



Drug and Alcohol Services Information System Series: S-6

Uniform Facility Data Set (UFDS): 1997

Data on Substance Abuse Treatment Facilities

Office of Applied Studies



DEPARTMENT OF HEALTH AND HUMAN SERVICES
Substance Abuse and Mental Health Services Administration

OFFICE OF APPLIED STUDIES

Uniform Facility Data Set (UFDS): 1997

Data on Substance Abuse Treatment Facilities

DEPARTMENT OF HEALTH AND HUMAN SERVICES
Substance Abuse and Mental Health Services Administration

TABLE OF CONTENTS [*CLICK ON TOPIC TO GO TO PAGE*](#)

List of Tables	v
List of Figures	viii
Highlights	1
Chapter 1. Description of the Uniform Facility Data Set (UFDS)	2
Introduction	2
UFDS in the Context of DASIS	3
NMFI-Imposed Constraints on UFDS	4
Unit of response	4
Scope of facilities included	4
Procedures for the 1997 UFDS	5
Survey universe	5
Content	5
Data collection	6
Forms accounting and response rate	6
Quality assurance	6
Item non-response	6
Profile of Responding Facilities	7
Further Data Considerations and Limitations	7
Organization of the Report	8
Terminology	8
Chapter 2. Trends in Facility and Client Characteristics	11
Facility Characteristics	13
Client Characteristics	13
Chapter 3. Facility Characteristics and Services	19
Facility Ownership and Organizational Setting	19
Clients in Treatment	19
Programs for Special Groups	20
Services Offered	21
Beds Available for Substance Abuse Treatment	21
Revenue	21

TABLE OF CONTENTS (CONTINUED) [CLICK ON TOPIC TO GO TO PAGE](#)

Chapter 4. Client Characteristics	35
Clients by Facility Ownership and Organizational Setting	35
Clients by Type of Care	35
Clients by Substance of Abuse	36
Client Demographics	36
Clients Receiving Opioid Substitutes	36
Chapter 5. State Data	45
Survey Response	45
Facilities and Clients	45
Clients in Treatment per 100,000 Population	45
Appendix A. UFDS 1997 Questionnaire	67
Appendix B. Organizational Setting	81
Appendix C. Revenue Imputation Methodology	87
Editing/Manual Imputation of Total Revenue	87
Auxiliary Variables	88
Overview of Imputation Procedure	88
Profile of Imputation Results	89

LIST OF TABLES [CLICK ON TOPIC TO GO TO PAGE](#)

Chapter 1

1.1 Forms accounting and response rate: UFDS 1997 6

1.2 Facility function: UFDS 1997 9

Chapter 2

2.1 Substance abuse treatment facilities
by ownership, organizational setting, and clients in treatment: 1990-1997 16

2.2 Substance abuse treatment clients
by sex, race/ethnicity, age group, and type of care: 1990-1997 17

Chapter 3

3.1a Substance abuse treatment facilities by ownership,
according to organizational setting: October 1, 1997 23

3.1b Substance abuse treatment facilities by organizational setting,
according to ownership: October 1, 1997 24

3.2 Substance abuse treatment facilities by number of clients in treatment
per facility, according to ownership and organizational setting:
October 1, 1997 25

3.3 Substance abuse treatment facilities offering programs for special groups,
by ownership and organizational setting: October 1, 1997 26

3.4 Substance abuse treatment facilities offering specified services,
by ownership: October 1, 1997 27

3.5 Substance abuse treatment facilities offering specified services,
by organizational setting: October 1, 1997 29

LIST OF TABLES (CONTINUED) [CLICK ON TOPIC TO GO TO PAGE](#)

3.6	Substance abuse treatment facilities with residential beds available for substance abuse treatment, by utilization rate, according to ownership and organizational setting: October 1, 1997	32
3.7	Substance abuse treatment facilities with hospital inpatient beds available for substance abuse treatment, by utilization rate, according to ownership and organizational setting: October 1, 1997	33
3.8	Annual facility revenue and revenue source by ownership and organizational setting: October 1, 1997	34

Chapter 4

4.1a	Substance abuse treatment clients by facility ownership, according to organizational setting: October 1, 1997	37
4.1b	Substance abuse treatment clients by organizational setting, according to facility ownership: October 1, 1997	38
4.2a	Substance abuse treatment clients by type of care, according to facility ownership and organizational setting: October 1, 1997	39
4.2b	Substance abuse treatment clients by facility ownership and organizational setting, according to type of care: October 1, 1997	40
4.3	Substance abuse treatment clients by substance of abuse, according to facility ownership and organizational setting: October 1, 1997	41
4.4	Substance abuse treatment clients by sex, race/ethnicity, and age, according to type of care: October 1, 1997	42
4.5	Substance abuse treatment clients receiving opioid substitutes, by facility ownership and organizational setting: October 1, 1997	43

Chapter 5

5.1	Forms accounting and response rate by State or jurisdiction: UFDS 1997	47
-----	--	----

LIST OF TABLES (CONTINUED) [CLICK ON TOPIC TO GO TO PAGE](#)

5.2	Substance abuse treatment facilities and clients in treatment, by State or jurisdiction: October 1, 1997	49
5.3	Clients in treatment per 100,000 population aged 12 and over by substance abuse problem, according to State or jurisdiction: October 1, 1997	50
5.4	Substance abuse treatment facilities by ownership, according to State or jurisdiction: October 1, 1997	52
5.5	Substance abuse treatment facilities by organizational setting, according to State or jurisdiction: October 1, 1997	54
5.6	Substance abuse treatment clients by facility ownership, according to State or jurisdiction: October 1, 1997	56
5.7	Substance abuse treatment clients by organizational setting, according to State or jurisdiction: October 1, 1997	58
5.8	Substance abuse treatment clients by type of care, according to State or jurisdiction: October 1, 1997	60
5.9	Substance abuse treatment facilities with residential or hospital inpatient beds available for substance abuse treatment, by State or jurisdiction: October 1, 1997	62
5.10	Facilities dispensing opioid substitutes and substance abuse treatment clients receiving opioid substitutes, by State or jurisdiction: October 1, 1997	64
Appendix Table 1		
	Organizational setting: October 1, 1997	83
Appendix Table 2		
	Auxiliary items used in imputation of total revenue	89

LIST OF FIGURES [*CLICK ON TOPIC TO GO TO PAGE*](#)

Figure 1 Numbers of facilities and clients in treatment: 1990-1997 12

Figure 2 Clients in treatment by age group: 1990-1997 13

Figure 3 Clients in treatment by race/ethnicity: 1990-1997 14

Figure 4 Clients in treatment by type of care: 1990-1997 15

Figure 5 Residential and hospital inpatient utilization rates: October 1, 1997 22

Figure 6 Clients in treatment per 100,000 population aged 12 and over,
by State or jurisdiction: October 1, 1997 46

HIGHLIGHTS

This report presents results from the 1997 Uniform Facility Data Set (UFDS) survey, an annual survey conducted by SAMHSA of facilities providing substance abuse treatment. UFDS is designed to measure the location, characteristics, and use of alcoholism and drug abuse treatment facilities and services throughout the United States, the District of Columbia, and other U.S. jurisdictions. Selected findings are given below.

Trends in Client and Facility Characteristics

- Although males continue to predominate, there was a small but fairly consistent increase in the proportion of female clients, from 28 percent in 1990 to 32 percent in 1997.
- The proportion of clients who were under 18 increased, from a low of 5 percent in 1992 to 9 percent in 1997. Over that period, the proportion of clients aged 25 to 34 declined from 35 percent to 29 percent.
- The proportion of clients who were non-Hispanic whites dropped from 62 percent in 1990 to 57 percent in 1997. There was a parallel increase in the proportion of non-Hispanic black clients, from 21 percent to 25 percent in 1997.
- The proportion of clients reported to receive opioid substitutes (methadone or LAAM) increased from about 9 percent in 1990 to 15 percent in 1997.

Facility Characteristics and Services

Some facilities offered special programs for high-risk or special-risk groups:

- Nearly half of the facilities provided programs for the dually diagnosed.
- Thirty-nine percent of facilities offered programs for adolescents.
- About one quarter of facilities offered programs for persons with HIV/AIDS.
- Programs for pregnant or postpartum women were offered by only 20 percent of facilities.

Client Characteristics

- Slightly over half (53 percent) of clients receiving opioid substitutes were treated at private non-profit facilities; 31 percent were treated at private for-profit facilities.

CHAPTER 1

DESCRIPTION OF THE UNIFORM FACILITY DATA SET (UFDS)

Introduction

This report presents tabular information and highlights from the 1997 Uniform Facility Data Set (UFDS) survey, conducted between October 1997 and March 1998 for the reference date of October 1, 1997. It was the 21st in a series of national surveys designed to measure the location, characteristics, and use of alcoholism and drug abuse treatment facilities and services throughout the United States, the District of Columbia, and other U.S. jurisdictions.¹ UFDS is the responsibility of the Office of Applied Studies, Substance Abuse and Mental Health Services Administration (SAMHSA).

UFDS has evolved from national survey efforts begun in the 1970s by the National Institute on Drug Abuse (NIDA) to measure the scope and use of drug abuse treatment services in the United States. The sixth of these surveys, conducted in 1976, introduced the data elements and format that have formed the core of subsequent surveys. These include organizational setting, service orientation, services available, clients in treatment by type of care, capacity, and annual revenue sources and amounts. The 1976 survey, called the National Drug Abuse Treatment Utilization Survey, was repeated in 1977 and 1978. In 1979, the National Institute on Alcohol Abuse and Alcoholism (NIAAA) became a co-sponsor of the survey, alcoholism

treatment facilities were added, and the study was renamed the National Drug and Alcoholism Treatment Utilization Survey. This survey was repeated in 1980 and 1982. In 1984, a one-page version was used (the National Alcoholism and Drug Abuse Program Inventory). In 1987, the full version of the survey was reinstated, and it was renamed the National Drug and Alcoholism Treatment Unit Survey (NDATUS). NDATUS was conducted annually from 1989-1993. In 1992, with the creation of SAMHSA, responsibility for conducting the survey shifted to SAMHSA's Office of Applied Studies. The survey was redesigned, and conducted annually as the Uniform Facility Data Set survey beginning in 1995.

UFDS is designed to collect information from each facility in the country that provides substance abuse treatment, and from State-identified facilities providing other substance abuse services.² UFDS includes private and publicly-funded facilities. All facilities report identifying information. Treatment facilities also report data on the type of services provided, numbers of clients, client demographics (i.e., age, sex, and race/ethnicity groups), other client characteristics, capacity, and sources and amounts of revenue.

¹ The jurisdictions include the territories of American Samoa and Guam, the Federated States of Micronesia, the Republic of Palau, the Commonwealth of Puerto Rico, and the Virgin Islands of the United States.

² In this report, entities responding to UFDS are referred to as "facilities". This designation is consistent with the title of the survey, although, as discussed below, a "facility" may be a program-level, clinic-level, or multi-site respondent.

UFDS provides the mechanism for quantifying the dynamic character and composition of the U.S. substance abuse treatment delivery system. The UFDS objectives are:

- to assist SAMHSA and State and local governments in assessing the nature and extent of services of State-supported and other treatment facilities, and in forecasting treatment resource requirements;
- to update SAMHSA's National Master Facility Inventory (NMFI) of all known drug abuse and alcoholism treatment facilities and State-identified facilities providing other substance abuse services (described further below);
- to analyze general treatment services trends and to conduct comparative analyses for the nation, regions, and States; and
- to generate the *National Directory of Drug Abuse and Alcoholism Treatment and Prevention Programs*, a compendium of State-tracked facilities providing substance abuse treatment, prevention, and other services.

UFDS in the Context of DASIS

UFDS is one of the three components of SAMHSA's Drug and Alcohol Services Information System (DASIS). DASIS was created in 1995 to facilitate the integration of SAMHSA's existing treatment services data sets and to reduce redundancy and reporting burdens. The core of DASIS is the National Master Facility Inventory (NMFI), a continuously-updated comprehensive listing of all known substance abuse treatment facilities and State-identified prevention and education facilities. The other components of DASIS are the UFDS survey and the Treatment Episode Data Set (TEDS), a client-level database of persons admitted to

substance abuse treatment. A unique ID number assigned to the NMFI facility is used in the collection of facility-level data (UFDS) and client-level data (TEDS) so that the three data sets can be linked. Together, they provide national- and State-level information on the numbers and characteristics of individuals admitted to alcohol and drug treatment and describe the facilities that deliver care to those individuals.

The NMFI is the sampling universe for UFDS. Facilities in the NMFI fall into four general categories. These are distinguished by the services provided, the components of DASIS of which they are part, and the relationship of the facility to its State substance abuse agency. These categories are described below.

Treatment facilities administratively tracked by States and reporting to TEDS

The largest group of facilities (about 9,200 in 1997) includes facilities that are licensed or certified by the State substance abuse agency to provide substance abuse treatment (or are administratively tracked for other reasons), and are required by the States to provide TEDS client-level data. State DASIS representatives maintain this segment of the NMFI by reporting new facilities, closures, and address changes to SAMHSA.

Treatment facilities administratively tracked by States but not reporting to TEDS

This group (about 5,300 facilities in 1997) includes treatment facilities that are licensed, certified, or otherwise approved by the State substance abuse agency (or are administratively tracked for other reasons), but that are not required by the States to provide TEDS client-level data. Some private for-profit facilities fall into this category. This group also includes

programs operated by Federal agencies (the Bureau of Prisons, Department of Veterans Affairs, Department of Defense, and Indian Health Service). NMFI records for Federally-operated facilities are updated annually through lists provided by these agencies.

Treatment facilities not administratively tracked by States

This group of facilities (about 2,500 in 1997) represents the ongoing SAMHSA effort to make the NMFI as comprehensive as possible by including treatment facilities that States, for a variety of reasons, do not track. Many of these facilities are private for-profit, small group practices, or hospital-based programs. Most of them were identified through screening of alternative source databases such as the commercial American Business Index, the annual American Hospital Association survey, and SAMHSA's biennial Inventory of Mental Health Organizations. States are given the opportunity to review these lists and to add these facilities to the State-tracked list if appropriate.

