED served annually is 476,698 - 587,238.

# Access Domain: Adults with SMI and Children with SED Served in Community Mental Health Programs by Age and Gender, FY 2013

## New York

| Demographic          | Served in Community |        |           |        | Penetration Rates<br>(rate per 1,000 population) |      | States Reporting |
|----------------------|---------------------|--------|-----------|--------|--|------|------------------|
|                      | State               |        | US        |        | State  | US   |                  |
|                      | n                   | %      | n         | %      |  |      |                  |
| Age 0-17             | 103,251             | 22.6%  | 1,363,883 | 29.1%  | 24.2   | 18.6 | 56               |
| Age 18-20            | 16,371              | 3.6%   | 199,417   | 4.2%   | 19.7   | 15.0 | 55               |
| Age 21-64            | 306,840             | 67.3%  | 2,940,258 | 62.6%  | 26.2   | 16.0 | 56               |
| Age 65+              | 29,109              | 6.4%   | 188,003   | 4.0%   | 10.6   | 4.0  | 55               |
| Age Not Available    | 369                 | 0.1%   | 1,849     | 0.0%   | -  | -    | 17               |
| Age Total            | 455,940             | 100.0% | 4,693,410 | 100.0% | 23.3   | 14.8 | 56               |
| Female               | 231,269             | 50.7%  | 2,406,657 | 51.3%  | 22.9   | 14.9 | 56               |
| Male                 | 224,591             | 49.3%  | 2,284,387 | 48.7%  | 23.7   | 14.6 | 56               |
| Gender Not Available | 80                  | 0.0%   | 2,366     | 0.1%   | -  | -    | 28               |
| Total                | 455,940             | 100.0% | 4,693,410 | 100.0% | 23.3   | 14.8 | 56               |

**Note:**

US totals are based on states reporting.

This table uses data from URS/DIG Table 15a.

US penetration rates are calculated uniquely for each data element based on only those states who reported numerator (clients served) data.

**State Notes:**

Age                    None  
 Gender                None  
 Overall                None

**Access Domain: Adults with SMI and Children with SED Served in State Psychiatric Hospitals by Age and Gender, FY 2013**

**New York**

| Demographic          | Served in State Psychiatric Hospitals |        |         |        | Penetration Rates<br>(rate per 1,000 population) |     | States Reporting |
|----------------------|---------------------------------------|--------|---------|--------|--|-----|------------------|
|                      | State                                 |        | US      |        | State  | US  |                  |
|                      | n                                     | %      | n       | %      |  |     |                  |
| Age 0-17             | 1,822                                 | 19.7%  | 7,682   | 7.1%   | 0.4  | 0.1 | 35               |
| Age 18-20            | 234                                   | 2.5%   | 4,660   | 4.3%   | 0.3  | 0.4 | 49               |
| Age 21-64            | 6,709                                 | 72.4%  | 91,178  | 83.7%  | 0.6  | 0.5 | 49               |
| Age 65+              | 505                                   | 5.4%   | 5,411   | 5.0%   | 0.2  | 0.1 | 46               |
| Age Not Available    | -                                     | -      | 9       | 0.0%   | -  | -   | 4                |
| Age Total            | 9,270                                 | 100.0% | 108,940 | 100.0% | 0.5  | 0.4 | 49               |
| Female               | 3,163                                 | 34.1%  | 37,098  | 34.1%  | 0.3  | 0.2 | 49               |
| Male                 | 6,107                                 | 65.9%  | 71,836  | 65.9%  | 0.6  | 0.5 | 49               |
| Gender Not Available | -                                     | -      | 6       | 0.0%   | -  | -   | 5                |
| Total                | 9,270                                 | 100.0% | 108,940 | 100.0% | 0.5  | 0.4 | 49               |

**Notes:**

US totals are based on states reporting.

This table uses data from URS/DIG Table 15a.

US penetration rates are calculated uniquely for each data element based on only those states who reported numerator (clients served) data.

**State Notes:**

Age                None  
 Gender           None  
 Overall           None

**Appropriateness Domain: Percent of Adults and Children Served Who Meet the Federal Definition for SMI/SED and Percent of Adults and Children Served Who Have Co-Occurring MH/AOD Disorders, FY 2013**

**New York**

| <b>Adults and Children who meet the Federal Definition of SMI/SED</b>               | <b>State</b> | <b>US Average</b> | <b>US Median</b> | <b>States Reporting</b> |
|---|--------------|-------------------|------------------|-------------------------|
| Percent of Adults served through the SMHA who meet the Federal definition for SMI   | 74.0%        | 68.0%             | 69.0%            | 55                      |
| Percent of Children served through the SMHA who meet the Federal definition for SED | 71.8%        | 71.5%             | 72.5%            | 56                      |

| <b>Co-occurring MH and Substance Abuse Consumers</b>   | <b>State</b> | <b>US Average</b> | <b>US Median</b> | <b>States Reporting</b> |
|--|--------------|-------------------|------------------|-------------------------|
| Percent of Adults served through the SMHA who had a co-occurring MH and AOD disorder   | 27.0%        | 21.2%             | 21.0%            | 51                      |
| Percent of Children served through the SMHA who had a co-occurring MH and AOD disorder                                       | 5.0%         | 5.2%              | 4.0%             | 51                      |
| Percent of Adults served through the SMHA who met the Federal definitions of SMI who also have a substance abuse diagnosis   | 28.0%        | 21.7%             | 23.0%            | 52                      |
| Percent of Children served through the SMHA who met the Federal definitions of SED who also have a substance abuse diagnosis | 6.0%         | 5.8%              | 5.0%             | 52                      |

**Note**

This table uses data from URS/DIG Table 12.

**State Notes**

None

**ACCESS DOMAIN: Persons Served by SMHA System through Medicaid and Other Funding Sources by Race, Gender, and Ethnicity, FY 2013**

**STATE: New York**

| Demographic                               | State          |                   |                       |  |               |                   |                       | US Averages      |                   |                       |  |               |                   |                       | States Reporting |
|---|----------------|-------------------|-----------------------|--|---------------|-------------------|-----------------------|------------------|-------------------|-----------------------|--|---------------|-------------------|-----------------------|------------------|
|   | Number Served  |                   |                       |  | % Served      |                   |                       | Number Served    |                   |                       |  | % Served      |                   |                       |                  |
|   | Medicaid Only  | Non-Medicaid Only | Both Medicaid & Other | Total Served with Known Funding Status | Medicaid Only | Non-Medicaid Only | Both Medicaid & Other | Medicaid Only    | Non-Medicaid Only | Both Medicaid & Other | Total Served with Known Funding Status | Medicaid Only | Non-Medicaid Only | Both Medicaid & Other |                  |
| Female                                    | 141,892        | 163,616           | 52,182                | 357,690                                | 40%           | 46%               | 15%                   | 1,772,993        | 1,296,570         | 504,358               | 3,573,921                              | 50%           | 36%               | 14%                   | 55               |
| Male                                      | 121,760        | 183,193           | 43,397                | 348,350                                | 35%           | 53%               | 12%                   | 1,541,016        | 1,335,560         | 451,683               | 3,328,259                              | 46%           | 40%               | 14%                   | 55               |
| Gender Not Available                      | 17             | 215               | 3                     | 235                                    | 7%            | 91%               | 1%                    | 3,869            | 3,979             | 299                   | 8,147                                  | 47%           | 49%               | 4%                    | 33               |
| <b>Total</b>                              | <b>263,669</b> | <b>347,024</b>    | <b>95,582</b>         | <b>706,275</b>                         | <b>37%</b>    | <b>49%</b>        | <b>14%</b>            | <b>3,317,878</b> | <b>2,636,109</b>  | <b>956,340</b>        | <b>6,910,327</b>                       | <b>48%</b>    | <b>38%</b>        | <b>14%</b>            | <b>55</b>        |
| American Indian or Alaska Native          | 815            | 1,252             | 348                   | 2,415                                  | 34%           | 52%               | 14%                   | 45,001           | 28,598            | 10,250                | 83,849                                 | 54%           | 34%               | 12%                   | 52               |
| Asian                                     | 4,667          | 5,146             | 1,344                 | 11,157                                 | 42%           | 46%               | 12%                   | 40,669           | 28,175            | 9,055                 | 77,899                                 | 52%           | 36%               | 12%                   | 53               |
| Black or African American                 | 73,182         | 77,641            | 18,827                | 169,650                                | 43%           | 46%               | 11%                   | 752,692          | 418,338           | 204,094               | 1,375,124                              | 55%           | 30%               | 15%                   | 52               |
| Native Hawaiian or Other Pacific Islander | 395            | 695               | 188                   | 1,278                                  | 31%           | 54%               | 15%                   | 6,278            | 5,699             | 1,621                 | 13,598                                 | 46%           | 42%               | 12%                   | 50               |
| White                                     | 116,701        | 205,787           | 55,261                | 377,749                                | 31%           | 54%               | 15%                   | 2,020,847        | 1,696,237         | 617,920               | 4,335,004                              | 47%           | 39%               | 14%                   | 53               |
| Hispanic or Latino                        | *              | *                 | *                     | *                                      | *             | *                 | *                     | 25,677           | 27,134            | 3,029                 | 55,840                                 | 46%           | 49%               | 5%                    | 8                |
| More Than One Race                        | 8,398          | 5,023             | 3,008                 | 16,429                                 | 51%           | 31%               | 18%                   | 86,363           | 48,908            | 26,517                | 161,788                                | 53%           | 30%               | 16%                   | 50               |
| Race Not Available                        | 59,511         | 51,480            | 16,606                | 127,597                                | 47%           | 40%               | 13%                   | 340,351          | 383,020           | 83,854                | 807,225                                | 42%           | 47%               | 10%                   | 51               |
| <b>Total</b>                              | <b>263,669</b> | <b>347,024</b>    | <b>95,582</b>         | <b>706,275</b>                         | <b>37%</b>    | <b>49%</b>        | <b>14%</b>            | <b>3,317,878</b> | <b>2,636,109</b>  | <b>956,340</b>        | <b>6,910,327</b>                       | <b>48%</b>    | <b>38%</b>        | <b>14%</b>            | <b>55</b>        |
| Hispanic or Latino                        | 83,568         | 60,222            | 22,651                | 166,441                                | 50%           | 36%               | 14%                   | 443,641          | 290,789           | 133,937               | 868,367                                | 51%           | 33%               | 15%                   | 52               |
| Not Hispanic or Latino                    | 174,735        | 275,124           | 71,385                | 521,244                                | 34%           | 53%               | 14%                   | 2,438,133        | 1,965,909         | 760,349               | 5,164,391                              | 47%           | 38%               | 15%                   | 53               |
| Ethnicity Not Available                   | 5,366          | 11,678            | 1,546                 | 18,590                                 | 29%           | 63%               | 8%                    | 262,771          | 338,751           | 64,946                | 666,468                                | 39%           | 51%               | 10%                   | 44               |
| <b>Total</b>                              | <b>263,669</b> | <b>347,024</b>    | <b>95,582</b>         | <b>706,275</b>                         | <b>37%</b>    | <b>49%</b>        | <b>14%</b>            | <b>3,144,545</b> | <b>2,595,449</b>  | <b>959,232</b>        | <b>6,699,226</b>                       | <b>47%</b>    | <b>39%</b>        | <b>14%</b>            | <b>54</b>        |