Non-treatment facilities administratively tracked by States

These facilities (about 2,400 in 1997) provide prevention, education, intake, assessment, referral, or administrative services. The listing of this group of facilities is provided by State DASIS representatives.

NMFI-Imposed Constraints on UFDS

The use of the NMFI as the sampling universe for UFDS imposes certain constraints, concerned primarily with the unit of response and the scope of facilities included. The NMFI is largely a compilation of facilities in State administrative systems. Each State has a different system, and different criteria for eligibility.

Unit of response

SAMHSA requests that facilities be reported to the NMFI, UFDS, and TEDS at the same administrative level so that record linkage among the three data sets is valid. SAMHSA requests that States use point of delivery of service (i.e., physical location) as the defining factor for a facility. However, because of the different State administrative systems, there is no consistent use of this definition for a treatment facility. For example, in some States, multiple treatment programs (e.g., detox, residential, and outpatient) at the same address and under the same management have separate State licenses. These are treated as separate by the State, and receive separate NMFI ID numbers. In other States, multiple sites are included as a single entity under a parent or administrative unit. In many of these cases, individual sites can report client and services data, but revenue data are available only at a higher administrative level.

Until 1996, State substance abuse agencies distributed and collected the NDATA/UFDS survey forms. Beginning in 1996, data collection was centralized and UFDS forms were sent directly to the facilities. A small percentage of facilities elected to report data at a higher administrative level than the State-determined NMFI facility level.

Thus UFDS data on the numbers of facilities, and on revenues, client counts, and services by type of facility must be interpreted with caution. Assessment of changes in the number of facilities over time may be more valid, assuming that individual States retain consistent definitions.

Scope of facilities included

The scope of facilities included in the NMFI is affected by differences in State systems of licensure, certification, accreditation, and the dis-

bursement of public funds. For example, some State substance abuse agencies regulate private facilities and individual practitioners while others do not. In some States, hospital-based substance abuse treatment facilities are not licensed through the State substance abuse agency. Some State substance abuse agencies track correctional facilities (State prisons and local jails) while others do not.

In an effort to address this, SAMHSA conducted a large-scale effort during 1995 and 1996 to identify substance abuse treatment facilities that, for a variety of reasons, were not on the NMFI. Some 15 source lists were considered, and facilities not on the NMFI were contacted to ascertain whether they provided substance abuse treatment. As expected, this yielded a number of hospital-based and small private facilities that were not on the NMFI. These facilities were sent the UFDS survey beginning in 1995, but they were not included in the published results of the survey until 1997.

Some facilities operated by Federal agencies (the Bureau of Prisons, Department of Veterans Affairs, Department of Defense, and Indian Health Service) are tracked, but not regulated, by the States. NMFI records for Federally-operated facilities are updated annually through lists provided by these Federal agencies. Facilities operated by the Bureau of Prisons were excluded from the 1997 UFDS because SAMHSA conducted a separate survey of correctional facilities (report forthcoming).

Procedures for the 1997 UFDS

Survey universe

The UFDS survey was sent to all facilities that were on the NMFI as of about 6 weeks before the survey reference date (October 1, 1997).

Content

The 1997 UFDS survey instrument was a 10-page document with 28 numbered questions (see Appendix A). Respondents provided information on treatment operations and on clients receiving care on October 1, 1997. Topics included:

- Facility identification information
- Ownership
- Hotline operation
- Substance abuse services (treatment, prevention, administrative, drunk-driving only, other)
- Organizational setting
- Organizational relationships (parent or affiliate(s); financial parent or affiliate(s), participation in managed care)
- Waiting list information
- Clients in treatment as of the survey reference date, including:
 - Client counts by type of care (hospital detoxification or rehabilitation, residential detoxification or rehabilitation, intensive outpatient and other outpatient)
 - Client counts by demographics (age group, sex, race/ethnicity) and type of care
 - Proportions treated for alcohol only, drug only, and both substances
 - Number receiving methadone/LAAM
 - Proportions of clients in selected population sub-groups (pregnant women, women with dependent children, injection drug users, persons with active TB, HIV-positive clients, clients in treatment previously, managed care clients, correctional clients)
- Beds available for treatment of substance abuse (hospital or residential) as of the survey reference date
- Annual unduplicated client count
- Annual total admissions

- Specific services (assessment, therapy, testing, health services, programs for special groups, etc.)
- Annual revenue sources and amounts

Data collection

Prior to the survey, faxes or letters were sent to all facilities to update address information, and to alert the facility to expect the survey. Data collection instruments (with State letters of endorsement or other enclosures) were mailed to each facility. During the data collection phase, contract personnel were available to answer facilities' questions concerning the survey. Two weeks after the initial mailing, thank you/reminder letters were sent to all facilities. Five to six weeks after the initial mailing, non-respondent facilities were sent a second mailing. About five weeks after the second mailing, non-respondents received a reminder telephone call. Those facilities that had not responded within two to three weeks of the reminder call were telephoned and asked to complete a slightly abbreviated version of the survey by telephone.

Forms accounting and response rate

Summary response rate information is presented in Table 1.1 (State details are presented in Table 5.1). A total of 19,956 forms were distributed to facilities. Of these facilities, 10 percent were determined to have been closed on the survey reference date, and data for 6 percent were "rolled up" (i.e., data were included with those reported by an administratively-linked facility), yielding an effective sample size of 16,695. Of the effective sample, 70 percent completed the full mail survey and 16 percent completed the telephone survey, resulting in a survey response rate of 86 percent. Non-respondents may have included facilities that were closed but could not be so identified.

Table 1.1
Forms accounting and response rate:
UFDS 1997

	<i>Number</i>	<i>Percent of total forms</i>	<i>Percent of effective sample</i>
Total forms distributed	19,956	100.0	
Closed facility	2,057	10.3	
Roll-up (data reported by another facility)	1,204	6.0	
Effective sample	16,695	83.7	100.0
Respondents	14,291	71.6	85.6
Mail	11,627	58.3	69.6
Telephone	2,664	13.3	16.0
Non-respondents	2,404	12.0	14.4

Quality assurance

All mail questionnaires were reviewed for inconsistencies and missing data. After data entry, automated quality assurance reviews were conducted. These included the rules used in manual editing, plus consistency checks not readily implemented by manual review. If problems were detected at either phase of editing that could not be resolved, facilities were contacted by telephone and the correct information secured. Non-response follow-up interviews conducted by telephone used a computer-assisted technique with built-in editing rules. The review process assured proper formatting, mechanical correctness, and internal consistency among responses.

Item non-response

The editing process ensured that item non-response was minimal. A few facilities did not provide client demographics; missing data were imputed using averaged responses from facilities

in the same State and with similar ownership and client mix characteristics. Nearly 20 percent of facilities did not provide revenue data. Missing revenue data were imputed according to the procedure detailed in Appendix C.

Profile of Responding Facilities

Table 1.2. Facilities provided a range of substance abuse treatment, prevention, related services (e.g., intake, assessment, referral), and administrative functions. A significant number of treatment facilities were engaged in a range of services and were not restricted solely to the provision of clinical care. One-third of facilities served all four functions; another 46 percent provided some combination of these functions. Only 18 percent were single-function facilities.

There were 385 facilities that offered only drunk driving or DUI/DWI programs. For the 1997 UFDS survey, these were not required to complete the full survey.

The balance of this report will focus on the 10,860 treatment facilities (76 percent of reporting facilities) that provided treatment services, either alone or in conjunction with other substance abuse services. Most of these 10,860 facilities (82 percent) completed the full survey, while 18 percent completed the telephone survey (see Appendix A).

Further Data Considerations and Limitations

As with any data collection effort, certain procedural considerations and data limitations must be taken into account when interpreting data from the 1997 UFDS. Some of these are outlined above. Some general issues are discussed here; considerations and limitations of specific data items are discussed where the data are presented.

- UFDS attempts to obtain responses from all known treatment and prevention facilities, but it is a voluntary survey. Treatment facilities are not reflected in the data presented in this report if they did not respond to the survey. There is no adjustment for facility non-response.
- Careful editing, extensive follow-up, and imputation of selected variables have greatly reduced item non-response. There are instances of non-response in many data items, however, and some variables have an explicit *Unknown* response option. In addition, respondents contacted by telephone completed a slightly abbreviated version of the survey (see Appendix A). To avoid the loss of usable information, all responding facilities were retained in the database. When a facility did not report complete information, it was excluded from the tabulations for which data were missing. As a result, the number of treatment facilities on which tables are based varies somewhat from table to table. The number of facilities actually reporting data is generally included on each table.
- UFDS collects data about facilities, not individual clients. Data on clients represent an aggregate of clients in treatment for each reporting facility. Demographic data are collected in different matrices of the survey form and cannot be cross-tabulated. While it is possible to report how many female clients and how many clients under age 18 were in treatment at a facility, the number of female clients under 18 cannot be determined. Similarly, data on client characteristics and sources of revenue are reported in separate matrices, so that relationships between revenue and client characteristics cannot be analyzed directly.

- Multiple responses were allowed for certain variables (e.g., facility function, services provided, and specialized programs). Tabulations of these variables include the total number of facilities reporting each response. Tables where multiple-response data are presented also report the total unduplicated number of facilities that reported this data item.

Organization of the Report

The balance of this report is organized into four analytic sections. Chapter 2 presents broad trends in facility and client characteristics for 1990-1997 (except 1994, when the UFDS survey was not conducted). Chapter 3 describes key characteristics of facilities and programs as of the survey reference date, October 1, 1997. Chapter 4 describes key characteristics of clients in substance abuse treatment on the reference date. Finally, Chapter 5 presents State-level detail of many of the tables presented in Chapters 3 and 4.

Terminology

In this report, facilities are described in terms of ownership, organizational setting, and clients in treatment.

Ownership indicates the type of entity responsible for the operation of the facility: private for-profit, private non-profit, or government (Federal, State, local, or tribal).

Organizational setting indicates the setting within which services are delivered and, for settings specializing in substance abuse treatment, the general type of care offered. The 1997 UFDS questionnaire permitted some facilities to indicate more than one setting. For this report, each facility was assigned to a single organizational setting (see Appendix B for details).

Clients in treatment are defined as: 1) hospital inpatient and residential clients receiving treatment (and not discharged) on the reference date, and 2) outpatient clients enrolled in treatment on the reference date who received a substance abuse treatment service during the prior month.

Table 1.2
Facility function: UFDS 1997

	<i>Treatment</i>	<i>Prevention</i>	<i>Other substance abuse services</i>	<i>Administrative</i>	<i>No. of facilities</i>	<i>Percent</i>	
Facilities offering treatment services	●				1,099	7.7	
	●	●			159	1.1	
	●		●		1,193	8.3	
	●			●	238	1.7	
	●	●	●		937	6.6	
	●		●	●	2,559	17.9	
	●	●		●	87	0.6	
	●	●	●	●	4,588	32.1	
				10,860	76.0	Treatment subtotal	
Facilities offering only services other than treatment		●			839	5.9	
			●		409	2.9	
				●	147	1.0	
		●	●		585	4.1	
		●		●	134	0.9	
			●	●	144	1.0	
		●	●	●	535	3.7	
				2,793	19.5	Non-treatment subtotal	
DUI/DWI only ¹					385	2.7	
Incomplete questionnaire					253	1.8	
					14,291	100.0	

¹ Facilities providing treatment only through DUI/DWI programs were not required to complete the full 1997 UFDS survey.

SOURCE: Office of Applied Studies, Substance Abuse and Mental Health Services Administration, Uniform Facility Data Set (UFDS) survey, October 1, 1997.

CHAPTER 2

TRENDS IN FACILITY AND CLIENT CHARACTERISTICS

This chapter presents trends in facility and client characteristics for 1990-1997 (the survey was not conducted in 1994). It should be kept in mind, however, that the sampling base, methods, and survey instrument have changed during this period.

Variability in the reporting level of facilities (i.e., reporting for each program, for each location, or for combined locations) is a critical factor in comparing the number of facilities over time. Each completed survey form is counted as a single reporting facility. As noted in Chapter 1, however, the level of reporting is sometimes decided by the State licensing/certification practices and sometimes by facility administrative policies and practices. Thus some facilities report aggregate data at the administrative or program level, and others report separately for each program at a specific location. In the 1997 UFDS, SAMHSA began to collect information that will enable identification of networks of facilities, and of programs within facilities. If States and facilities are consistent in facility reporting level, trends should not be affected. Data on numbers of clients are not affected by differences in reporting level.

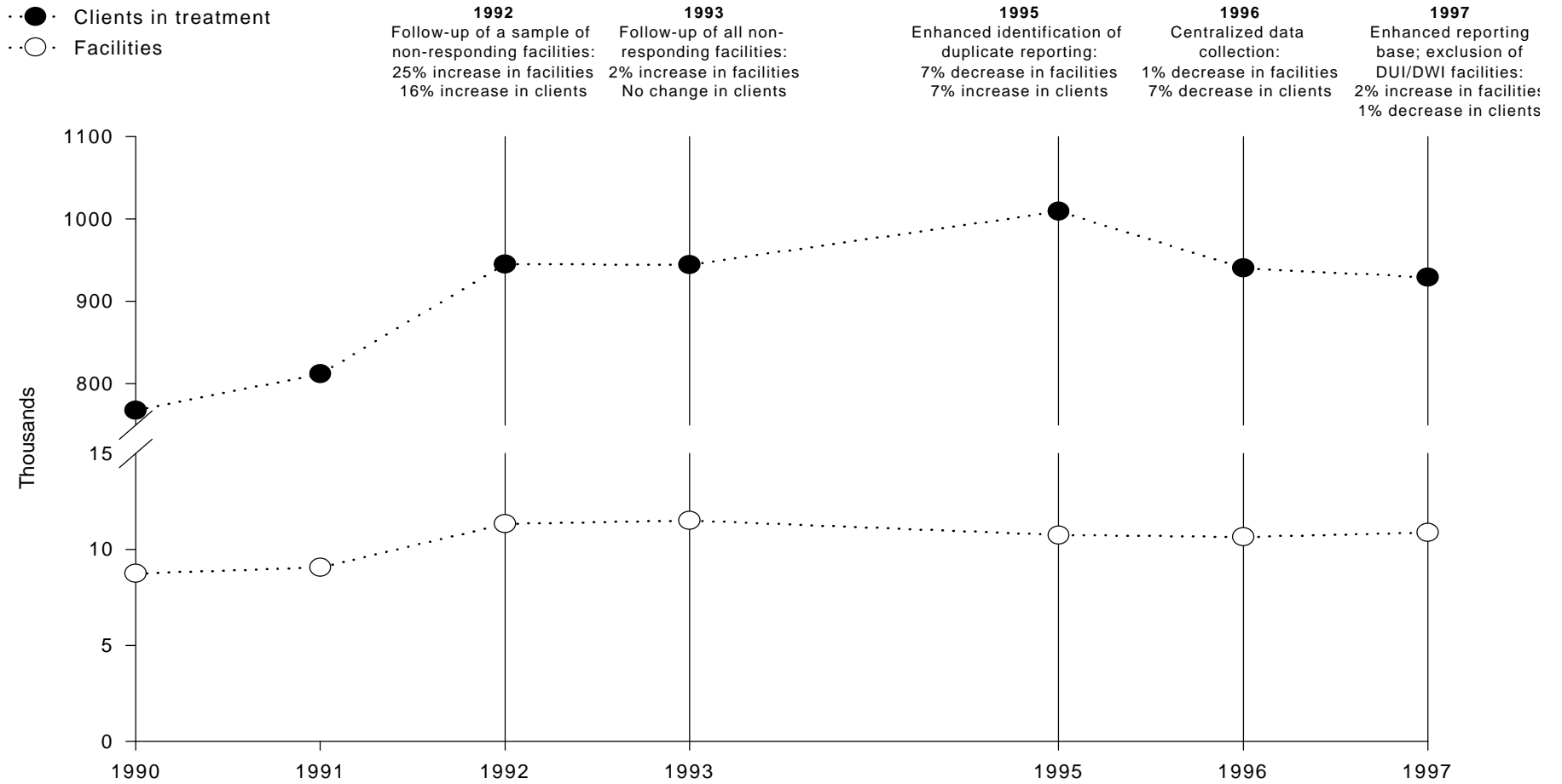
Since 1992, SAMHSA has made adjustments each year to the survey design, primarily to minimize non-response and to expand the survey coverage. *Figure 1* is a time line detailing the major changes in survey scope and administration that may have affected the numbers of reporting facilities and clients. Beginning in 1992, SAMHSA expanded efforts to obtain information from non-responding facilities. A

representative sample of non-respondents was contacted and administered an abbreviated version of the survey instrument via telephone. In 1993 and later years, this effort was extended to all non-responding facilities. This is reflected in the increased number of facilities seen in *Table 2.1*. Beginning in 1995, changes in data collection methods enabled more complete identification of duplicate reporting by networks of facilities, causing a reduction in the total number of facilities. This has been partially offset by SAMHSA's efforts to expand the reporting base (see Chapter 1), conducted prior to the 1997 UFDS. (Although facilities in the expanded reporting base were surveyed in 1995 and 1996, they were excluded from published reports until 1997). In 1997, but not in earlier years, facilities offering treatment only through DUI/DWI programs were excluded.

Facilities are described in terms of ownership, organizational setting, and clients in treatment. *Ownership* indicates the type of entity responsible for the operation of the facility: private for-profit, private non-profit, or government (Federal, State, local, or tribal). *Organizational setting* indicates the setting within which services are delivered and, for settings specializing in substance abuse treatment, the general type of care offered.¹ *Clients in treatment* are defined as: 1) hospital inpatient and residential clients receiving treatment (and not discharged) on the

¹ The 1997 UFDS questionnaire permitted some facilities to indicate more than one setting. For this report, each facility was assigned to a single organizational setting (see Appendix B for details).

Figure 1
Numbers of facilities and clients in treatment: 1990-1997



SOURCE: Office of Applied Studies, Substance Abuse and Mental Health Services Administration, National Drug and Alcoholism Treatment Unit (NDATUS) and Uniform Facility Data Set (UFDS) surveys: 1990-1993, 1995-1997.

reference date, and 2) outpatient clients enrolled on the reference date who received a substance abuse treatment service during the prior month.

Facility Characteristics

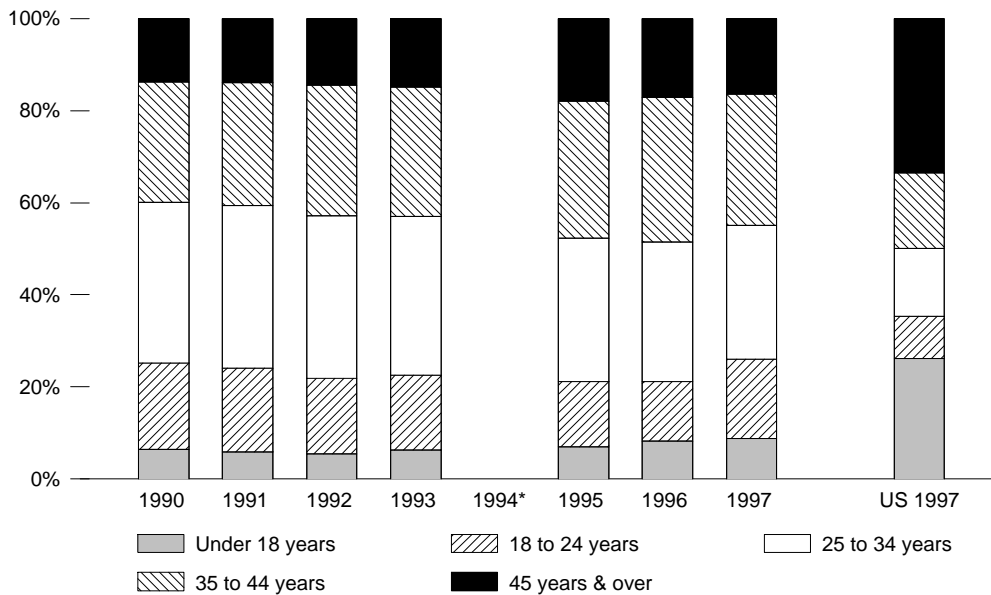
Table 2.1. Despite the changes in the UFDS survey and sample, the overall structure of the treatment system remained relatively constant over the period 1990-1997. In 1997, private non-profit facilities made up the bulk of the system (60 percent), followed by private for-profit (24 percent) and State/local government (13 percent). Most treatment was provided in specialty substance abuse treatment facilities (54 percent).

Mental health facilities made up 21 percent of the system, and physical health settings made up 14 percent. In about one-third of facilities, there were between 30 and 99 clients in treatment on the reference date. About one-quarter of facilities had fewer than 15 clients, and one-fifth had 15-29 clients.

Client Characteristics

Table 2.2. Although males continue to predominate, there was a small but fairly consistent increase in the proportion of female clients, from 28 percent in 1990 to 32 percent in 1997.

Figure 2
Clients in treatment by age group: 1990-1997



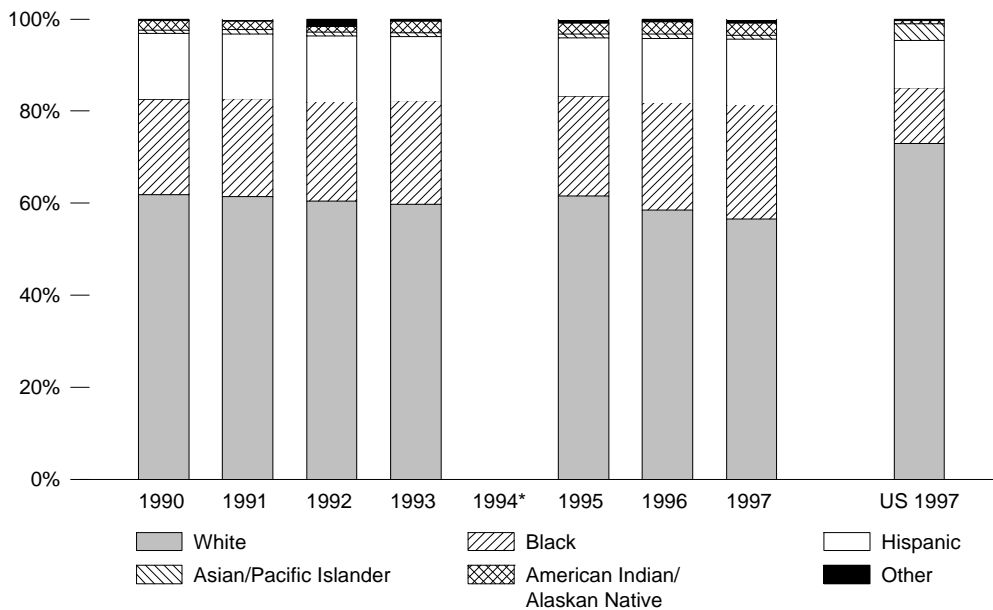
* No survey conducted in 1994.

SOURCE: Office of Applied Studies,
Substance Abuse and Mental Health Services Administration,
Uniform Facility Data Set (UFDS) survey, October 1, 1997.

Client age (*Figure 2*) did not show consistent patterns over 1990-1997 for most age groups. The proportion of clients who were under 18 increased, from a low of 5 percent in 1992 to 9 percent in 1997. Over that period, the proportion of clients aged 25 to 34 declined from 35 percent to 29 percent.

The proportion of clients who were non-Hispanic whites dropped from 62 percent in 1990 to 57 percent in 1997 (*Figure 3*). There was a parallel increase in the proportion of non-Hispanic black clients, from 21 percent to 25 percent in 1997.

Figure 3
Clients in treatment by race/ethnicity: 1990-1997



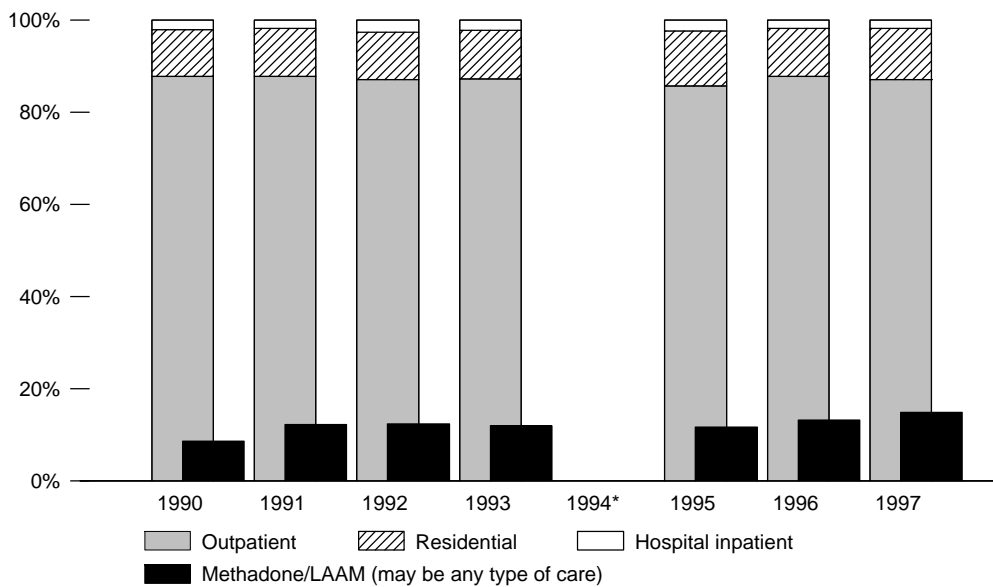
* No survey conducted in 1994.

SOURCE: Office of Applied Studies,
 Substance Abuse and Mental Health Services Administration,
 Uniform Facility Data Set (UFDS) survey, October 1, 1997.

Type of care remained consistent over the period 1990-1997 (Figure 4). In 1997, most clients (87 percent) received outpatient treatment, 11 percent received residential treatment, and only 2 percent received hospital inpatient treatment.¹

Clients may receive opioid substitutes (methadone or LAAM) in conjunction with any other type of care. The proportion of clients reported to receive opioid substitutes increased from about 9 percent in 1990 to 15 percent in 1997.

Figure 4
Clients in treatment by type of care: 1990-1997



* No survey conducted in 1994

SOURCE: Office of Applied Studies,
 Substance Abuse and Mental Health Services Administration,
 Uniform Facility Data Set (UFDS) survey, October 1, 1997.

¹ Because this is a cross-sectional survey, the proportion of clients in a type of care where the length of stay is short (i.e., hospital inpatient and residential) will underestimate the proportion of all clients who receive that type of care during a treatment episode. Hospital inpatient or residential care is often followed, in a treatment episode, by outpatient care.