\* Reported under Hispanic Ethnicity.

**Note:**

This table uses data from DIG Tables 5a, and 5b (Hispanic Origin).

**Type of Medicaid Data Reported**

Data based on Medicaid Paid Services

State Notes

5a Age None

5a Gender None

5a Overall Source: Patient Characteristics Survey (2011). Total for Medicaid validated with Medicaid claims and encounters for CY2011.

5b Overall Source: Patient Characteristics Survey 2011. Total for Medicaid validated with Medicaid claims and encounters for CY2011.

**APPROPRIATENESS DOMAIN: NUMBER OF ADMISSIONS DURING THE YEAR TO STATE HOSPITAL INPATIENT AND COMMUNITY-BASED PROGRAMS, FY 2013**

**STATE: New York**

| Setting                       | Demographic | State                  |                               |                          | US                     |                               |                          | Admission Rate |      | States Reporting |
|-------------------------------|-------------|------------------------|-------------------------------|--------------------------|------------------------|-------------------------------|--------------------------|----------------|------|------------------|
|                               |             | Admissions During Year | Total Served At Start of Year | Total Served During Year | Admissions During Year | Total Served At Start of Year | Total Served During Year | State          | US   |                  |
| State Psychiatric Hospitals   | Total       | 7,210                  | 4,427                         | 10,236                   | 125,971                | 42,101                        | 147,852                  | 0.70           | 0.85 | 53               |
|                               | Children    | 1,979                  | 390                           | 2,068                    | 10,325                 | 1,311                         | 10,077                   | 0.96           | 1.02 | 40               |
|                               | Adults      | 5,231                  | 4,037                         | 8,168                    | 115,644                | 40,787                        | 137,743                  | 0.64           | 0.84 | 53               |
|                               | Age NA      | -                      | -                             | -                        | 2                      | 3                             | 1                        | -              | 2.00 | 2                |
| Other Inpatient               | Total       | 122,366                | 4,538                         | 80,216                   | 507,623                | 70,026                        | 367,809                  | 1.53           | 1.38 | 41               |
|                               | Children    | 11,788                 | 412                           | 8,511                    | 71,017                 | 9,232                         | 48,969                   | 1.39           | 1.45 | 32               |
|                               | Adults      | 110,578                | 4,126                         | 71,705                   | 436,516                | 60,734                        | 309,535                  | 1.54           | 1.41 | 41               |
|                               | Age NA      | -                      | -                             | -                        | 90                     | 60                            | 122                      | -              | 0.74 | 5                |
| Residential Treatment Centers | Total       | 409                    | 566                           | 966                      | 39,997                 | 11,011                        | 45,767                   | 0.42           | 0.87 | 38               |
|                               | Children    | 407                    | 470                           | 868                      | 18,226                 | 6,388                         | 15,721                   | 0.47           | 1.16 | 37               |
|                               | Adults      | 2                      | 96                            | 98                       | 21,722                 | 4,611                         | 27,672                   | 0.02           | 0.78 | 31               |
|                               | Age NA      | -                      | -                             | -                        | 49                     | 12                            | 56                       | -              | 0.88 | 3                |
| Community Programs            | Total       | 583,287                | 62,747                        | 646,034                  | 19,441,954             | 3,808,774                     | 6,364,587                | 0.90           | 3.05 | 51               |
|                               | Children    | 139,242                | 9,434                         | 148,676                  | 8,297,110              | 936,416                       | 1,815,876                | 0.94           | 4.57 | 51               |
|                               | Adults      | 443,150                | 53,295                        | 496,445                  | 11,132,022             | 2,870,008                     | 4,539,560                | 0.89           | 2.45 | 51               |
|                               | Age NA      | 895                    | 18                            | 913                      | 12,822                 | 2,350                         | 6,239                    | 0.98           | 2.06 | 16               |

**Note:**

Admission Rate= number of admissions divided by total served during the year

US Admissions During Year uses data from states reporting data only. States are only included in "US Total Served" if they also reported data on admissions.

US Total Served During Year is calculated using data in URS/DIG Table 3.

This table uses data from URS/DIG Table3 and 6.

**Table 3 State Notes:**

Age None  
 Overall Community MH includes all settings except inpatient. State Hospital (SFY 4/2012-3/2013). Other Psy Inpatient includes all persons in general hospital psychiatric units and Medicaid recipients served in private psy. hospitals (CY 2012). RTC (SFY 2013).  
 Gender None

**Table 6 State Notes:**

Hospital SFY 2013 MHARS dataset; includes forensic facilities.  
 Other Inpatient CY 2012 hospital discharge abstracts (SPARCS) and private mental hospitals (Medicaid).  
 Residential SFY 2013 Residential Tx Center for Children (CAIRS).  
 Community Excludes persons who were only in inpatient settings during year. "Beginning of year" = first day of survey week. "Admissions" = Persons (unduplicated) added during the year. Admissions are not available. Source: PCS, one-week survey, Nov 2011.  
 Overall Individuals are duplicated across the state hospital, other psychiatric inpatient, RTC and community settings.