Table 2.1

Substance abuse treatment facilities by ownership, organizational setting, and clients in treatment: 1990-1997¹

Ownership, setting, and clients in treatment	1990	1991	1992	1993	1995	1996	1997	1990	1991	1992	1993	1995	1996	1997
	Number							Percent						
Ownership														
Private non-profit	5,587	5,766	6,852	7,020	6,514	6,482	6,498	63.9	63.7	60.8	61.1	60.6	60.9	59.8
Private for-profit	1,524	1,675	2,327	2,384	2,342	2,373	2,550	17.4	18.5	20.6	20.7	21.8	22.3	23.5
State/local government	1,352	1,342	1,536	1,549	1,399	1,323	1,370	15.5	14.8	13.6	13.5	13.0	12.4	12.6
Tribal government	207	63	351	155	152	140	149	2.4	0.7	3.1	1.3	1.4	1.3	1.4
Federal government	72	211	204	388	339	323	293	0.8	2.3	1.8	3.4	3.2	3.0	2.7
No. of facilities	8,742	9,057	11,270	11,496	10,746	10,641	10,860	100.0	100.0	100.0	100.0	100.0	100.0	100.0
Organizational setting														
Specialty substance abuse treatment	5,216	5,637	7,398	7,033	5,353	6,103	5,906	59.7	62.2	65.4	61.2	49.8	58.4	54.4
Mental health settings and services	1,472	1,549	1,826	1,712	2,700	1,944	2,295	16.8	17.1	16.1	14.9	25.1	18.6	21.1
Physical health settings and services	1,111	1,082	1,341	1,286	1,726	1,317	1,471	12.7	11.9	11.9	11.2	16.1	12.6	13.5
Community settings and services	744	567	439	1,163	448	520	632	8.5	6.3	3.9	10.1	4.2	5.0	5.8
Criminal justice system	199	222	312	302	519	569	556	2.3	2.5	2.8	2.6	4.8	5.4	5.1
No. of facilities	8,742	9,057	11,316	11,496	10,746	10,453	10,860	100.0	100.0	100.0	100.0	100.0	100.0	100.0
Clients in treatment per facility														
Fewer than 15 clients	2,139	2,303	2,524	2,813	2,310	2,314	2,578	24.5	25.4	22.3	24.5	21.5	21.7	23.9
15-29 clients	1,808	1,762	2,329	2,346	2,086	2,088	2,152	20.7	19.5	20.6	20.4	19.4	19.6	19.9
30-99 clients	2,632	2,773	3,801	3,646	3,607	3,533	3,517	30.1	30.6	33.6	31.7	33.6	33.2	32.6
100-299 clients	1,590	1,658	2,067	2,041	2,041	2,058	1,954	18.2	18.3	18.3	17.8	19.0	19.3	18.1
300 or more clients	573	561	595	650	702	648	603	6.6	6.2	5.3	5.7	6.5	6.1	5.6
No. of facilities	8,742	9,057	11,316	11,496	10,746	10,641	10,804	100.0	100.0	100.0	100.0	100.0	100.0	100.0
Facilities dispensing opioid substitutes	599	584	627	690	616	902	768	6.9	6.4	5.5	6.0	5.7	8.5	7.1

¹ Survey reference dates for clients in treatment were: Sept. 28, 1990; Sept. 30, 1991; Sept. 30, 1992; Oct. 1, 1993; Oct. 2, 1995; Oct. 1, 1996; and Oct. 1, 1997. See Chapter 3 for a discussion of changes in the survey base, methods, and instrument that affect analysis of trends over time.

Specialty substance abuse treatment includes facilities that provide no medical or mental health services other than substance abuse treatment.

Mental health settings and services include psychiatric and behavioral hospitals, community mental health centers, and other psychiatric and mental health facilities that directly provide a range of mental health services in addition to substance abuse treatment.

Physical health settings and services include hospitals, medical centers, clinics, and HMOs that directly provide medical services in addition to substance abuse treatment. These facilities may also provide mental health services.

Community settings and services include facilities that provide social services in addition to substance abuse treatment. These include community, family, and human services and resources organizations; youth centers; schools; Employee Assistance Programs; and charitable organizations.

Criminal justice system includes some State and local correctional facilities; juvenile residential facilities; community corrections facilities; probation, parole, and TASC programs; and DUI/DWI/DDP and domestic violence programs. Federal Bureau of Prison facilities are excluded for 1997.

SOURCE: Office of Applied Studies, Substance Abuse and Mental Health Services Administration, Uniform Facility Data Set (UFDS) survey, 1995-1997; National Drug and Alcoholism Treatment Unit Survey (NDATUS), 1990-1993.

Table 2.2

Substance abuse treatment clients by sex, race/ethnicity, age group, and type of care: 1990-1997¹

<i>Sex, race/ethnicity, age group, and type of care</i>	1990 ²	1991 ²	1992	1993	1995	1996	1997	1990	1991	1992	1993	1995	1996	1997
	<i>Number</i>							<i>Percent</i>						
Clients in treatment	767,829	811,819	944,880	944,208	1,009,127	940,141	929,086	100.0	100.0	100.0	100.0	100.0	100.0	100.0
Sex														
Male	553,968	588,295	671,997	663,968	707,252	640,369	632,113	72.1	72.5	71.1	70.3	70.1	68.1	68.0
Female	213,861	223,524	272,883	280,240	301,875	299,772	296,973	27.9	27.5	28.9	29.7	29.9	31.9	32.0
Race/ethnicity														
White (non-Hispanic)	474,712	498,922	570,955	564,224	621,099	550,496	524,947	61.8	61.5	60.4	59.8	61.5	58.6	56.5
Black (non-Hispanic)	158,619	172,144	204,378	212,607	219,064	219,409	230,971	20.7	21.2	21.6	22.5	21.7	23.3	24.9
Hispanic	110,449	114,735	135,099	130,460	127,047	130,140	132,459	14.4	14.1	14.3	13.8	12.6	13.8	14.3
Asian or Pacific Islander	5,751	7,118	7,053	8,365	9,143	8,987	7,697	0.7	0.9	0.7	0.9	0.9	1.0	0.8
American Indian/Alaskan Native	15,124	14,857	12,274	23,303	24,292	25,011	24,459	2.0	1.8	1.3	2.5	2.4	2.7	2.6
Other	3,174	4,043	15,120	5,249	8,482	6,098	8,553	0.4	0.5	1.6	0.6	0.8	0.6	0.9
Age group														
Under 18 years	49,107	48,045	51,223	59,818	70,050	76,687	81,456	6.4	5.9	5.4	6.3	6.9	8.2	8.8
18 to 24 years	143,340	147,617	155,936	153,040	143,750	122,739	160,376	18.7	18.2	16.5	16.2	14.2	13.1	17.3
18 to 20 years	43,889	42,378	44,550	45,541	46,642	n/c	62,046	5.7	5.2	4.7	4.8	4.6	n/c	6.7
21 to 24 years	99,451	105,239	111,386	107,499	97,108	n/c	98,330	13.0	13.0	11.8	11.4	9.6	n/c	10.6
25 to 34 years	269,040	286,066	332,330	325,330	314,003	283,673	270,286	35.0	35.2	35.2	34.5	31.1	30.2	29.1
35 to 44 years	200,108	216,778	267,162	264,906	299,620	295,780	264,549	26.1	26.7	28.3	28.1	29.7	31.5	28.5
45 to 64 years	98,463	105,107	129,275	131,352	167,757	145,819	135,758	12.8	12.9	13.7	13.9	16.6	15.5	14.6
65 years & over	7,771	8,206	8,954	9,762	13,947	15,443	16,661	1.0	1.0	0.9	1.0	1.4	1.6	1.8
Type of care														
Outpatient	673,835	712,669	822,941	823,147	864,285	825,176	808,956	87.8	87.8	87.1	87.2	85.6	87.8	87.1
Residential	77,981	84,723	97,101	99,343	120,951	97,698	103,750	10.2	10.4	10.3	10.5	12.0	10.4	11.2
Hospital inpatient	16,013	14,427	24,838	21,718	23,891	17,267	16,380	2.1	1.8	2.6	2.3	2.4	1.8	1.8
Receiving opioid substitutes ³	66,510	99,111	117,508	112,715	117,895	123,906	138,009	8.7	12.2	12.4	11.9	11.7	13.2	14.9

n/c Data not collected.

¹ Survey reference dates for clients in treatment were: Sept. 28, 1990; Sept. 30, 1991; Sept. 30, 1992; Oct. 1, 1993; Oct. 2, 1995; Oct. 1, 1996; and Oct. 1, 1997. See Chapter 3 for a discussion of changes in the survey base, methods, and instrument that affect analysis of trends over time.

² Numbers published here differ from earlier published numbers because sex, race/ethnicity, and age group were imputed where these numbers were unknown.

³ Clients receiving opioid substitutes may be in any type of care (outpatient, residential, or hospital inpatient).

SOURCE: Office of Applied Studies, Substance Abuse and Mental Health Services Administration, Uniform Facility Data Set (UFDS) survey, 1995-1997; National Drug and Alcoholism Treatment Unit Survey (NDATUS), 1990-1993.

CHAPTER 3

FACILITY CHARACTERISTICS AND SERVICES

This chapter describes key characteristics of facilities and programs on October 1, 1997. Facilities are described in terms of ownership, organizational setting, and clients in treatment. *Ownership* indicates the type of entity responsible for the operation of the facility: private for-profit, private non-profit, or government (Federal, State, local, or tribal). *Organizational setting* indicates the setting within which services are delivered and, for settings specializing in substance abuse treatment, the general type of care offered.¹ *Clients in treatment* are defined as: 1) hospital inpatient and residential clients receiving treatment (and not discharged) on the reference date, and 2) outpatient clients enrolled on the reference date who received a substance abuse treatment service during the prior month.

Facility Ownership and Organizational Setting

Table 3.1a. Overall, 60 percent of facilities treating substance abuse were private non-profit. About one-quarter (24 percent) were private for-profit, and the balance were operated by various levels of government. For most organizational settings, private non-profits made up well over half of facilities. Exceptions were solo/group practices, where only 17 percent were private non-profit; psychiatric and specialized hospitals, where private for-profit and private non-profit each made up about 40 percent of facilities; and

criminal justice facilities, where private non-profits and State and local government (combined) each made up about 42 percent of facilities.

Table 3.1b. Organizationally, facilities specializing in substance abuse treatment made up 43 percent of all facilities. About half of these facilities offered outpatient treatment only, one-third offered residential treatment only, and the remainder offered both outpatient and residential treatment. Community mental health centers (CMHCs) and other non-hospital mental health facilities made up the next largest group of facilities, at 15 percent of all facilities. These were followed by solo/group practitioners (12 percent of all facilities) and general hospitals (10 percent).

Clients in Treatment

Table 3.2. The median number of clients in treatment at a facility, on October 1, 1997, was 36. Government-operated facilities, particularly the VA, tended to have more clients per facility than did private facilities. Among private facilities, non-profit facilities had more clients per facility than did for-profits.

The number of clients in treatment also varied by organizational setting. Specialty substance abuse treatment facilities treating outpatient clients only had the largest number of clients, with a median of 69. Specialty substance abuse treatment facilities treating a mix of outpatient and residential clients had a median of 46 clients per facility. CMHCs and criminal justice set-

¹ The 1997 UFDS questionnaire permitted some facilities to indicate more than one setting. For this report, each facility was assigned to a single organizational setting. See Appendix B for details.

tings had medians of 50 and 45 clients per facility, respectively). Solo/group practices and residential substance abuse facilities had the smallest client loads (medians of 17 and 18 clients in treatment, respectively).

Programs for Special Groups

Table 3.3. Some facilities offered special programs for high-risk or special-risk groups. These groups included the dually-diagnosed (persons with both mental and substance abuse problems), adolescents, persons with HIV or AIDS, and pregnant/postpartum women.

Overall, nearly half (48 percent) of all facilities provided programs for the dually diagnosed. These services were most likely to be provided by:

- VA-owned facilities (81 percent)
- Psychiatric/specialized hospitals (77 percent)
- CMHCs (68 percent)
- General hospitals (63 percent).

Facilities least likely to have programs for the dually diagnosed were:

- Criminal justice facilities (30 percent)
- Community or religious organizations (31 percent)
- Specialty substance abuse treatment facilities (38 percent).

Thirty-nine percent of facilities offered programs for adolescents. Programs for adolescents were most likely to be offered by:

- Indian Health Service or tribal government-owned facilities (72 percent, combined)
- Psychiatric/specialized hospitals (54 percent)

- CMHCs (52 percent).

Adolescent programs were least likely to be available in:

- VA-owned facilities (no adolescent programs)
- Dept. of Defense-owned facilities (14 percent)
- Residential specialty substance abuse treatment facilities (18 percent)
- Criminal justice facilities (24 percent).

About one-quarter (24 percent) of facilities offered programs for persons with HIV/AIDS. These programs were most likely to be available in:

- Community health centers (35 percent)
- VA-owned facilities (34 percent)
- Mixed outpatient/residential specialty substance abuse facilities (32 percent).

Programs for persons with HIV/AIDS were least likely to be offered by:

- Solo/group practices (15 percent)
- Private for-profit facilities (18 percent)
- Outpatient specialty substance abuse facilities (20 percent).

Programs for pregnant or postpartum women were offered by only 20 percent of facilities. These were most likely to be offered at:

- Community health centers (45 percent)
- Mixed outpatient/residential specialty substance abuse facilities (30 percent).
- Local government-owned facilities (29 percent)
- Indian Health Service or tribal government-owned facilities (25 percent, combined)

Services Offered

Facilities responding to the mail questionnaire were asked to complete a detailed check list of services available. Specific services were broadly categorized as assessment services, therapy, testing, health services, continuing care, transitional services, community outreach, and other services. A majority of facilities offered one or more services in each category. Almost all facilities offered individual therapy (93-97 percent across ownership categories, and 88-98 percent across organizational setting categories). However, specific services varied greatly by ownership and organizational setting. Differences were most apparent in testing, health, and transitional services.

Table 3.4. Generally, private for-profit facilities were less likely to have a range of health and testing services available, whereas State and Federal government-owned facilities were more likely to offer a range of these services.

Table 3.5. Solo/group practitioners had the smallest range of health, testing, and transitional services. Hospitals were more likely to offer a range of testing and health services. Among specialty substance abuse treatment facilities, outpatient facilities generally had fewer of these services available than residential or mixed outpatient/residential facilities.

Beds Available for Substance Abuse Treatment

Tables 3.6 and 3.7. Facilities were asked to report residential and hospital inpatient beds available for substance abuse treatment. Facilities reported a total of 109,000 beds available for residential treatment, and 23,000 beds available for hospital inpatient treatment. On October 1, 1997, 84 percent of the available residential beds and 52 percent of the hospital inpatient

beds were in use by substance abuse treatment clients.

Figure 5 shows the distribution of the facility-level utilization rates separately for residential and hospital inpatient beds. Seventy percent of the facilities with residential beds were at or near capacity on the reference date, with utilization rates of 76 to 100 percent. In contrast, only 23 percent of the facilities with hospital inpatient beds had utilization rates in that range.

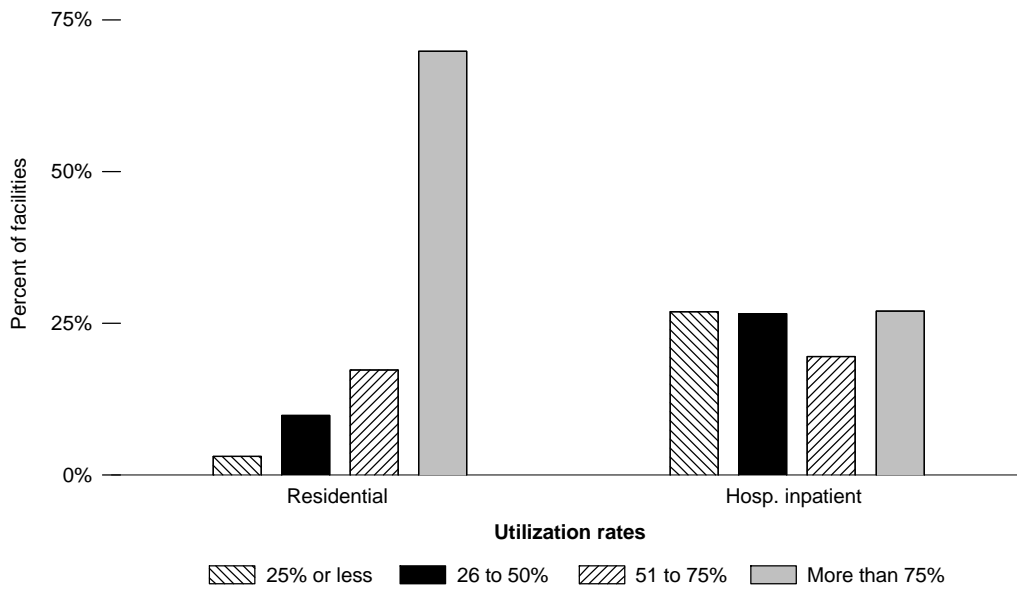
Revenue

Table 3.8. About 80 percent of facilities reported revenue information; revenue for the non-respondents was imputed (see Appendix C). Total revenue, including the imputed amounts, was estimated at approximately \$6.3 billion. The total reported revenue (exclusive of imputation) was approximately \$5.2 billion, of which:

- 33 percent was State government funds (including Federal block grant funds, which are distributed by the State)
- 17 percent was from Medicaid
- 14 percent was from private health insurance
- 10 percent was from direct client payments.

These percentages varied considerably by ownership and organizational setting. For example, 54 percent of the revenue of private for-profit facilities came from private health insurance and client payments, compared with 23 percent for private non-profit facilities.

Figure 5
Residential and hospital inpatient utilization rates:
October 1, 1997



SOURCE: Office of Applied Studies,
 Substance Abuse and Mental Health Services Administration,
 Uniform Facility Data Set (UFDS) survey, October 1, 1997.

Table 3.1a
Substance abuse treatment facilities by ownership, according to organizational setting: October 1, 1997

Organizational setting ¹	No. of facilities	Ownership													
		Number							Percent						
		All facilities	Private non-profit	Private for-profit	Local govt	State govt	Federal govt	Tribal govt	All facilities	Private non-profit	Private for-profit	Local govt	State govt	Federal govt	Tribal govt
	10,860	6,498	2,550	887	483	293	149	100.0	59.8	23.5	8.2	4.4	2.7	1.4	
Specialty substance abuse treatment	4,618	3,124	874	322	147	73	78	100.0	67.6	18.9	7.0	3.2	1.6	1.7	
Outpatient	2,523	1,437	678	232	78	54	44	100.0	57.0	26.9	9.2	3.1	2.1	1.7	
Residential (incl. halfway house, therapeutic community)	1,442	1,191	114	62	56	5	14	100.0	82.6	7.9	4.3	3.9	0.3	1.0	
Outpatient & residential	653	496	82	28	13	14	20	100.0	76.0	12.6	4.3	2.0	2.1	3.1	
Community mental health center/Other mental health facility	1,646	1,125	146	281	50	20	24	100.0	68.3	8.9	17.1	3.0	1.2	1.5	
Solo or group practice	1,288	220	1,046	15	2	3	2	100.0	17.1	81.2	1.2	0.2	0.2	0.2	
General hospital (incl. VA)	1,099	737	95	94	16	153	4	100.0	67.1	8.6	8.6	1.5	13.9	0.4	
Psychiatric or other specialized hospital	601	234	250	26	62	27	2	100.0	38.9	41.6	4.3	10.3	4.5	0.3	
Criminal justice	556	235	80	70	170	-	1	100.0	42.3	14.4	12.6	30.6	-	0.2	
Community or religious agency/org.	453	393	32	16	3	3	6	100.0	86.8	7.1	3.5	0.7	0.7	1.3	
Community health center	103	67	1	13	4	6	12	100.0	65.0	1.0	12.6	3.9	5.8	11.7	
Multiple or unknown settings	496	363	26	50	29	8	20	100.0	73.2	5.2	10.1	5.8	1.6	4.0	

¹ See Appendix B for a discussion of assignment of organizational setting.

SOURCE: Office of Applied Studies, Substance Abuse and Mental Health Services Administration, Uniform Facility Data Set (UFDS) survey, October 1, 1997.

Table 3.1b
Substance abuse treatment facilities by organizational setting, according to ownership: October 1, 1997

Organizational setting ¹	No. of facilities	Ownership													
		Number							Percent						
		All facilities	Private non-profit	Private for-profit	Local govt	State govt	Federal govt	Tribal govt	All facilities	Private non-profit	Private for-profit	Local govt	State govt	Federal govt	Tribal govt
		10,860	6,498	2,550	887	483	293	149	100.0	100.0	100.0	100.0	100.0	100.0	100.0
Specialty substance abuse treatment	4,618	3,124	874	322	147	73	78	42.5	48.1	34.3	36.3	30.4	24.9	52.3	
Outpatient	2,523	1,437	678	232	78	54	44	23.2	22.1	26.6	26.2	16.1	18.4	29.5	
Residential (incl. halfway house, therapeutic community)	1,442	1,191	114	62	56	5	14	13.3	18.3	4.5	7.0	11.6	1.7	9.4	
Outpatient & residential	653	496	82	28	13	14	20	6.0	7.6	3.2	3.2	2.7	4.8	13.4	
Community mental health center/Other mental health facility	1,646	1,125	146	281	50	20	24	15.2	17.3	5.7	31.7	10.4	6.8	16.1	
Solo or group practice	1,288	220	1,046	15	2	3	2	11.9	3.4	41.0	1.7	0.4	1.0	1.3	
General hospital (incl. VA)	1,099	737	95	94	16	153	4	10.1	11.3	3.7	10.6	3.3	52.2	2.7	
Psychiatric or other specialized hospital	601	234	250	26	62	27	2	5.5	3.6	9.8	2.9	12.8	9.2	1.3	
Criminal justice	556	235	80	70	170	-	1	5.1	3.6	3.1	7.9	35.2	-	0.7	
Community or religious agency/org.	453	393	32	16	3	3	6	4.2	6.0	1.3	1.8	0.6	1.0	4.0	
Community health center	103	67	1	13	4	6	12	0.9	1.0	0.0	1.5	0.8	2.0	8.1	
Multiple or unknown settings	496	363	26	50	29	8	20	4.6	5.6	1.0	5.6	6.0	2.7	13.4	

¹ See Appendix B for a discussion of assignment of organizational setting.

SOURCE: Office of Applied Studies, Substance Abuse and Mental Health Services Administration, Uniform Facility Data Set (UFDS) survey, October 1, 1997.

Table 3.2

Substance abuse treatment facilities by number of clients in treatment per facility, according to ownership and organizational setting: October 1, 1997

Ownership and organizational setting ¹		Clients in treatment per facility on October 1, 1997												
		Median clients in treatment	All facilities	Fewer than 15 clients	15-29 clients	30-99 clients	100-299 clients	300 clients or more	All facilities	Fewer than 15 clients	15-29 clients	30-99 clients	100-299 clients	300 clients or more
				Number						Percent				
No. of facilities	36	10,804	2,578	2,152	3,517	1,954	603	100.0	23.9	19.9	32.6	18.1	5.6	
Ownership														
Private non-profit	35	6,468	1,452	1,363	2,195	1,136	322	100.0	22.4	21.1	33.9	17.6	5.0	
Private for-profit	26	2,533	822	505	733	366	107	100.0	32.5	19.9	28.9	14.4	4.2	
Local, county, or community government	59	880	141	128	288	243	80	100.0	16.0	14.5	32.7	27.6	9.1	
State government	53	481	72	85	172	109	43	100.0	15.0	17.7	35.8	22.7	8.9	
Federal government	74	293	50	37	81	77	48	100.0	17.1	12.6	27.6	26.3	16.4	
Dept. of Veterans Affairs	146	154	3	7	44	58	42	100.0	1.9	4.5	28.6	37.7	27.3	
Dept. of Defense	25	102	36	19	27	15	5	100.0	35.3	18.6	26.5	14.7	4.9	
Indian Health Service	22	35	10	10	10	4	1	100.0	28.6	28.6	28.6	11.4	2.9	
Other	17	2	1	1	-	-	-	100.0	50.0	50.0	-	-	-	
Tribal government	29	149	41	34	48	23	3	100.0	27.5	22.8	32.2	15.4	2.0	
Organizational setting														
Specialty substance abuse treatment	40	4,599	966	917	1,533	893	290	100.0	21.0	19.9	33.3	19.4	6.3	
Outpatient	69	2,512	308	330	916	712	246	100.0	12.3	13.1	36.5	28.3	9.8	
Residential (incl. halfway house, therapeutic community)	18	1,435	555	469	348	57	6	100.0	38.7	32.7	24.3	4.0	0.4	
Outpatient & residential	46	652	103	118	269	124	38	100.0	15.8	18.1	41.3	19.0	5.8	
Community mental health center/Other mental health facility	50	1,628	262	283	588	395	100	100.0	16.1	17.4	36.1	24.3	6.1	
Solo or group practice	17	1,286	561	280	282	124	39	100.0	43.6	21.8	21.9	9.6	3.0	
General hospital (incl. VA)	32	1,098	311	205	309	193	80	100.0	28.3	18.7	28.1	17.6	7.3	
Psychiatric or other specialized hospital	33	593	143	138	189	98	25	100.0	24.1	23.3	31.9	16.5	4.2	
Criminal justice	45	553	86	103	238	97	29	100.0	15.6	18.6	43.0	17.5	5.2	
Community or religious agency/org.	35	451	105	97	170	65	14	100.0	23.3	21.5	37.7	14.4	3.1	
Community health center	38	102	21	14	45	17	5	100.0	20.6	13.7	44.1	16.7	4.9	
Multiple or unknown settings	30	494	123	115	163	72	21	100.0	24.9	23.3	33.0	14.6	4.3	

¹ See Appendix B for a discussion of assignment of organizational setting.

SOURCE: Office of Applied Studies, Substance Abuse and Mental Health Services Administration, Uniform Facility Data Set (UFDS) survey, October 1, 1997.

Table 3.3

Substance abuse treatment facilities offering programs for special groups, by ownership and organizational setting: October 1, 1997

Ownership and organizational setting ¹	No. of facilities	All facilities	Special group				
			Dually-diagnosed	Adolescents	Persons with HIV/AIDS	Pregnant/postpartum women	Other
			Percent of facilities offering programs				
		10,860	48.4	38.6	23.6	20.3	9.3
Ownership							
Private non-profit		6,498	46.7	38.5	25.8	22.2	9.2
Private for-profit		2,550	49.5	39.1	17.6	13.2	10.3
Local, county, or community government		887	53.1	43.9	25.5	29.4	6.0
State government		483	50.1	32.7	28.4	21.1	11.0
Federal government		293	61.4	14.7	21.8	6.8	9.9
Dept. of Veterans Affairs		154	81.2	-	34.4	3.9	12.3
Dept. of Defense		102	33.3	13.7	3.9	2.9	3.9
Indian Health Service		35	54.3	80.0	20.0	28.6	17.1
Other		2	100.0	50.0	-	50.0	-
Tribal government		149	43.0	70.5	9.4	23.5	12.8
Organizational setting							
Specialty substance abuse treatment		4,622	37.7	33.7	23.1	21.0	7.6
Outpatient		2,523	36.1	42.3	19.9	19.9	8.0
Residential (incl. halfway house, therapeutic community)		1,442	36.6	17.6	25.0	18.7	6.0
Outpatient & residential		653	46.4	36.0	31.5	30.3	9.6
Community mental health center or other mental health facility		1,646	68.2	52.3	23.1	21.9	6.9
Solo or group practice		1,288	47.0	39.4	14.9	13.4	11.4
General hospital (incl. VA)		1,099	63.3	28.3	29.0	19.7	9.3
Psychiatric or other specialized hospital		601	77.4	53.6	30.0	21.3	12.0
Criminal justice		556	30.2	24.1	22.5	11.0	14.0
Community or religious agency/org.		453	30.7	42.8	26.7	22.7	12.4
Community health center		103	50.5	44.7	35.0	44.7	17.5
Multiple or unknown settings		496	53.4	53.2	28.8	28.4	15.3

¹ See Appendix B for a discussion of assignment of organizational setting.

SOURCE: Office of Applied Studies, Substance Abuse and Mental Health Services Administration, Uniform Facility Data Set (UFDS) survey, October 1, 1997.