**APPROPRIATENESS DOMAIN: Length of Stays in State Psychiatric Hospitals, Other Psychiatric Inpatient and Residential Treatment Centers for Children Settings, FY 2013**

**STATE: New York**

| Setting                       | Demographic | State                 |        |   |        |   |        | US                    |        |   |        |   |        |           |
|-------------------------------|-------------|-----------------------|--------|---|--------|---|--------|-----------------------|--------|---|--------|---|--------|-----------|
|                               |             | Length of Stay (Days) |        |   |        |   |        | Length of Stay (Days) |        |   |        |   |        |           |
|                               |             | Discharged Clients    |        | Resident Clients in Facility 1 year or less |        | Resident Clients in Facility more than 1 year |        | Discharged Clients    |        | Resident Clients in Facility 1 year or less |        | Resident Clients in Facility more than 1 year |        | States    |
|                               |             | Average               | Median | Average                                     | Median | Average                                       | Median | Average               | Median | Average                                     | Median | Average                                       | Median | Reporting |
| State Hospitals               | All         | -                     | -      | -   | -      | -   | -      | 160                   | 71     | 96  | 68     | 1,698   | 1,060  | 14        |
|                               | Children    | 59                    | 24     | 93  | 74     | 566   | 396    | 103                   | 89     | 69  | 56     | 514   | 498    | 35        |
|                               | Adults      | 244                   | 77     | 122   | 95     | 2,807   | 1,559  | 202                   | 79     | 88  | 64     | 1,939   | 1,242  | 52        |
|                               | Age NA      | -                     | -      | -   | -      | -   | -      | 9                     | 15     | -   | -      | -   | -      | -         |
| Other Inpatient               | All         | -                     | -      | -   | -      | -   | -      | 304                   | 38     | 47  | 42     | 2,702   | 1,072  | 6         |
|                               | Children    | 11                    | 7      | 14  | 8      | 537   | 536    | 16                    | 10     | 28  | 18     | 1,180   | 1,158  | 29        |
|                               | Adults      | 14                    | 8      | 41  | 33     | -   | -      | 68                    | 14     | 35  | 27     | 1,402   | 934    | 34        |
|                               | Age NA      | -                     | -      | -   | -      | -   | -      | 8                     | 6      | 17  | 14     | 730   | 730    | 3         |
| Residential Treatment Centers | All         | -                     | -      | -   | -      | -   | -      | 202                   | 187    | 125   | 140    | 524   | 518    | 3         |
|                               | Children    | 463                   | 384    | 196   | 207    | 608   | 534    | 139                   | 114    | 98  | 86     | 647   | 522    | 34        |
|                               | Adults      | 796                   | 669    | 359   | 359    | 998   | 897    | 216                   | 160    | 119   | 106    | 865   | 675    | 26        |
|                               | Age NA      | -                     | -      | -   | -      | -   | -      | 96                    | 58     | 95  | 83     | 441   | 441    | 3         |

**Note:**

Resident clients are clients who were receiving services in inpatient settings at the end of the reporting period.

This table uses data from URS/DIG Table 6.

**Table 6 State Notes:**

|                 |  |
|-----------------|--|
| Hospital        | SFY 2013 MHARS dataset; includes forensic facilities.  |
| Other Inpatient | CY 2012 hospital discharge abstracts (SPARCS) and private mental hospitals (Medicaid).   |
| Residential     | SFY 2013 Residential Tx Center for Children (CAIRS).   |
| Community       | Excludes persons who were only in inpatient settings during year. "Beginning of year" = first day of survey week. "Admissions" = Persons (unduplicated) added during the year. Admissions are not available. Source: PCS, one-week survey, Nov 2011. |
| Overall         | Individuals are duplicated across the state hospital, other psychiatric inpatient, RTC and community settings.   |

## Appropriateness Domain: Evidence-Based Practices Reported by SMHAs, FY 2013

### New York

| Adult EBP Services            | State  |         | US      |           | Penetration Rate: % of Consumers Receiving EBP/Estimated SMI |            | Measuring Fidelity |    | States Reporting |
|-------------------------------|--------|---------|---------|-----------|--|------------|--------------------|----|------------------|
|                               | EBP N  | SMI N   | EBP N   | SMI N     | State  | US Average | State              | US |                  |
| Supported Housing             | 19,864 | 411,494 | 75,879  | 3,324,028 | 4.8%   | 2.8%       | No                 | 8  | 38               |
| Supported Employment          | 1,634  | 411,494 | 54,199  | 3,324,028 | 0.4%   | 1.9%       | No                 | 17 | 42               |
| Assertive Community Treatment | 6,189  | 411,494 | 63,445  | 3,324,028 | 1.5%   | 2.0%       | Yes                | 23 | 40               |
| Family Psychoeducation        | 867    | 411,494 | 21,806  | 3,324,028 | 0.2%   | 1.3%       | No                 | 3  | 17               |
| Dual Diagnosis Treatment      | -      | -       | 63,027  | 3,324,028 | -  | 3.7%       | No                 | 12 | 24               |
| Illness Self Management       | -      | -       | 235,911 | 3,324,028 | -  | 15.2%      | No                 | 6  | 22               |
| Medication Management         | -      | -       | 365,626 | 3,324,028 | -  | 25.4%      | -                  | 4  | 18               |

| Child/Adolescent EBP Services | State |         | US     |           | Penetration Rate: % of Consumers Receiving EBP/Estimated SED |            | Measuring Fidelity |    | States Reporting |
|-------------------------------|-------|---------|--------|-----------|--|------------|--------------------|----|------------------|
|                               | EBP N | SED N   | EBP N  | SED N     | State  | US Average | State              | US |                  |
| Therapeutic Foster Care       | 72    | 137,799 | 13,444 | 1,347,410 | 0.1%   | 1.3%       | -                  | 7  | 28               |
| Multi-Systemic Therapy        | -     | -       | 7,566  | 1,347,410 | -  | 1.0%       | -                  | 11 | 18               |
| Family Functional Therapy     | -     | -       | 10,452 | 1,347,410 | -  | 1.7%       | -                  | 6  | 13               |

#### Note:

US totals are based on states reporting.

This table uses data from URS/DIG Tables 16 and 17.

US averages are calculated uniquely for each data element based on only those states who reported numerator (clients served) data.

#### State Notes:

Table 16: All data covers SFY2013

Table 17: None

**Outcomes Domain: Employment Status of Adult Mental Health Consumers Served in the Community by Age and Gender, FY 2013**

**New York**

| Demographics         | State    |            |                 |                                | Employed as Percent of those in Labor Force |     | Employed as Percent of Known Employment Status |       | States Reporting |
|----------------------|----------|------------|-----------------|--------------------------------|---|-----|--|-------|------------------|
|                      | Employed | Unemployed | In Labor Force* | With Known Employment Status** | State                                       | US  | State  | US    |                  |
| Age 18 to 20         | 2,678    | 4,782      | 7,460           | 22,717                         | 36%   | 33% | 11.8%  | 11.9% | 56               |
| Age 21 to 64         | 64,332   | 61,417     | 125,749         | 366,179                        | 51%   | 37% | 17.6%  | 18.0% | 57               |
| Age 65 and over      | 1,208    | 912        | 2,120           | 37,352                         | 57%   | 34% | 3.2%   | 7.7%  | 56               |
| Age Not Available    | -        | -          | -               | 861                            | -   | 81% | 0.0%   | 14.5% | 9                |
| Age TOTAL            | 68,218   | 67,111     | 135,329         | 427,109                        | 50%   | 36% | 16.0%  | 17.0% | 57               |
| Female               | 39,102   | 33,914     | 73,016          | 244,274                        | 54%   | 38% | 16.0%  | 17.3% | 57               |
| Male                 | 29,059   | 33,191     | 62,250          | 182,753                        | 47%   | 35% | 15.9%  | 16.5% | 57               |
| Gender Not Available | 57       | 6          | 63              | 82                             | 90%   | 36% | 69.5%  | 17.5% | 27               |
| Gender TOTAL         | 68,218   | 67,111     | 135,329         | 427,109                        | 50%   | 36% | 16.0%  | 17.0% | 57               |

**What populations are reported?** Data excludes persons in institutional settings, jails and correctional facilities. Number of States Reporting All Clients: 38 Number of States Reporting Some Clients: 18

| When is Employment Status Measured? | At Admission | At Discharge | Monthly | Quarterly | Other |
|-------------------------------------|--------------|--------------|---------|-----------|-------|
| NY                                  | -            | -            | -       | -         | -     |
| US                                  | 43           | 28           | 4       | 3         | 36    |

**Note:**

\*In Labor Force is the sum of consumers employed and unemployed.

\*\*With Known Employment Status is the sum of consumer employed, unemployed and not in labor force.

Consumers employed as a % of those in labor force uses adults employed and unemployed as the denominator.

Consumers employed as % of known employment status uses the sum of persons employed, unemployed and not in labor force as the denominator.

This table uses data from URS/DIG Table 4.

**State Notes:**

Age None  
 Gender None  
 Overall See General Notes for more information.

## Outcomes Domain: Employment Status of Adult Mental Health Consumers Served in the Community by Diagnosis, FY 2013

### New York

| Diagnosis                           | State    |            |                 |                                | Employed as a % of Labor Force |       | Employed as % of Known Employment Status |       | % of Consumers with Dx |        | States Reporting |
|-------------------------------------|----------|------------|-----------------|--------------------------------|--------------------------------|-------|--|-------|------------------------|--------|------------------|
|                                     | Employed | Unemployed | In Labor Force* | With Known Employment Status** | State                          | US    | State                                    | US    | State                  | US     |                  |
| Schizophrenia and Related Disorders | 5,469    | 9,015      | 14,484          | 76,345                         | 37.8%                          | 23.5% | 7.2%                                     | 5.9%  | 17.9%                  | 13.2%  | 48               |
| Bipolar and Mood Disorders          | 27,021   | 26,438     | 53,459          | 176,128                        | 50.5%                          | 34.4% | 15.3%                                    | 13.7% | 41.2%                  | 45.3%  | 48               |
| Other Psychoses                     | 1,278    | 1,682      | 2,960           | 11,033                         | 43.2%                          | 23.6% | 11.6%                                    | 6.8%  | 2.6%                   | 3.4%   | 48               |
| All other Diagnoses                 | 34,000   | 29,657     | 63,657          | 161,192                        | 53.4%                          | 43.8% | 21.1%                                    | 18.0% | 37.7%                  | 25.6%  | 48               |
| No Diagnosis and Deferred Diagnosis | 450      | 319        | 769             | 2,411                          | 58.5%                          | 40.4% | 18.7%                                    | 8.8%  | 0.6%                   | 12.5%  | 45               |
| TOTAL                               | 68,218   | 67,111     | 135,329         | 427,109                        | 50.4%                          | 36.3% | 16.0%                                    | 12.9% | 100.0%                 | 100.0% | 48               |

#### **Note:**

\*In Labor Force is the sum of consumers employed and unemployed.

\*\*With Known Employment Status is the sum of consumer employed, unemployed and not in labor force.

Consumers employed as a % of those in labor force uses adults employed and unemployed as the denominator.

Consumers employed as % of known employment status uses the sum of persons employed, unemployed and not in labor force as the denominator.

This table uses data for URS/DIG Table 4a.

#### **State Notes:**

Source: 2011 Patient Characteristics Survey. Data excludes persons in institutional settings, jails and correctional facilities.

# APPROPRIATENESS DOMAIN: Living Situation of Consumers Served by State Mental Health Agency Systems, FY 2013

STATE: New York

| Age Group                    | Setting                      | State            |                             |                                     | US               |                             |                                     | States Reporting |
|------------------------------|------------------------------|------------------|-----------------------------|-------------------------------------|------------------|-----------------------------|-------------------------------------|------------------|
|                              |                              | Living Situation | Percent in Living Situation | Percent with Known Living Situation | Living Situation | Percent in Living Situation | Percent with Known Living Situation |                  |
| <b>All Persons Served</b>    | Private Residence            | 514,394          | 71.7%                       | 73.4%                               | 4,130,253        | 60.8%                       | 83.3%                               | 57               |
|                              | Foster Home                  | 2,094            | 0.3%                        | 0.3%                                | 80,726           | 1.2%                        | 1.6%                                | 49               |
|                              | Residential Care             | 31,492           | 4.4%                        | 4.5%                                | 184,476          | 2.7%                        | 3.7%                                | 54               |
|                              | Crisis Residence             | 1,729            | 0.2%                        | 0.2%                                | 5,819            | 0.1%                        | 0.1%                                | 29               |
|                              | Residential Treatment Center | 410              | 0.1%                        | 0.1%                                | 9,854            | 0.1%                        | 0.2%                                | 35               |
|                              | Institutional Setting        | 75,362           | 10.5%                       | 10.8%                               | 132,315          | 1.9%                        | 2.7%                                | 50               |
|                              | Jail (Correctional Facility) | 43,502           | 6.1%                        | 6.2%                                | 102,191          | 1.5%                        | 2.1%                                | 53               |
|                              | Homeless (Shelter)           | 23,465           | 3.3%                        | 3.3%                                | 162,654          | 2.4%                        | 3.3%                                | 53               |
|                              | Other                        | 8,512            | 1.2%                        | 1.2%                                | 151,066          | 2.2%                        | 3.0%                                | 46               |
|                              | Not Available                | 16,115           | 2.2%                        | -                                   | 1,839,191        | 27.1%                       | -                                   | 49               |
|                              | <b>Total</b>                 | <b>717,075</b>   | <b>100.0%</b>               | <b>100.0%</b>                       | <b>6,798,545</b> | <b>100.0%</b>               | <b>100.0%</b>                       | <b>57</b>        |
| <b>Children under age 18</b> | Private Residence            | 136,851          | 85.0%                       | 86.9%                               | 1,153,698        | 62.8%                       | 89.6%                               | 57               |
|                              | Foster Home                  | 1,398            | 0.9%                        | 0.9%                                | 55,975           | 3.0%                        | 4.3%                                | 48               |
|                              | Residential Care             | 1,541            | 1.0%                        | 1.0%                                | 14,744           | 0.8%                        | 1.1%                                | 47               |
|                              | Crisis Residence             | 80               | 0.0%                        | 0.1%                                | 1,043            | 0.1%                        | 0.1%                                | 22               |
|                              | Residential Treatment Center | 368              | 0.2%                        | 0.2%                                | 7,221            | 0.4%                        | 0.6%                                | 33               |
|                              | Institutional Setting        | 12,724           | 7.9%                        | 8.1%                                | 16,815           | 0.9%                        | 1.3%                                | 43               |
|                              | Jail (Correctional Facility) | 1,358            | 0.8%                        | 0.9%                                | 11,487           | 0.6%                        | 0.9%                                | 47               |
|                              | Homeless (Shelter)           | 1,128            | 0.7%                        | 0.7%                                | 5,876            | 0.3%                        | 0.5%                                | 50               |
|                              | Other                        | 2,100            | 1.3%                        | 1.3%                                | 20,370           | 1.1%                        | 1.6%                                | 42               |
|                              | Not Available                | 3,364            | 2.1%                        | -                                   | 549,547          | 29.9%                       | -                                   | 43               |
|                              | <b>Total</b>                 | <b>160,912</b>   | <b>100.0%</b>               | <b>100.0%</b>                       | <b>1,836,776</b> | <b>100.0%</b>               | <b>100.0%</b>                       | <b>57</b>        |
| <b>Adults over age 18</b>    | Private Residence            | 376,693          | 67.8%                       | 69.4%                               | 2,971,553        | 61.3%                       | 81.1%                               | 57               |
|                              | Foster Home                  | 691              | 0.1%                        | 0.1%                                | 24,344           | 0.5%                        | 0.7%                                | 45               |
|                              | Residential Care             | 29,950           | 5.4%                        | 5.5%                                | 169,122          | 3.5%                        | 4.6%                                | 54               |
|                              | Crisis Residence             | 1,648            | 0.3%                        | 0.3%                                | 4,774            | 0.1%                        | 0.1%                                | 28               |
|                              | Residential Treatment Center | 42               | 0.0%                        | 0.0%                                | 2,632            | 0.1%                        | 0.1%                                | 24               |
|                              | Institutional Setting        | 62,636           | 11.3%                       | 11.5%                               | 115,132          | 2.4%                        | 3.1%                                | 50               |
|                              | Jail (Correctional Facility) | 42,144           | 7.6%                        | 7.8%                                | 90,409           | 1.9%                        | 2.5%                                | 53               |
|                              | Homeless (Shelter)           | 22,285           | 4.0%                        | 4.1%                                | 156,604          | 3.2%                        | 4.3%                                | 53               |
|                              | Other                        | 6,409            | 1.2%                        | 1.2%                                | 130,498          | 2.7%                        | 3.6%                                | 46               |
|                              | Not Available                | 12,750           | 2.3%                        | -                                   | 1,184,832        | 24.4%                       | -                                   | 49               |
|                              | <b>Total</b>                 | <b>555,248</b>   | <b>100.0%</b>               | <b>100.0%</b>                       | <b>4,849,900</b> | <b>100.0%</b>               | <b>100.0%</b>                       | <b>57</b>        |

This table uses data from URS/DIG Table 15.

**State Notes:**

Source: Patient Characteristics Survey 2011.