**Table 3.4
Substance abuse treatment facilities offering specified services, by ownership: October 1, 1997**

Services	Facilities responding to mail survey ¹	Ownership					
		Private non-profit	Private for-profit	Local govt	State govt	Federal govt	Tribal govt
		Percent of facilities providing service					
No. of facilities	8,958	5,465	1,956	788	400	245	104
Assessment services	94.5	93.3	96.5	95.8	94.5	99.2	97.1
Comprehensive substance abuse assessment/diagnosis	92.0	90.7	94.0	94.3	90.8	98.0	95.2
Comprehensive mental health assessment/diagnosis	43.2	39.8	48.6	45.8	44.0	68.6	37.5
Other	5.0	4.7	4.7	4.7	7.3	9.4	6.7
Therapy	98.3	98.0	98.8	98.2	98.3	99.6	99.0
Family counseling	72.7	72.0	78.2	71.6	57.5	71.8	77.9
Group therapy (excl. relapse prevention)	87.9	90.0	80.0	90.2	93.8	87.8	83.7
Individual therapy	93.6	93.2	94.2	94.4	93.5	95.5	97.1
Pharmacotherapies/prescription medication	40.2	38.9	36.7	49.9	43.5	68.6	22.1
Relapse prevention groups	72.3	74.6	62.7	72.5	79.5	85.7	70.2
Other	6.1	6.0	5.4	5.5	8.8	11.0	9.6
Testing	80.3	82.3	73.7	79.6	87.8	89.0	58.7
Blood alcohol testing (incl. breathalyzer)	39.5	38.6	36.5	44.8	39.8	74.3	18.3
Drug/alcohol urine screening	74.8	76.8	69.1	71.8	82.8	82.0	52.9
Hair analysis	1.3	1.2	1.9	1.4	0.8	0.8	-
Hepatitis testing	18.8	17.3	15.8	17.4	33.5	57.1	18.3
HIV testing	33.5	34.9	21.1	34.6	53.3	72.7	13.5
STD testing	22.1	21.0	18.1	22.0	40.3	53.9	17.3
TB screening	39.2	39.6	28.4	45.3	62.3	62.0	34.6
Other	2.6	1.8	3.3	3.3	4.8	7.8	2.9
Health services	72.8	76.1	60.7	72.5	81.8	82.9	70.2
Family planning	7.0	7.5	3.8	8.0	10.3	6.1	22.1
Medical care (incl. physical exams)	29.5	29.5	21.6	27.3	50.0	63.3	36.5
Prenatal care	7.2	7.6	3.2	9.5	12.5	9.0	22.1
Perinatal care	4.8	5.1	1.8	7.7	6.0	5.3	13.5
TB treatment	11.2	10.7	4.4	13.2	26.8	41.6	16.3
Health education (other than HIV/AIDS)	52.9	56.8	37.5	52.9	63.0	71.0	59.6
HIV/AIDS education/counseling/support	58.0	62.6	41.9	59.5	69.5	60.8	55.8
Smoking cessation	21.7	20.7	19.2	18.1	28.3	62.0	32.7
Other	1.5	1.5	1.6	1.9	1.5	0.8	1.9
Continuing Care	77.4	77.9	77.5	73.4	66.3	92.7	90.4
Aftercare counseling	73.2	72.6	75.6	69.7	60.5	91.4	89.4
Alumni(ae) groups	24.7	27.4	21.1	17.3	21.8	26.1	13.5
Other	1.3	1.3	1.1	1.4	0.5	3.3	4.8

See notes at end of table.

Table 3.4
Substance abuse treatment facilities offering specified services, by ownership: October 1, 1997

Services	Facilities responding to mail survey ¹	Ownership						
		Private non-profit	Private for-profit	Local govt	State govt	Federal govt	Tribal govt	
	No. of facilities	8,958	5,465	1,956	788	400	245	104
		Percent of facilities providing service						
Transitional Services	90.2	93.3	80.1	92.9	91.5	91.4	91.3	
Assistance with obtaining social services	45.5	51.7	25.7	52.2	40.5	45.3	59.6	
Discharge planning	75.3	78.9	62.8	78.2	80.8	73.9	76.0	
Employment counseling/training	31.4	35.9	17.7	27.5	36.8	44.5	31.7	
Housing assistance	27.2	32.5	11.3	25.9	25.5	40.8	31.7	
Referral to other services	83.1	86.6	70.9	86.7	83.5	88.2	82.7	
Other	1.1	1.0	0.7	1.1	1.5	4.5	1.0	
Community Outreach	75.4	78.0	68.5	77.5	60.0	83.3	92.3	
Drug and alcohol education	64.4	66.1	59.8	66.4	50.3	69.8	88.5	
Outreach/early intervention	37.0	39.4	25.1	47.6	23.0	50.2	73.1	
Media presentations (T.V., radio, brochures)	40.4	44.2	32.7	41.2	30.5	31.8	37.5	
Membership in a community partnership program	33.6	38.1	21.1	39.2	23.3	29.4	38.5	
Other	1.7	1.7	1.8	2.2	0.8	3.3	1.0	
Other Services	89.8	92.3	79.8	92.1	95.5	93.1	98.1	
Academic education/GED classes	15.3	17.2	7.2	11.3	35.8	13.1	26.0	
Acupuncture	4.8	4.9	3.9	7.7	5.0	1.2	-	
Case management services	50.2	54.9	32.3	56.0	51.3	58.4	70.2	
Child care	10.2	12.9	2.8	15.9	5.3	2.0	8.7	
Communication skills	36.4	37.9	31.5	31.0	44.8	47.3	35.6	
Detoxification from substance of abuse	24.5	23.5	24.9	26.0	22.8	49.4	10.6	
Domestic violence - family/partner violence services (physical, sexual, emotional abuse)	29.2	28.5	30.4	28.8	26.8	29.0	54.8	
Home visits	19.1	21.2	9.5	25.4	15.5	14.7	67.3	
Life skills for independent living	33.3	38.9	18.1	28.8	41.5	28.6	36.5	
Outcome follow-up (post-discharge)	36.4	39.9	26.1	33.2	32.0	48.2	54.8	
Parenting/family skills development	38.4	41.9	30.3	40.0	37.8	16.7	46.2	
Self-help groups, incl. 12-step programs	56.1	59.9	41.3	51.0	73.3	71.0	75.0	
Socialization/recreational services	35.4	40.8	17.7	27.9	46.8	49.8	62.5	
Transportation assistance to treatment	38.8	43.9	21.2	46.8	31.0	38.8	72.1	
Women's groups	2.0	2.3	1.5	2.0	1.5	1.2	2.9	
Other	1.9	1.8	1.9	1.9	1.3	2.0	4.8	

¹ Facilities that completed the survey by telephone were not asked about these services.

SOURCE: Office of Applied Studies. Substance Abuse and Mental Health Services Administration. Uniform Facility Data Set (UFDS) survey. October 1, 1997.

Table 3.5
Substance abuse treatment facilities offering specified services, by organizational setting: October 1, 1997

Services	Facilities responding to mail survey ²	Organizational setting ¹										
		Specialty substance abuse treatment					Community					
		Outpatient	Residential	Outpatient & residential	Community mental health center	Solo or group practice	General hospital (incl. VA)	Psychiatric or other specialized hospital	Criminal justice	Community or religious agency/org	Community health center	Multiple/Unknown
Total	Percent of facilities providing service											
Total	8,958	2,255	1,307	533	1,412	953	883	450	442	324	74	325
Assessment services	94.5	97.2	82.4	95.7	98.8	95.1	98.2	98.0	92.1	90.7	98.6	93.5
Comprehensive substance abuse assessment/diagnosis	92.0	96.5	78.0	94.4	96.5	91.4	95.6	96.0	87.6	87.7	98.6	89.5
Comprehensive mental health assessment/diagnosis	43.2	23.5	21.6	21.6	80.5	54.0	65.8	74.2	24.0	24.7	31.1	51.1
Other	5.0	3.5	5.6	5.4	4.6	4.2	5.5	6.9	7.0	6.5	8.1	6.5
Therapy	98.3	98.6	96.3	99.6	99.4	98.4	98.0	98.4	98.0	99.4	97.3	96.3
Family counseling	72.7	69.6	57.5	78.8	88.2	81.4	78.5	87.3	38.7	68.2	62.2	70.5
Group therapy (excl. relapse prevention)	87.9	89.3	89.2	96.2	91.4	68.6	90.6	94.4	92.3	83.0	79.7	84.0
Individual therapy	93.6	94.7	88.1	96.6	97.9	94.8	91.7	94.4	89.1	94.8	95.9	90.5
Pharmacotherapies/prescription medication	40.2	28.0	28.2	33.0	67.1	23.5	71.8	77.3	17.2	17.3	29.7	36.0
Relapse prevention groups	72.3	72.0	81.6	88.7	69.5	47.7	77.1	84.4	77.6	63.6	64.9	67.1
Other	6.1	5.6	6.6	7.5	5.0	4.5	6.7	9.3	6.6	8.3	9.5	5.5
Testing	80.3	84.8	91.0	94.2	68.3	52.5	94.3	94.2	81.2	72.8	81.1	66.8
Blood alcohol testing (incl. breathalyzer)	39.5	38.9	36.0	50.1	28.5	20.5	76.2	66.4	28.5	28.1	45.9	31.4
Drug/alcohol urine screening	74.8	80.0	83.0	90.8	61.4	48.1	92.4	92.4	75.6	59.6	73.0	57.8
Hair analysis	1.3	1.8	0.5	0.6	1.1	1.4	2.0	2.2	1.8	0.6	1.4	0.3
Hepatitis testing	18.8	12.6	19.0	19.7	7.1	4.3	54.7	52.0	17.0	10.2	35.1	17.2
HIV testing	33.5	27.1	43.4	49.5	17.8	8.0	67.5	57.8	35.5	25.6	51.4	28.6
STD testing	22.1	15.7	23.4	28.9	10.4	5.9	57.1	49.1	22.2	12.7	54.1	18.8
TB screening	39.2	30.8	53.9	61.0	24.4	8.8	69.5	68.0	41.9	29.9	62.2	34.5
Other	2.6	2.2	2.2	2.8	1.8	2.5	5.0	5.8	2.0	0.6	4.1	1.5

See notes at end of table.

Table 3.5
Substance abuse treatment facilities offering specified services, by organizational setting: October 1, 1997

Services	Facilities responding to mail survey ²	Organizational setting ¹										
		Specialty substance abuse treatment										
		Outpatient	Residential	Outpatient & residential	Community mental health center	Solo or group practice	General hospital (incl. VA)	Psychiatric or other specialized hospital	Criminal justice	Community or religious agency/org	Community health center	Multiple/Unknown
No. of facilities	Percent of facilities providing service											
Health services	8,958	2,255	1,307	533	1,412	953	883	450	442	324	74	325
Family planning	7.0	4.7	10.0	8.6	4.5	3.9	8.7	5.8	8.6	8.0	43.2	14.5
Medical care (incl. physical exams)	29.5	19.3	37.3	42.4	12.0	6.2	71.1	64.0	35.5	17.6	62.2	27.7
Prenatal care	7.2	4.7	8.2	8.8	3.3	1.2	18.2	8.9	6.8	7.1	47.3	11.7
Perinatal care	4.8	3.2	4.7	6.2	2.7	0.6	11.9	4.0	4.5	6.2	31.1	9.2
TB treatment	11.2	6.7	14.8	10.1	3.8	2.2	32.4	16.2	20.6	7.4	31.1	10.2
Health education (other than HIV/AIDS)	52.9	47.6	69.2	70.7	40.2	21.4	74.2	72.0	53.4	51.5	75.7	54.5
HIV/AIDS education/counseling/support	58.0	57.8	72.6	74.9	50.3	24.9	68.0	67.1	61.3	57.7	75.7	55.7
Smoking cessation	21.7	14.3	25.0	25.1	13.8	22.2	40.3	29.6	18.6	19.4	41.9	28.0
Other	1.5	1.3	1.2	1.7	2.4	1.6	0.6	1.8	0.9	2.2	4.1	1.8
Continuing Care	77.4	78.4	72.1	93.1	78.6	74.7	80.3	81.3	67.4	75.9	75.7	72.0
Aftercare counseling	73.2	77.0	56.4	89.1	76.9	73.6	76.9	78.4	62.0	71.3	75.7	69.2
Alumni(ae) groups	24.7	16.8	46.4	44.1	13.5	10.4	31.4	40.7	24.4	20.1	20.3	16.3
Other	1.3	1.0	1.5	2.3	0.8	1.2	1.8	1.6	0.9	2.2	-	1.8
Transitional Services	90.2	88.9	96.9	97.2	91.1	70.0	95.4	96.4	89.6	93.8	91.9	90.5
Assistance with obtaining social services	45.5	34.7	63.2	58.3	54.7	19.4	52.3	49.1	32.8	52.8	74.3	44.9
Discharge planning	75.3	71.2	90.6	91.6	71.4	45.2	86.7	92.4	78.3	66.0	73.0	70.5
Employment counseling/training	31.4	23.6	51.8	46.2	26.8	16.3	23.9	28.7	45.0	47.2	29.7	34.2
Housing assistance	27.2	16.7	46.5	42.6	29.4	8.1	24.0	33.8	25.8	42.3	40.5	27.4
Referral to other services	83.1	81.1	90.6	93.1	84.1	62.3	88.9	90.2	76.7	87.0	85.1	84.9
Other	1.1	0.7	1.5	0.8	1.3	0.4	0.9	1.6	1.4	1.2	5.4	2.2
Community Outreach	75.4	77.7	65.4	82.2	83.4	63.1	80.6	81.1	52.7	86.7	93.2	83.7
Drug and alcohol education	64.4	69.0	49.5	68.7	73.5	55.9	67.6	72.7	43.2	68.2	85.1	70.8
Outreach/early intervention	37.0	42.3	19.0	41.1	51.8	23.9	36.2	39.8	17.0	46.9	62.2	48.6
Media presentations (T.V., radio, brochures)	40.4	40.8	33.4	48.8	48.2	23.9	48.4	57.3	20.1	48.8	44.6	41.2
Membership in a community partnership program	33.6	33.3	27.2	39.0	43.0	18.6	34.8	36.9	22.4	51.9	41.9	42.5
Other	1.7	1.9	2.1	2.3	1.6	1.0	1.4	2.9	0.9	2.8	-	1.2

See notes at end of table.

Table 3.5
Substance abuse treatment facilities offering specified services, by organizational setting: October 1, 1997

Services	Facilities responding to mail survey ²	Organizational setting ¹										
		<i>Specialty substance abuse treatment</i>										
		Outpatient	Residential	Outpatient & residential	Community mental health center	Solo or group practice	General hospital (incl. VA)	Psychiatric or other specialized hospital	Criminal justice	Community or religious agency/org	Community health center	Multiple/Unknown
No. of facilities	Percent of facilities providing service											
Other Services	89.8	84.7	97.6	98.7	91.4	71.7	94.9	96.7	91.6	95.1	97.3	92.6
Academic education/GED classes	15.3	6.9	32.7	31.3	6.6	2.5	8.4	20.9	44.6	25.0	10.8	15.7
Acupuncture	4.8	7.5	3.7	3.8	2.5	1.7	5.8	4.9	4.1	8.6	9.5	4.6
Case management services	50.2	44.7	53.9	65.5	65.4	22.2	45.8	54.7	53.4	59.0	74.3	51.7
Child care	10.2	12.9	10.3	19.1	10.3	2.6	5.1	7.1	3.2	17.9	27.0	15.4
Communication skills	36.4	27.5	50.4	48.0	32.4	29.8	34.1	42.7	47.3	35.2	40.5	42.8
Detoxification from substance of abuse	24.5	17.5	21.9	38.8	16.5	8.0	65.9	61.1	9.7	10.5	9.5	19.1
violence services (physical, sexual, emotional abuse)	29.2	21.3	23.7	25.3	41.6	38.1	23.4	26.4	34.8	31.2	45.9	37.8
Home visits	19.1	13.8	13.0	18.9	37.8	9.9	10.9	12.0	13.1	41.4	44.6	38.5
Life skills for independent living	33.3	19.6	61.4	52.9	34.8	12.1	21.9	36.9	45.0	46.9	41.9	32.6
Outcome follow-up (post-discharge)	36.4	30.6	45.7	59.8	36.3	20.8	36.7	44.4	29.0	38.9	47.3	39.4
Parenting/family skills development	38.4	33.1	42.0	43.9	49.8	33.1	24.5	32.2	40.7	50.0	63.5	43.1
Self-help or mutual-help groups	56.1	43.2	84.5	84.4	41.4	26.4	70.8	76.9	71.5	50.9	55.4	52.0
Socialization/recreational services	35.4	19.6	72.1	67.9	26.4	7.5	33.4	44.2	41.4	49.7	35.1	34.8
Transportation assistance to treatment	38.8	30.0	59.8	61.0	40.6	9.1	37.6	52.0	23.5	55.2	58.1	43.4
Women's groups	2.0	2.9	2.2	3.6	1.5	1.3	1.6	1.6	0.7	1.2	4.1	0.9
Other	1.9	1.9	1.9	2.1	2.1	2.2	1.5	0.9	2.3	2.5	1.4	0.9

¹ See Appendix B for a discussion of assignment of organizational setting.

² Facilities that completed the survey by telephone were not asked about these services.

SOURCE: Office of Applied Studies, Substance Abuse and Mental Health Services Administration, Uniform Facility Data Set (UFDS) survey, October 1, 1997.

Table 3.6

Substance abuse treatment facilities with residential beds available for substance abuse treatment,¹ by utilization rate, according to ownership and organizational setting: October 1, 1997

Ownership and organizational setting ²	Beds available	Overall utilization rate (%)	Utilization rate														
			Total	25% or less			More than 75%				Total	25% or less			More than 75%		
				Number	26-50%	51-75%	76-80%	81-85%	86-90%	91-95%		96-100%	Percent	26-50%	51-75%	76-80%	
No. of facilities	108,974	83.8	2,683	83	263	463	1,874	100.0	3.1	9.8	17.3	69.8					
Ownership																	
Private non-profit	71,791	83.0	2,043	51	181	344	1,467	100.0	2.5	8.9	16.8	71.8					
Private for-profit	9,725	76.8	231	19	37	42	133	100.0	8.2	16.0	18.2	57.6					
Local, county, or community government	5,738	85.0	152	8	22	28	94	100.0	5.3	14.5	18.4	61.8					
State government	18,936	90.6	159	3	13	31	112	100.0	1.9	8.2	19.5	70.4					
Federal government	2,232	77.1	62	2	5	11	44	100.0	3.2	8.1	17.7	71.0					
Dept. of Veterans Affairs	1,963	77.9	49	-	5	10	34	100.0	-	10.2	20.4	69.4					
Dept. of Defense	158	57.0	5	2	-	1	2	100.0	40.0	-	20.0	40.0					
Indian Health Service	78	93.6	6	-	-	-	6	100.0	-	-	-	100.0					
Other	33	81.8	2	-	-	-	2	100.0	-	-	-	100.0					
Tribal government	552	83.3	36	-	5	7	24	100.0	-	13.9	19.4	66.7					
Organizational setting																	
Specialty substance abuse treatment	62,245	82.8	1,767	25	137	317	1,288	100.0	1.4	7.8	17.9	72.9					
Outpatient	359	95.0	18	-	1	2	15	100.0	-	5.6	11.1	83.3					
Residential (incl. halfway house, therapeutic community)	44,247	83.5	1,273	10	91	218	954	100.0	0.8	7.1	17.1	74.9					
Outpatient & residential	17,639	80.8	476	15	45	97	319	100.0	3.2	9.5	20.4	67.0					
Community mental health center/Other mental health facility	5,197	90.0	194	9	22	36	127	100.0	4.6	11.3	18.6	65.5					
Solo or group practice	883	79.3	28	3	3	6	16	100.0	10.7	10.7	21.4	57.1					
General hospital (incl. VA)	3,281	68.5	122	18	24	28	52	100.0	14.8	19.7	23.0	42.6					
Psychiatric or other specialized hospital	5,069	69.0	131	19	31	24	57	100.0	14.5	23.7	18.3	43.5					
Criminal justice	21,907	90.0	192	6	13	23	150	100.0	3.1	6.8	12.0	78.1					
Community or religious agency/org.	6,435	86.9	140	-	19	9	112	100.0	-	13.6	6.4	80.0					
Community health center	708	92.8	15	-	2	2	11	100.0	-	13.3	13.3	73.3					
Multiple or unknown settings	3,249	81.8	94	3	12	18	61	100.0	3.2	12.8	19.1	64.9					

¹ This table is based on the 2,683 facilities reporting both residential clients and residential capacity (89 percent of the 3,022 facilities that reported residential clients).

² See Appendix B for a discussion of assignment of organizational setting.

SOURCE: Office of Applied Studies, Substance Abuse and Mental Health Services Administration, Uniform Facility Data Set (UFDS) survey, October 1, 1997.

Table 3.7

Substance abuse treatment facilities with hospital inpatient beds available for substance abuse treatment,¹ by utilization rate, according to ownership and organizational setting: October 1, 1997

Ownership and organizational setting ²	Beds available	Overall utilization rate (%)	Utilization rate														
			Total	25% or less				More than 75%				Total	25% or less				More than 75%
				Number				Percent									
No. of facilities	23,263	52.0	948	255	252	185	256	100.0	26.9	26.6	19.5	27.0					
Ownership																	
Private non-profit	10,682	47.5	503	138	145	104	116	100.0	27.4	28.8	20.7	23.1					
Private for-profit	7,979	42.5	258	92	74	48	44	100.0	35.7	28.7	18.6	17.1					
Local, county, or community government	1,368	71.6	59	14	13	11	21	100.0	23.7	22.0	18.6	35.6					
State government	1,966	86.4	54	3	5	10	36	100.0	5.6	9.3	18.5	66.7					
Federal government	1,260	74.4	73	8	15	12	38	100.0	11.0	20.5	16.4	52.1					
Dept. of Veterans Affairs	1,206	74.0	67	6	14	11	36	100.0	9.0	20.9	16.4	53.7					
Dept. of Defense	50	88.0	5	1	1	1	2	100.0	20.0	20.0	20.0	40.0					
Indian Health Service	4	25.0	1	1	-	-	-	100.0	100.0	-	-	-					
Other	-	-	-	-	-	-	-	-	-	-	-	-					
Tribal government	8	112.5	1	-	-	-	1	100.0	-	-	-	100.0					
Organizational setting																	
Specialty substance abuse treatment	1,182	66.5	44	4	10	5	25	100.0	9.1	22.7	11.4	56.8					
Outpatient	176	49.4	10	2	3	2	3	100.0	20.0	30.0	20.0	30.0					
Residential (incl. halfway house, therapeutic community)	671	76.8	17	-	3	2	12	100.0	-	17.6	11.8	70.6					
Outpatient & residential	335	54.9	17	2	4	1	10	100.0	11.8	23.5	5.9	58.8					
Community mental health center/Other mental health facility	613	77.3	36	9	12	9	6	100.0	25.0	33.3	25.0	16.7					
Solo or group practice	249	28.1	14	7	3	3	1	100.0	50.0	21.4	21.4	7.1					
General hospital (incl. VA)	9,995	48.9	513	142	140	100	131	100.0	27.7	27.3	19.5	25.5					
Psychiatric or other specialized hospital	10,478	50.9	313	92	80	60	81	100.0	29.4	25.6	19.2	25.9					
Criminal justice	145	68.3	9	-	5	1	3	100.0	-	55.6	11.1	33.3					
Community or religious agency/org.	62	62.9	2	-	-	2	-	100.0	-	-	100.0	-					
Community health center	2	100.0	1	-	-	-	1	100.0	-	-	-	100.0					
Multiple or unknown settings	537	75.6	16	1	2	5	8	100.0	6.3	12.5	31.3	50.0					

¹ This table is based on the 948 facilities reporting both hospital inpatient clients and hospital inpatient capacity (87 percent of the 1,088 facilities that reported hospital inpatient clients).

² See Appendix B for a discussion of assignment of organizational setting.

SOURCE: Office of Applied Studies. Substance Abuse and Mental Health Services Administration. Uniform Facility Data Set (UFDS) survey. October 1, 1997.

Table 3.8

Annual facility revenue and revenue source by ownership and organizational setting: 1997

Ownership and organizational setting ²	Estimated revenue ¹				Reported revenue ¹										
	No. of facilities	Total revenue - imputed (millions)	% of all facilities reporting revenue	Total revenue - reported (millions)	Revenue source										
					Total	Private					State govt ³	Local govt	Other public revenue	Other revenue/Unk ⁴	
						Client pay-ments	health insurance	Medi-caid	Medi-care	Federal govt					Percent
Total	10,860	\$6,276	81.6	\$5,244	100.0	10.2	14.2	17.1	3.5	7.2	32.7	6.4	3.6	5.0	
Ownership															
Private non-profit	6,498	\$3,821	86.0	\$3,346	100.0	9.7	13.7	18.9	3.1	5.3	33.1	6.7	3.0	6.3	
Private for-profit	2,550	\$1,136	72.0	\$787	100.0	21.2	32.3	19.7	8.4	1.8	11.6	2.5	1.0	1.6	
Local government	887	\$594	89.1	\$542	100.0	7.0	5.3	16.9	1.6	6.1	38.6	14.9	5.6	4.1	
State government	483	\$505	71.0	\$417	100.0	1.2	1.0	4.3	0.3	5.2	72.3	2.2	11.6	1.9	
Federal government	293	\$173	61.4	\$112	100.0	0.2	0.7	0.4	-	95.0	1.1	0.0	0.0	2.6	
Dept. of Veterans Affairs	154	\$126	59.1	\$93	100.0	0.1	0.9	0.1	-	95.5	0.7	-	-	2.8	
Dept. of Defense	102	\$28	64.7	\$9	100.0	-	0.0	-	-	97.8	-	0.0	0.1	2.1	
Indian Health Service	35	\$18	60.0	\$8	100.0	1.2	0.0	5.5	-	87.0	5.5	-	0.1	0.8	
Other	2	\$2	100.0	\$2	100.0	-	-	-	-	90.9	9.0	-	-	0.1	
Tribal government	149	\$46	83.9	\$40	100.0	0.6	0.5	0.6	0.0	69.2	8.5	5.9	6.9	7.6	
Organizational setting															
Specialty substance abuse treatment	4,618	\$2,708	87.3	\$2,422	100.0	11.0	10.7	13.8	0.3	7.6	39.8	8.7	3.2	4.9	
Outpatient	2,523	\$1,010	85.2	\$883	100.0	15.3	10.0	24.0	0.5	5.8	32.1	6.9	1.9	3.4	
Residential (incl. halfway house, therapeutic community)	1,442	\$1,007	91.1	\$900	100.0	8.1	8.3	8.3	0.1	7.8	47.7	9.5	4.5	5.7	
Outpatient & residential	653	\$691	87.0	\$638	100.0	9.2	15.0	7.4	0.1	9.7	39.5	10.2	3.0	5.9	
Community mental health center or other mental health facility	1,646	\$623	85.4	\$543	100.0	11.5	8.6	14.0	2.4	6.5	43.0	6.9	2.1	5.1	
Solo or group practice	1,288	\$228	75.7	\$116	100.0	31.0	21.6	13.4	0.8	2.5	16.9	3.5	1.7	8.7	
General hospital (incl. VA)	1,099	\$1,045	69.4	\$798	100.0	8.5	25.9	33.9	8.2	10.5	6.7	1.8	0.9	3.5	
Psychiatric or other specialized hospital	601	\$833	69.4	\$695	100.0	9.1	26.6	20.8	13.1	4.7	17.1	2.2	4.6	1.9	
Criminal justice	556	\$378	76.8	\$304	100.0	3.0	1.1	1.9	0.1	4.4	63.7	5.3	15.8	4.6	
Community or religious agency/org.	453	\$176	82.1	\$131	100.0	8.3	6.1	11.8	0.3	6.9	39.8	9.4	2.9	14.5	
Community health center	103	\$48	85.4	\$42	100.0	12.1	7.8	16.7	2.2	12.9	23.8	10.4	8.1	5.9	
Multiple or unknown settings	496	\$237	77.6	\$194	100.0	7.6	4.8	15.3	1.2	7.0	36.0	11.2	3.3	13.5	

¹ 8,864 (82% of 10,860 facilities) reported revenue and revenue source data. Total revenue, but not revenue source, was imputed for the remaining 1,996 facilities (Appendix C).

² See Appendix B for a discussion of organizational setting.

³ Includes Federal block grant funds.

⁴ Includes revenue from charities, donations, fund-raising events, etc., and revenue from unknown sources.

SOURCE: Office of Applied Studies, Substance Abuse and Mental Health Services Administration, Uniform Facility Data Set (UFDS) survey, October 1, 1997.

CHAPTER 4

CLIENT CHARACTERISTICS

This chapter describes key characteristics of clients in substance abuse treatment on October 1, 1997. *Clients in treatment* are defined as: 1) hospital inpatient and residential clients receiving treatment (and not discharged) on the reference date, and 2) outpatient clients enrolled on the reference date who received a substance abuse treatment service during the prior month. Also used in these tables are the facility descriptors ownership and organizational setting. *Ownership* indicates the type of entity responsible for the operation of the facility: private for-profit, private non-profit, or government (Federal, State, local, or tribal). *Organizational setting* indicates the setting within which services are delivered and, for settings specializing in substance abuse treatment, the general type of care offered.¹

Clients by Facility Ownership and Organizational Setting

Table 4.1a. About half (55 percent) of all clients in treatment on October 1, 1997 were treated in private non-profit facilities. Government-owned facilities treated 27 percent of all clients; about half of these were in local government facilities. Private for-profit facilities treated 18 percent of clients.