# APPROPRIATENESS DOMAIN: Persons Who were Homeless by Age, Gender, Race, and Ethnicity, FY 2013

STATE: New York

| Demographic                               | Homeless or Living in Shelters |               |                |               | Percent of Total with Known Living Situation |             | States Reporting |
|---|--------------------------------|---------------|----------------|---------------|--|-------------|------------------|
|   | State                          |               | US             |               | State  | US          |                  |
|   | N                              | %             | N              | %             | %  | %           |                  |
| Age 0 to 17                               | 1,128                          | 4.8%          | 5,876          | 3.6%          | 0.7%   | 0.5%        | 50               |
| Age 18 to 64                              | 22,086                         | 94.1%         | 151,960        | 93.4%         | 4.5%   | 4.5%        | 53               |
| Age 65+                                   | 199                            | 0.8%          | 4,644          | 2.9%          | 0.4%   | 1.6%        | 51               |
| Age Not Available                         | 52                             | 0.2%          | 174            | 0.1%          | 5.7%   | 2.5%        | 12               |
| <b>Age Total</b>                          | <b>23,465</b>                  | <b>100.0%</b> | <b>162,654</b> | <b>100.0%</b> | <b>3.3%</b>                                  | <b>3.3%</b> | <b>53</b>        |
| Female                                    | 7,764                          | 33.1%         | 63,658         | 39.1%         | 2.2%   | 2.5%        | 53               |
| Male                                      | 15,698                         | 66.9%         | 98,863         | 60.8%         | 4.5%   | 4.1%        | 53               |
| Gender Not Available                      | 3                              | 0.0%          | 133            | 0.1%          | 3.4%   | 4.5%        | 19               |
| <b>Gender Total</b>                       | <b>23,465</b>                  | <b>100.0%</b> | <b>162,654</b> | <b>100.0%</b> | <b>3.3%</b>                                  | <b>3.3%</b> | <b>53</b>        |
| American Indian or Alaska Native          | 26                             | 0.1%          | 2,818          | 1.7%          | 1.1%   | 4.5%        | 48               |
| Asian                                     | 21                             | 0.1%          | 1,083          | 0.7%          | 0.2%   | 2.1%        | 46               |
| Black or African American                 | 10,033                         | 42.8%         | 47,910         | 29.5%         | 5.9%   | 4.7%        | 50               |
| Native Hawaiian or Other Pacific Islander | 15                             | 0.1%          | 347            | 0.2%          | 1.2%   | 3.7%        | 38               |
| White                                     | 8,267                          | 35.2%         | 91,100         | 56.0%         | 2.2%   | 2.9%        | 51               |
| Hispanic or Latino                        | *                              | *             | 922            | 0.6%          | *  | 1.8%        | 5                |
| More Than One Race                        | 380                            | 1.6%          | 4,465          | 2.7%          | 2.3%   | 2.9%        | 46               |
| Race Not Available                        | 4,723                          | 20.1%         | 14,009         | 8.6%          | 3.8%   | 3.1%        | 45               |
| <b>Race Total</b>                         | <b>23,465</b>                  | <b>100.0%</b> | <b>162,654</b> | <b>100.0%</b> | <b>3.3%</b>                                  | <b>3.3%</b> | <b>53</b>        |
| Hispanic or Latino                        | 6,296                          | 26.8%         | 27,414         | 16.9%         | 3.8%   | 2.7%        | 50               |
| Not Hispanic or Latino                    | 16,778                         | 71.5%         | 124,389        | 76.5%         | 3.2%   | 3.4%        | 50               |
| Not Available                             | 391                            | 1.7%          | 10,851         | 6.7%          | 2.5%   | 3.8%        | 38               |
| <b>Ethnicity Total</b>                    | <b>23,465</b>                  | <b>100.0%</b> | <b>162,654</b> | <b>100.0%</b> | <b>3.3%</b>                                  | <b>3.3%</b> | <b>53</b>        |

\* Reported under Hispanic ethnicity.

**Note:**

US totals are based on states reporting.

This table uses data from URS/DIG Table 15.

US totals are calculated uniquely for each data element based on only those states who reported numerator (clients served) data.

**State Notes:**

Source: Patient Characteristics Survey 2011.

# CONSUMER SURVEY RESULTS, FY 2013

STATE: New York

| Indicators  | Children: State | Children: U.S. Average | States Reporting | Adults: State | Adults: U.S. Average | States Reporting |
|---|-----------------|------------------------|------------------|---------------|----------------------|------------------|
| Reporting Positively About Access                             | 97.7%           | 84.6%                  | 50               | 89.7%         | 84.8%                | 52               |
| Reporting Positively About Quality and Appropriateness        |                 |                        |                  | 89.3%         | 87.8%                | 52               |
| Reporting Positively About Outcomes                           | 84.8%           | 67.6%                  | 51               | 79.9%         | 68.5%                | 53               |
| Reporting on Participation in Treatment Planning              | 98.4%           | 87.3%                  | 51               | 80.8%         | 79.6%                | 52               |
| Family Members Reporting High Cultural Sensitivity of Staff   | 99.3%           | 92.9%                  | 50               |               |                      |                  |
| Reporting positively about General Satisfaction with Services | 97.9%           | 85.7%                  | 51               | 89.3%         | 88.4%                | 52               |

**Note: U.S. Average Children & Adult rates are calculated only for states that used a version of the MHSIP Consumer Survey**

This table uses data from URS/DIG Table 11.

| Children/Family     | State   | U.S.     |
|---------------------|---|----------|
| Type of Survey Used | NYS OMH Family Assessment of Care Survey (FACS) | YSS-F=42 |

| Type of Adult Consumer Survey Used | 28-Item MHSIP | Other MHSIP | Other Survey |
|------------------------------------|---------------|-------------|--------------|
| state                              | -             | Yes         | -            |
| U.S.                               | 30            | 22          | 1            |

| Sample Size & Response Rate            | Children: State | Children: U.S. | States Reporting | Adults: State | Adults: U.S. Average | States Reporting |
|--|-----------------|----------------|------------------|---------------|----------------------|------------------|
| Response Rate                          | 43.0%           | 46.1%          | 42               | -             | 49.9%                | 42               |
| Number of Surveys Attempted (send out) | 2,879           | 149,278        | 42               | -             | 258,881              | 43               |
| Number of Surveys Contacts Made        | 2,879           | 122,783        | 39               | -             | 175,916              | 40               |
| Complete Surveys                       | 1,229           | 65,440         | 47               | 4,767         | 159,754              | 50               |

| Populations covered in survey | Children: State | Children: U.S. | Adults: State | Adults: U.S. |
|-------------------------------|-----------------|----------------|---------------|--------------|
| All Consumers                 | -               | 5              | -             | 4            |
| Sample                        | Yes             | 45             | Yes           | 49           |

| Sample Approach    | Children: State | Children: U.S. | Adults: State | Adults: U.S. |
|--------------------|-----------------|----------------|---------------|--------------|
| Random Sample      | -               | 11             | -             | 9            |
| Stratified Sample  | -               | 14             | -             | 16           |
| Convenience Sample | Yes             | 19             | Yes           | 21           |
| Other Sample       | -               | 2              | -             | 3            |

| Who is Sampled? | Children: State | Children: U.S. | Adults: State | Adults: U.S. |
|-----------------|-----------------|----------------|---------------|--------------|
| Current Clients | Yes             | 50             | Yes           | 53           |
| Former Clients  | -               | 16             | -             | 17           |

| Populations included in sample: (e.g., all adults, only adults with SMI, etc.) | Children: State | Children: U.S. | Adults: State | Adults: U.S. |
|--|-----------------|----------------|---------------|--------------|
| All Children or Adults Served  | -               | 27             | Yes           | 33           |
| SMI Adults or SED Children   | Yes             | 19             | -             | 21           |
| Persons Covered by Medicaid  | -               | 11             | -             | 11           |
| Other  | -               | 9              | -             | 10           |

**State Notes:**

See General Notes

**OUTCOMES DOMAIN: Consumer Survey Results, by Race/Ethnicity FY 2013**

STATE: New York

| Race/Ethnicity                            | Adult Consumer Survey Indicators: Reporting Positively About... |            |                           |            |          |            |                              |            |                      |            |                      |            |                      |            | States |
|---|---|------------|---------------------------|------------|----------|------------|------------------------------|------------|----------------------|------------|----------------------|------------|----------------------|------------|--------|
|   | Access  |            | Quality & Appropriateness |            | Outcomes |            | Participation In Tx Planning |            | General Satisfaction |            | Social Connectedness |            | Improved Functioning |            |        |
|   | State   | US Average | State                     | US Average | State    | US Average | State                        | US Average | State                | US Average | State                | US Average | State                | US Average |        |
| Total                                     | 90%   | 86%        | 89%                       | 89%        | 80%      | 71%        | 81%                          | 82%        | 89%                  | 89%        | 77%                  | 70%        | 79%                  | 71%        | 45     |
| American Indian or Alaska Native          | 85%   | 82%        | 85%                       | 86%        | 79%      | 71%        | 75%                          | 78%        | 87%                  | 87%        | 77%                  | 72%        | 79%                  | 71%        | 39     |
| Asian                                     | 88%   | 88%        | 88%                       | 89%        | 77%      | 76%        | 75%                          | 81%        | 86%                  | 89%        | 76%                  | 72%        | 78%                  | 76%        | 39     |
| Black or African American                 | 89%   | 87%        | 88%                       | 89%        | 85%      | 75%        | 80%                          | 82%        | 88%                  | 88%        | 81%                  | 74%        | 84%                  | 75%        | 41     |
| Native Hawaiian or Other Pacific Islander | 100%  | 86%        | 100%                      | 85%        | 100%     | 85%        | 92%                          | 83%        | 100%                 | 88%        | 92%                  | 84%        | 100%                 | 84%        | 31     |
| White                                     | 90%   | 86%        | 90%                       | 90%        | 77%      | 70%        | 82%                          | 83%        | 91%                  | 89%        | 74%                  | 70%        | 77%                  | 70%        | 41     |
| Hispanic or Latino                        | 92%   | 87%        | 92%                       | 91%        | 85%      | 74%        | 85%                          | 83%        | 93%                  | 91%        | 82%                  | 72%        | 84%                  | 73%        | 37     |
| More Than One Race                        | 86%   | 80%        | 83%                       | 84%        | 80%      | 66%        | 76%                          | 77%        | 83%                  | 86%        | 74%                  | 66%        | 80%                  | 67%        | 31     |
| Not Available                             | 89%   | 85%        | 89%                       | 88%        | 84%      | 70%        | 82%                          | 80%        | 88%                  | 88%        | 80%                  | 69%        | 83%                  | 71%        | 38     |

| Race/Ethnicity                            | Family of Children Survey Indicators: Reporting Positively About... |            |                                    |            |          |            |                              |            |                               |            |                      |            |                      |            | States |
|---|---|------------|------------------------------------|------------|----------|------------|------------------------------|------------|-------------------------------|------------|----------------------|------------|----------------------|------------|--------|
|   | Access  |            | General Satisfaction with Services |            | Outcomes |            | Participation In Tx Planning |            | Cultural Sensitivity of Staff |            | Social Connectedness |            | Improved Functioning |            |        |
|   | State   | US Average | State                              | US Average | State    | US Average | State                        | US Average | State                         | US Average | State                | US Average | State                | US Average |        |
| Total                                     | 98%   | 85%        | 98%                                | 87%        | 85%      | 68%        | 98%                          | 89%        | 99%                           | 94%        | 87%                  | 87%        | 85%                  | 70%        | 44     |
| American Indian or Alaska Native          | 100%  | 84%        | 100%                               | 86%        | 77%      | 71%        | 92%                          | 87%        | 100%                          | 93%        | 92%                  | 87%        | 77%                  | 73%        | 33     |
| Asian                                     | 100%  | 81%        | 82%                                | 87%        | 81%      | 72%        | 100%                         | 89%        | 100%                          | 93%        | 71%                  | 86%        | 81%                  | 76%        | 29     |
| Black or African American                 | 98%   | 87%        | 98%                                | 86%        | 84%      | 66%        | 99%                          | 89%        | 100%                          | 94%        | 87%                  | 87%        | 84%                  | 66%        | 38     |
| Native Hawaiian or Other Pacific Islander | 100%  | 86%        | 100%                               | 89%        | 100%     | 76%        | 100%                         | 90%        | 100%                          | 93%        | 100%                 | 90%        | 100%                 | 79%        | 24     |
| White                                     | 98%   | 86%        | 98%                                | 86%        | 84%      | 67%        | 99%                          | 90%        | 99%                           | 95%        | 87%                  | 87%        | 84%                  | 69%        | 39     |
| Hispanic or Latino                        | 99%   | 87%        | 97%                                | 89%        | 83%      | 72%        | 98%                          | 88%        | 99%                           | 95%        | 84%                  | 87%        | 83%                  | 78%        | 32     |
| More Than One Race                        | 98%   | 83%        | 99%                                | 86%        | 85%      | 64%        | 99%                          | 87%        | 99%                           | 93%        | 88%                  | 84%        | 85%                  | 67%        | 28     |
| Not Available                             | 98%   | 85%        | 96%                                | 87%        | 88%      | 72%        | 97%                          | 86%        | 99%                           | 94%        | 84%                  | 86%        | 88%                  | 74%        | 38     |

**Notes:**

This table uses data from URS/DIG Table 11a.

**State Notes:**

Totals exclude persons leaving the Race question unanswered.

**Outcomes Domain: Change in Social Connectedness and Functioning, FY 2013**

**New York**

| Indicators  | Children |            |           |                  | Adults |            |           |                  |
|---|----------|------------|-----------|------------------|--------|------------|-----------|------------------|
|   | State    | US Average | US Median | States Reporting | State  | US Average | US Median | States Reporting |
| Percent Reporting Improved Social Connectedness from Services | 86.6%    | 85.1%      | 84.3%     | 49               | 76.9%  | 69.5%      | 69.5%     | 53               |
| Percent Reporting Improved Functioning from Services          | 84.8%    | 69.3%      | 64.3%     | 51               | 79.5%  | 70.0%      | 69.5%     | 53               |

**Note:**

This table uses data from URS/DIG Table 9.

US State Averages and Medians are calculated only with states which used the recommended Social Connectedness and Functioning questions.

| Adult Social Connectedness and Functioning Measures                | State | US |
|--|-------|----|
| Did you use the recommended new Social Connectedness Questions?    | Yes   | 50 |
| Did you use the recommended new Functioning Domain Questions?      | Yes   | 50 |
| Did you collect these as part of your MHSIP Adult Consumer Survey? | Yes   | 51 |

| Children/Family Social Connectedness and Functioning Measures   | State                                       | US |
|---|---|----|
| Did you use the recommended new Social Connectedness Questions? | No  | 46 |
| If No, what Measure did you use?                                | NYS FACS                                    |    |
| Did you use the recommended new Functioning Domain Questions?   | No  | 47 |
| If No, what Measure did you use?                                | NYS FACS                                    |    |
| Did you collect these as part of your YSS-F Survey?             | No  | 47 |
| If No, what Measure did you use?                                | NYS Family Assessment of Care Survey (FACS) |    |

**State Notes:**

None

**OUTCOMES DOMAIN: Civil (Non Forensic) & Forensic Patients Readmission within 30 Days by Age, Gender, and Race, FY 2013**

**STATE: New York**

| Demographic                               | Readmissions within 30 days to state psychiatric hospitals: "Civil" (Non-Forensic) Patients |              |             |                |                |             |                  | Readmissions within 30 days to state psychiatric hospitals: Forensic Patients |              |             |                |               |             |                  |
|---|---|--------------|-------------|----------------|----------------|-------------|------------------|---|--------------|-------------|----------------|---------------|-------------|------------------|
|   | State   |              |             | US             |                |             |                  | State   |              |             | US             |               |             |                  |
|   | Readmissions N  | Discharges N | %           | Readmissions N | Discharges N   | %           | States Reporting | Readmissions N  | Discharges N | %           | Readmissions N | Discharges N  | %           | States Reporting |
| Age 0 to 12                               | 16  | 484          | 3.3%        | 114            | 1,950          | 5.8%        | 13               | 0   | 4            | 0.0%        | -              | 25            | -           | 0                |
| Age 13 to 17                              | 58  | 1,152        | 5.0%        | 385            | 6,744          | 5.7%        | 20               | 4   | 166          | 2.4%        | 27             | 652           | 4.1%        | 8                |
| Age 18 to 20                              | 9   | 173          | 5.2%        | 526            | 5,859          | 9.0%        | 38               | 3   | 50           | 6.0%        | 44             | 1,000         | 4.4%        | 15               |
| Age 21 to 64                              | 290   | 3,086        | 9.4%        | 8,011          | 89,595         | 8.9%        | 48               | 52  | 1,281        | 4.1%        | 1,106          | 20,208        | 5.5%        | 41               |
| Age 65 to 74                              | 19  | 190          | 10.0%       | 186            | 2,836          | 6.6%        | 33               | 1   | 38           | 2.6%        | 25             | 519           | 4.8%        | 16               |
| Age 75 and over                           | 1   | 25           | 4.0%        | 21             | 913            | 2.3%        | 13               | 0   | 8            | 0.0%        | 4              | 113           | 3.5%        | 4                |
| Age Not Available                         | 0   | -            | -           | 5              | 30             | 16.7%       | 2                | 0   | -            | -           | -              | 1             | -           | 0                |
| <b>Age Total</b>                          | <b>393</b>  | <b>5,110</b> | <b>7.7%</b> | <b>9,248</b>   | <b>107,927</b> | <b>8.6%</b> | <b>48</b>        | <b>60</b>   | <b>1,547</b> | <b>3.9%</b> | <b>1,206</b>   | <b>22,518</b> | <b>5.4%</b> | <b>42</b>        |
| Female                                    | 179   | 2,290        | 7.8%        | 3,572          | 43,650         | 8.2%        | 47               | 15  | 292          | 5.1%        | 374            | 4,928         | 7.6%        | 27               |
| Male                                      | 214   | 2,820        | 7.6%        | 5,675          | 64,250         | 8.8%        | 48               | 45  | 1,255        | 3.6%        | 832            | 17,585        | 4.7%        | 41               |
| Gender Not Available                      | 0   | -            | -           | 1              | 27             | 3.7%        | 1                | 0   | -            | -           | -              | 5             | -           | 0                |
| <b>Gender Total</b>                       | <b>393</b>  | <b>5,110</b> | <b>7.7%</b> | <b>9,248</b>   | <b>107,927</b> | <b>8.6%</b> | <b>48</b>        | <b>60</b>   | <b>1,547</b> | <b>3.9%</b> | <b>1,206</b>   | <b>22,518</b> | <b>5.4%</b> | <b>42</b>        |
| American Indian or Alaska Native          | 6   | 32           | 18.8%       | 214            | 2,170          | 9.9%        | 19               | 1   | 6            | 16.7%       | 14             | 228           | 6.1%        | 6                |
| Asian                                     | 18  | 98           | 18.4%       | 98             | 1,191          | 8.2%        | 24               | 0   | 33           | 0.0%        | 19             | 452           | 4.2%        | 6                |
| Black or African American                 | 111   | 1,332        | 8.3%        | 2,441          | 26,347         | 9.3%        | 42               | 26  | 752          | 3.5%        | 394            | 8,214         | 4.8%        | 29               |
| Native Hawaiian or Other Pacific Islander | 1   | 8            | 12.5%       | 41             | 308            | 13.3%       | 6                | 0   | 2            | 0.0%        | 3              | 77            | 3.9%        | 2                |
| White                                     | 211   | 2,907        | 7.3%        | 5,945          | 71,785         | 8.3%        | 47               | 25  | 531          | 4.7%        | 617            | 11,057        | 5.6%        | 39               |
| Hispanic or Latino                        | *   | *            | *           | 142            | 1,581          | 9.0%        | 9                | *   | *            | *           | 17             | 872           | 1.9%        | 4                |
| More Than One Race                        | 12  | 108          | 11.1%       | 153            | 1,687          | 9.1%        | 17               | 0   | 18           | 0.0%        | 26             | 472           | 5.5%        | 6                |
| Race Not Available                        | 34  | 625          | 5.4%        | 214            | 2,858          | 7.5%        | 26               | 8   | 205          | 3.9%        | 116            | 1,146         | 10.1%       | 10               |
| <b>Race Total</b>                         | <b>393</b>  | <b>5,110</b> | <b>7.7%</b> | <b>9,248</b>   | <b>107,927</b> | <b>8.6%</b> | <b>48</b>        | <b>60</b>   | <b>1,547</b> | <b>3.9%</b> | <b>1,206</b>   | <b>22,518</b> | <b>5.4%</b> | <b>42</b>        |
| Hispanic or Latino                        | 48  | 691          | 6.9%        | 795            | 9,322          | 8.5%        | 35               | 11  | 253          | 4.3%        | 207            | 2,621         | 7.9%        | 15               |
| Not Hispanic or Latino                    | 302   | 3,712        | 8.1%        | 7,014          | 86,175         | 8.1%        | 44               | 38  | 1,041        | 3.7%        | 897            | 14,873        | 6.0%        | 33               |
| Not Available                             | 43  | 707          | 6.1%        | 481            | 5,107          | 9.4%        | 20               | 11  | 253          | 4.3%        | 55             | 1,318         | 4.2%        | 16               |
| <b>Ethnicity Total</b>                    | <b>393</b>  | <b>5,110</b> | <b>7.7%</b> | <b>8,290</b>   | <b>100,604</b> | <b>8.2%</b> | <b>46</b>        | <b>60</b>   | <b>1,547</b> | <b>3.9%</b> | <b>1,159</b>   | <b>18,812</b> | <b>6.2%</b> | <b>40</b>        |

\* Reported under Hispanic Ethnicity.

Forensics included in "non forensic" data? No

**Note:**

US totals are based on states reporting.

This table uses data from URS/DIG Tables 20a and 20b.

State Notes:

See General Notes.

**OUTCOMES DOMAIN: Civil (Non Forensic) & Forensic Patients Readmission within 180 Days by Age, Gender, and Race, FY 2013**

**STATE: New York**

| Demographic                               | Readmissions within 180 days to state psychiatric hospitals: "Civil" (Non-Forensic) Patients |              |              |                |                |              |                  | Readmissions within 180 days to state psychiatric hospitals: Forensic Patients |              |              |                |               |              |                  |
|---|--|--------------|--------------|----------------|----------------|--------------|------------------|--|--------------|--------------|----------------|---------------|--------------|------------------|
|   | State  |              |              | US             |                |              |                  | State  |              |              | US             |               |              |                  |
|   | Readmissions N   | Discharges N | %            | Readmissions N | Discharges N   | %            | States Reporting | Readmissions N   | Discharges N | %            | Readmissions N | Discharges N  | %            | States Reporting |
| Age 0 to 12                               | 80   | 484          | 16.5%        | 296            | 1,950          | 15.2%        | 18               | 0  | 4            | -            | -              | 25            | -            |                  |
| Age 13 to 17                              | 162  | 1,152        | 14.1%        | 901            | 6,744          | 13.4%        | 25               | 16   | 166          | 9.6%         | 54             | 652           | 8.3%         | 12               |
| Age 18 to 20                              | 17   | 173          | 9.8%         | 1,048          | 5,859          | 17.9%        | 46               | 7  | 50           | 14.0%        | 121            | 1,000         | 12.1%        | 26               |
| Age 21 to 64                              | 668  | 3,086        | 21.6%        | 18,643         | 89,595         | 20.8%        | 49               | 196  | 1,281        | 15.3%        | 3,064          | 20,208        | 15.2%        | 44               |
| Age 65 to 74                              | 45   | 190          | 23.7%        | 429            | 2,836          | 15.1%        | 39               | 5  | 38           | 13.2%        | 74             | 519           | 14.3%        | 27               |
| Age 75 and over                           | 5  | 25           | 20.0%        | 66             | 913            | 7.2%         | 21               | 1  | 8            | 12.5%        | 10             | 113           | 8.8%         | 9                |
| Age Not Available                         | 0  | -            | -            | 14             | 30             | 46.7%        | 2                | 0  | -            | -            | 1              | 1             | 100.0%       | 1                |
| <b>Age Total</b>                          | <b>977</b>   | <b>5,110</b> | <b>19.1%</b> | <b>21,397</b>  | <b>107,927</b> | <b>19.8%</b> | <b>49</b>        | <b>225</b>   | <b>1,547</b> | <b>14.5%</b> | <b>3,324</b>   | <b>22,518</b> | <b>14.8%</b> | <b>45</b>        |
| Female                                    | 438  | 2,290        | 19.1%        | 8,264          | 43,650         | 18.9%        | 49               | 37   | 292          | 12.7%        | 925            | 4,928         | 18.8%        | 36               |
| Male                                      | 539  | 2,820        | 19.1%        | 13,132         | 64,250         | 20.4%        | 49               | 188  | 1,255        | 15.0%        | 2,399          | 17,585        | 13.6%        | 45               |
| Gender Not Available                      | 0  | -            | -            | 1              | 27             | 3.7%         | 1                | 0  | -            | -            | -              | 5             | -            |                  |
| <b>Gender Total</b>                       | <b>977</b>   | <b>5,110</b> | <b>19.1%</b> | <b>21,397</b>  | <b>107,927</b> | <b>19.8%</b> | <b>49</b>        | <b>225</b>   | <b>1,547</b> | <b>14.5%</b> | <b>3,324</b>   | <b>22,518</b> | <b>14.8%</b> | <b>45</b>        |
| American Indian or Alaska Native          | 9  | 32           | 28.1%        | 489            | 2,170          | 22.5%        | 25               | 2  | 6            | 33.3%        | 59             | 228           | 25.9%        | 14               |
| Asian                                     | 27   | 98           | 27.6%        | 212            | 1,191          | 17.8%        | 32               | 2  | 33           | 6.1%         | 55             | 452           | 12.2%        | 14               |
| Black or African American                 | 255  | 1,332        | 19.1%        | 5,802          | 26,347         | 22.0%        | 44               | 112  | 752          | 14.9%        | 1,177          | 8,214         | 14.3%        | 34               |
| Native Hawaiian or Other Pacific Islander | 2  | 8            | 25.0%        | 46             | 308            | 14.9%        | 8                | 0  | 2            | -            | 12             | 77            | 15.6%        | 4                |
| White                                     | 557  | 2,907        | 19.2%        | 13,681         | 71,785         | 19.1%        | 48               | 80   | 531          | 15.1%        | 1,648          | 11,057        | 14.9%        | 42               |
| Hispanic or Latino                        | *  | *            | *            | 331            | 1,581          | 20.9%        | 10               | *  | *            | *            | 69             | 872           | 7.9%         | 6                |
| More Than One Race                        | 31   | 108          | 28.7%        | 369            | 1,687          | 21.9%        | 24               | 3  | 18           | 16.7%        | 78             | 472           | 16.5%        | 13               |
| Race Not Available                        | 96   | 625          | 15.4%        | 467            | 2,858          | 16.3%        | 27               | 26   | 205          | 12.7%        | 226            | 1,146         | 19.7%        | 16               |
| <b>Race Total</b>                         | <b>977</b>   | <b>5,110</b> | <b>19.1%</b> | <b>21,397</b>  | <b>107,927</b> | <b>19.8%</b> | <b>49</b>        | <b>225</b>   | <b>1,547</b> | <b>14.5%</b> | <b>3,324</b>   | <b>22,518</b> | <b>14.8%</b> | <b>45</b>        |
| Hispanic or Latino                        | 123  | 691          | 17.8%        | 1,897          | 9,322          | 20.3%        | 39               | 37   | 253          | 14.6%        | 479            | 2,621         | 18.3%        | 19               |
| Not Hispanic or Latino                    | 767  | 3,712        | 20.7%        | 16,787         | 86,175         | 19.5%        | 45               | 161  | 1,041        | 15.5%        | 2,414          | 14,873        | 16.2%        | 36               |
| Not Available                             | 87   | 707          | 12.3%        | 1,011          | 5,107          | 19.8%        | 25               | 27   | 253          | 10.7%        | 194            | 1,318         | 14.7%        | 19               |
| <b>Ethnicity Total</b>                    | <b>977</b>   | <b>5,110</b> | <b>19.1%</b> | <b>19,695</b>  | <b>100,604</b> | <b>19.6%</b> | <b>47</b>        | <b>225</b>   | <b>1,547</b> | <b>14.5%</b> | <b>3,087</b>   | <b>18,812</b> | <b>16.4%</b> | <b>42</b>        |

\* Reported under Hispanic Ethnicity.

Forensics included in "non forensic" data? No

**Note:**

US totals are based on states reporting.

This table uses data from URS/DIG Tables 20a and 20b.

State Notes:

See General Notes.

**Structure Domain: Federal Mental Health Block Grant Expenditures for Non-Direct Service Activities, FY 2013  
New York**

| <b>Service</b>                  | <b>Non-Direct Block Grant Expenditures</b> | <b>% Total</b> | <b>US % Total</b> |
|---------------------------------|--|----------------|-------------------|
| Technical Assistance Activities | -  | -              | 40.1%             |
| Planning Council                | -  | -              | 5.2%              |
| Administration                  | \$1,273,705                                | 100.0%         | 19.8%             |
| Data Collection/Reporting       | -  | -              | 6.7%              |
| Other Activities                | -  | -              | 28.2%             |
| <b>Total</b>                    | <b>\$1,273,705</b>                         | <b>100.0%</b>  | <b>100.0%</b>     |

Note: This table use data from URS/DIG Table 8.

**State Notes:**

None

**STRUCTURE DOMAIN: State Mental Health Agency Controlled Expenditures for Mental Health, FY 2012**

**STATE New York**

|                           | <b>Expenditures: State</b> | <b>Percent of Total Expenditures: State</b> | <b>Expenditures: U.S.</b> | <b>Percent of Total Expenditures: U.S.</b> |
|---------------------------|----------------------------|---|---------------------------|--|
| State Hospitals-Inpatient | \$1,296,900,000            | 25%   | \$9,259,257,316           | 23%  |
| Other 24-Hour Care        | \$1,648,200,000            | 31%   | \$7,471,957,567           | 19%  |
| Ambulatory/Community      | \$2,084,100,000            | 40%   | \$21,937,689,085          | 55%  |
| Total                     | \$5,268,400,000            | -   | \$39,539,673,787          | -  |

**Note:**

Other 24-Hour Care includes state psychiatric hospital and community based other 24-hour as well as community based inpatient expenditures.

Ambulatory/Community includes expenditures for less than 24-hour care services provided at state psychiatric hospitals. It excludes community based other-24 hour and community based inpatient expenditures.

Total also includes additional SMHA Expenditures for research, training, administration, and other central and regional office expenditures.

Data from NRI's Funding Sources and Expenditures of State Mental Health Agencies, FY 2012 reports - Table 18 "SMHA-Controlled Expenditures for Mental Health Services."

U.S. totals are based on 50 states reporting.

More information on the State Mental Health Agency's Revenues & Expenditures as well as State Footnotes can be found on the NRI website:  
<http://www.nri-incdata.org/>

**STRUCTURE DOMAIN: State Mental Health Agency Controlled Revenues by Funding Sources, FY 2012**

**STATE New York**

| Funding Source            | Ambulatory/Community |                       |                    | State Hospital  |                       |                    |
|---------------------------|----------------------|-----------------------|--------------------|-----------------|-----------------------|--------------------|
|                           | State Revenues       | Percent Total (State) | Percent Total (US) | State Revenues  | Percent Total (State) | Percent Total (US) |
| Medicaid                  | \$1,621,000,000      | 55.5%                 | 59.1%              | \$1,112,200,000 | 60.0%                 | 23.0%              |
| Community MH Block Grant  | \$22,000,000         | 0.8%                  | 1.4%               | -               | -                     | 0.0%               |
| Other SAMHSA              | -                    | -                     | 0.4%               | -               | -                     | 0.0%               |
| other Federal(non-SAMHSA) | \$308,800,000        | 10.6%                 | 2.3%               | \$77,700,000    | 4.2%                  | 4.0%               |
| State                     | \$575,400,000        | 19.7%                 | 28.7%              | \$613,600,000   | 33.1%                 | 68.1%              |
| Other                     | \$338,400,000        | 11.6%                 | 5.6%               | \$21,400,000    | 1.2%                  | 3.9%               |
| Total                     | \$2,921,300,000      | 100.0%                | 100.0%             | \$1,852,700,000 | 100.0%                | 100.0%             |

**Note:**

Data from NRI's Funding Sources and Expenditures of State Mental Health Agencies, FY 2012 reports - State Mental Health Agency Controlled Expenditures and Revenues, Table 24, 25, and 26.

This table does not show Revenues for state central office including Research, Training, and Administration expenses.

More information on the State Mental Health Agency's Revenues & Expenditures as well as State Footnotes can be found on the NRI website:  
<http://www.nri-incdata.org/>

**Structure Domain: Mental Health Programs Funded By the Federal Mental Health Block Grant, FY 2013**

**New York**

Adult Programs = 9    Child Programs = 8    Total Programs = 9    Adult Total: \$17,643,515    Child Total: \$6,556,871    Total: \$24,200,386

| <b>Agency Name</b>                             | <b>Address</b>   | <b>Area Served</b> | <b>Block Grant for Adults with SMI</b> | <b>Block Grant for Children with SED</b> |
|--|--|--------------------|--|--|
| Albany County Community Service                | 175 Green Street, Albany, NY 12202   | Albany             | \$93,942                               | \$346,043                                |
| Erie County                                    | 95 Franklin Street, Rath Bldg., Room 1237, Buffalo, NY 14202                         | Erie               | \$523,670                              | \$573,963                                |
| Monroe County                                  | 1099 Jay Street, Bldg. J., 2nd floor, Rochester, NY 14611                            | Monroe             | \$113,620                              | \$1,238,079                              |
| Nassau County                                  | 60 Charles Lindbergh Blvd., Suite 200, Uniondale, NY 11553                           | Nassau             | \$1,355,969                            | \$968,849                                |
| New York City Dept. of Health & Mental Hygiene | 125 Worth Street, New York, NY 10013   | NYC                | \$14,242,290                           | \$1,646,689                              |
| Orange County                                  | 30 Harriman Drive, Goshen, NY 10924  | Orange             | \$52,920                               | \$0                                      |
| Rensselaer County                              | 1600 Seventh Avenue, County Office Building, Troy, NY 12180                          | Rensselaer         | \$92,560                               | \$160,446                                |
| Suffolk County                                 | North County Complex, Bldg. C92B, 725 Veterans Memorial Highway, Hauppauge, NY 11787 | Suffolk            | \$926,874                              | \$1,036,517                              |
| Westchester County                             | 112 East Post Road, 2nd Floor, White Plains, NY 10601                                | Westchester        | \$241,670                              | \$586,285                                |

New York

| URS Table Number | General Notes  |
|------------------|--|
| 4                | Source: 2011 Patient Characteristics Survey. Data excludes persons in institutional settings, jails and correctional facilities. Question for state data collection changed in 2011 to include only "competitive and integrated" employment and to exclude "competitive with ongoing supports."  |
| 11               | Sample size/response rate: for adult family surveys, the number of surveys attempted and the number of contacts made are not available.  |
| 16               | Funding for the OMH Family Based Treatment was gradually reduced due to underutilization of the program and has ceased effective 3/31. Due to growth in waiver services and implementation of a single point of entry process for determining level of need, community-based services are more available to support families in need.  |
| 16               | For supported employment (SE) tracking, OMH has replaced NYISER with NYESS. Due to a steep learning curve for providers, data for SE is incomplete in NYESS. The number of persons receiving SE in PROS programs and funding for Ongoing-Integrated SE programs has remained level.  |
| 17               | The Family Psychoeducation estimate includes consumers receiving Consumer Centered Family Consultation, a brief 1-5 session intervention that emphasizes information, support and guidance to family members that is practical for adults with SMI. Each year, the Family Psychoeducation training provided by the Family Institute for Education, Practice and Research reaches more families as the number of trained clinicians has expanded. However, we can only report data on the families served by clinicians who are participating in the most recent training initiative and an average on prior initiatives. |
| 21               | The number of discharges has increased from prior years because we are now able to identify and include discharges for persons receiving Medicaid Managed Care.  |