Table 4.1b. Specialty substance abuse treatment facilities treated the largest proportion of clients,

44 percent. CMHCs and other mental health facilities treated 19 percent, and general hospitals, 10 percent.

Clients by Type of Care

Tables 4.2a and 4.2b. The UFDS survey requested data on clients in treatment on October 1, 1997 who were in outpatient treatment (either intensive, i.e., two or more hours per day on three or more days per week, or non-intensive), in residential treatment (rehabilitation and detoxification), and in hospital inpatient treatment (rehabilitation and detoxification).

Most clients (87 percent) received outpatient treatment. Only 17 percent of outpatient clients (15 percent of all clients) received intensive outpatient treatment. Ten percent of clients received residential rehabilitation. About 1 percent of all clients were in each of the three remaining categories (residential detoxification, hospital inpatient rehabilitation, and hospital inpatient detoxification).

General and psychiatric/specialized hospitals were the organizational settings with the highest proportions of hospital inpatient clients (6 and 14 percent, respectively). However, most clients in these settings were outpatient, representing outpatient treatment programs within hospital organizations.

¹ The 1997 UFDS questionnaire permitted some facilities to indicate more than one setting. For this report, each facility was assigned to a single organizational setting (see Appendix B for details).

Clients by Substance of Abuse

Table 4.3. Some 41 percent of clients were in treatment for abuse of both drugs and alcohol. One-third were in treatment for drug abuse alone, and one-quarter for alcohol abuse alone.

Clients in treatment in facilities owned by the VA exhibited a high proportion of both alcohol and drug abuse (52 percent). Facilities operated by the Department of Defense had a high proportion of clients (79 percent) who abused alcohol alone, as did facilities owned by the Indian Health Service or tribal governments (52 and 57 percent, respectively). Forty percent of clients in private for-profit facilities abused drugs alone.

Clients in residential or mixed outpatient/residential facilities, community health centers, or psychiatric/specialized hospitals were more likely to have both alcohol and drug problems (58, 53, 54, and 49 percent, respectively). Clients in outpatient specialty substance abuse treatment facilities were more likely to abuse drugs alone (43 percent).

Client Demographics

Table 4.4. Two-thirds of clients in substance abuse treatment on October 1, 1997 were male. Fifty-seven percent were white (not of Hispanic origin), 25 percent were black (not of Hispanic origin) and 14 percent were Hispanic. Most clients (58 percent) were between 25 and 44 years of age.

Only 26 percent of clients in residential treatment were female, although females comprised 32 percent of the total treatment population. Forty percent of hospital inpatient clients were black, compared to 25 percent of the total treatment population.

Clients Receiving Opioid Substitutes

Table 4.5. Facilities were asked whether they dispensed the opioid substitutes methadone or LAAM, and if so, how many clients received them. Overall, 138,000 clients in treatment (15 percent of all clients) were reported to be receiving either methadone or LAAM. Methadone predominated; it was given to 98 percent of clients receiving opioid substitutes. Slightly over half (53 percent) of clients receiving opioid substitutes were treated at private non-profit facilities; 31 percent were treated at private for-profit facilities.

Opioid substitutes were most commonly dispensed in outpatient specialty substance abuse treatment facilities, which treated 61 percent of opioid substitute clients. These were followed by general hospitals (14 percent) and solo/group practices (8 percent).

Facilities dispensing opioid substitutes tended to specialize in this form of treatment. In private non-profit facilities dispensing opioid substitutes, 82 percent of all clients received them. In private for-profit facilities dispensing opioid substitutes, 95 percent of all clients received them.

Table 4.1a
Substance abuse treatment clients by facility ownership, according to organizational setting: October 1, 1997

Organizational setting ¹	Ownership													
	Total	Private non-profit	Private for-profit	Local govt	State govt	Federal govt	Tribal govt	Total	Private non-profit	Private for-profit	Local govt	State govt	Federal govt	Tribal govt
									Number					
Clients in treatment	929,086	510,680	168,106	123,560	68,133	48,683	9,924	100.0	55.0	18.1	13.3	7.3	5.2	1.1
Specialty substance abuse treatment	412,577	253,531	80,334	42,965	22,087	9,101	4,559	100.0	61.5	19.5	10.4	5.4	2.2	1.1
Outpatient	306,607	176,685	72,030	32,627	15,963	6,511	2,791	100.0	57.6	23.5	10.6	5.2	2.1	0.9
Residential (incl. halfway house, therapeutic community)	43,910	34,366	3,640	2,548	2,922	109	325	100.0	78.3	8.3	5.8	6.7	0.2	0.7
Outpatient & residential	62,060	42,480	4,664	7,790	3,202	2,481	1,443	100.0	68.4	7.5	12.6	5.2	4.0	2.3
Community mental health center/Other mental health facility	172,326	95,952	12,751	51,435	8,793	1,442	1,953	100.0	55.7	7.4	29.8	5.1	0.8	1.1
Solo or group practice	66,607	15,642	49,359	1,395	157	51	3	100.0	23.5	74.1	2.1	0.2	0.1	0.0
General hospital (incl. VA)	95,638	52,833	3,865	7,808	862	30,140	130	100.0	55.2	4.0	8.2	0.9	31.5	0.1
Psychiatric or other specialized hospital	48,659	18,077	11,101	8,131	3,897	7,202	251	100.0	37.2	22.8	16.7	8.0	14.8	0.5
Criminal justice	59,597	17,485	6,926	6,882	28,294	-	10	100.0	29.3	11.6	11.5	47.5	-	0.0
Community or religious agency/org.	31,245	27,350	1,991	1,247	469	54	134	100.0	87.5	6.4	4.0	1.5	0.2	0.4
Community health center	8,032	4,918	50	1,491	921	195	457	100.0	61.2	0.6	18.6	11.5	2.4	5.7
Multiple or unknown settings	34,405	24,892	1,729	2,206	2,653	498	2,427	100.0	72.3	5.0	6.4	7.7	1.4	7.1

¹ See Appendix B for a discussion of assignment of organizational setting.

SOURCE: Office of Applied Studies, Substance Abuse and Mental Health Services Administration, Uniform Facility Data Set (UFDS) survey, October 1, 1997.

Table 4.1b
Substance abuse treatment clients by organizational setting, according to facility ownership: October 1, 1997

Organizational setting ¹	Ownership													
	Total	Private non-profit	Private for-profit	Local govt	State govt	Federal govt	Tribal govt							
								Total	Private non-profit	Private for-profit	Local govt	State govt	Federal govt	Tribal govt
Number							Percent							
Clients in treatment	929,086	510,680	168,106	123,560	68,133	48,683	9,924	100.0	100.0	100.0	100.0	100.0	100.0	100.0
Specialty substance abuse treatment	412,577	253,531	80,334	42,965	22,087	9,101	4,559	44.4	49.6	47.8	34.8	32.4	18.7	45.9
Outpatient	306,607	176,685	72,030	32,627	15,963	6,511	2,791	33.0	34.6	42.8	26.4	23.4	13.4	28.1
Residential (incl. halfway house, therapeutic community)	43,910	34,366	3,640	2,548	2,922	109	325	4.7	6.7	2.2	2.1	4.3	0.2	3.3
Outpatient & residential	62,060	42,480	4,664	7,790	3,202	2,481	1,443	6.7	8.3	2.8	6.3	4.7	5.1	14.5
Community mental health center/Other mental health facility	172,326	95,952	12,751	51,435	8,793	1,442	1,953	18.5	18.8	7.6	41.6	12.9	3.0	19.7
Solo or group practice	66,607	15,642	49,359	1,395	157	51	3	7.2	3.1	29.4	1.1	0.2	0.1	0.0
General hospital (incl. VA)	95,638	52,833	3,865	7,808	862	30,140	130	10.3	10.3	2.3	6.3	1.3	61.9	1.3
Psychiatric or other specialized hospital	48,659	18,077	11,101	8,131	3,897	7,202	251	5.2	3.5	6.6	6.6	5.7	14.8	2.5
Criminal justice	59,597	17,485	6,926	6,882	28,294	-	10	6.4	3.4	4.1	5.6	41.5	-	0.1
Community or religious agency/org.	31,245	27,350	1,991	1,247	469	54	134	3.4	5.4	1.2	1.0	0.7	0.1	1.4
Community health center	8,032	4,918	50	1,491	921	195	457	0.9	1.0	0.0	1.2	1.4	0.4	4.6
Multiple or unknown settings	34,405	24,892	1,729	2,206	2,653	498	2,427	3.7	4.9	1.0	1.8	3.9	1.0	24.5

¹ See Appendix B for a discussion of assignment of organizational setting.

SOURCE: Office of Applied Studies, Substance Abuse and Mental Health Services Administration, Uniform Facility Data Set (UFDS) survey, October 1, 1997.

Table 4.2a
Substance abuse treatment clients by type of care, according to facility ownership and organizational setting: October 1, 1997

	<i>Type of care</i>													
	<i>Outpatient</i>		<i>Residential</i>		<i>Hosp. inpatient</i>		<i>Outpatient</i>		<i>Residential</i>		<i>Hosp. inpatient</i>			
	<i>Total</i>	<i>Non- intensive</i>	<i>Inten- sive</i>	<i>Rehab</i>	<i>Detox</i>	<i>Rehab</i>	<i>Detox</i>	<i>Total</i>	<i>Non- intensive</i>	<i>Inten- sive</i>	<i>Rehab</i>	<i>Detox</i>	<i>Rehab</i>	<i>Detox</i>
<i>Ownership and organizational setting</i> ¹	<i>Number</i>							<i>Percent</i>						
Clients in treatment	929,086	674,444	134,512	95,274	8,476	8,287	8,093	100.0	72.6	14.5	10.3	0.9	0.9	0.9
Ownership														
Private non-profit	510,680	362,409	75,081	59,499	5,491	3,590	4,610	100.0	71.0	14.7	11.7	1.1	0.7	0.9
Private for-profit	168,106	131,524	24,294	7,938	699	2,044	1,607	100.0	78.2	14.5	4.7	0.4	1.2	1.0
Local, county, or community govt	123,560	97,310	18,851	4,537	1,851	555	456	100.0	78.8	15.3	3.7	1.5	0.4	0.4
State government	68,133	38,106	6,417	20,935	356	1,503	816	100.0	55.9	9.4	30.7	0.5	2.2	1.2
Federal government	48,683	37,121	8,457	1,884	42	593	586	100.0	76.3	17.4	3.9	0.1	1.2	1.2
Dept. of Veterans Affairs	40,625	30,138	7,636	1,695	29	559	568	100.0	74.2	18.8	4.2	0.1	1.4	1.4
Dept. of Defense	5,862	5,112	603	87	11	33	16	100.0	87.2	10.3	1.5	0.2	0.6	0.3
Indian Health Service	2,163	1,871	212	75	2	1	2	100.0	86.5	9.8	3.5	0.1	0.0	0.1
Other	33	-	6	27	-	-	-	100.0	-	18.2	81.8	-	-	-
Tribal government	9,924	7,974	1,412	481	37	2	18	100.0	80.4	14.2	4.8	0.4	0.0	0.2
Organizational setting														
Specialty substance abuse treatment	412,577	307,377	47,977	51,539	4,249	953	482	100.0	74.5	11.6	12.5	1.0	0.2	0.1
Outpatient	306,607	269,759	36,348	217	159	48	76	100.0	88.0	11.9	0.1	0.1	0.0	0.0
Residential (incl. halfway house, therapeutic community)	43,910	2,650	1,052	36,636	2,978	402	192	100.0	6.0	2.4	83.4	6.8	0.9	0.4
Outpatient & residential	62,060	34,968	10,577	14,686	1,112	503	214	100.0	56.3	17.0	23.7	1.8	0.8	0.3
Community mental health center/Other mental health facility	172,326	139,401	26,299	4,087	1,945	241	353	100.0	80.9	15.3	2.4	1.1	0.1	0.2
Solo or group practice	66,607	57,378	8,278	702	69	89	91	100.0	86.1	12.4	1.1	0.1	0.1	0.1
General hospital (incl. VA)	95,638	67,926	19,603	2,434	251	2,284	3,140	100.0	71.0	20.5	2.5	0.3	2.4	3.3
Psychiatric or other specialized hospital	48,659	26,602	11,440	3,461	487	4,242	2,427	100.0	54.7	23.5	7.1	1.0	8.7	5.0
Criminal justice	59,597	25,971	8,464	24,713	226	126	97	100.0	43.6	14.2	41.5	0.4	0.2	0.2
Community or religious agency/org.	31,245	17,988	6,201	5,591	371	87	1,007	100.0	57.6	19.8	17.9	1.2	0.3	3.2
Community health center	8,032	6,441	806	680	99	-	6	100.0	80.2	10.0	8.5	1.2	-	0.1
Multiple or unknown settings	34,405	25,360	5,444	2,067	779	265	490	100.0	73.7	15.8	6.0	2.3	0.8	1.4

¹ See Appendix B for a discussion of assignment of organizational setting.

SOURCE: Office of Applied Studies, Substance Abuse and Mental Health Services Administration, Uniform Facility Data Set (UFDS) survey, October 1, 1997.

Table 4.2b
Substance abuse treatment clients by facility ownership and organizational setting, according to type of care: October 1, 1997

		Type of care													
		Outpatient		Residential		Hosp. inpatient		Outpatient		Residential		Hosp. inpatient			
		Total	Non-intensive	Intensive	Rehab	Detox	Rehab	Detox	Total	Non-intensive	Intensive	Rehab	Detox	Rehab	Detox
<i>Ownership and organizational setting</i> ¹		<i>Number</i>								<i>Percent</i>					
	Clients in treatment	929,086	674,444	134,512	95,274	8,476	8,287	8,093	100.0	100.0	100.0	100.0	100.0	100.0	100.0
	Ownership														
	Private non-profit	510,680	362,409	75,081	59,499	5,491	3,590	4,610	55.0	53.7	55.8	62.5	64.8	43.3	57.0
	Private for-profit	168,106	131,524	24,294	7,938	699	2,044	1,607	18.1	19.5	18.1	8.3	8.2	24.7	19.9
	Local, county, or community govt	123,560	97,310	18,851	4,537	1,851	555	456	13.3	14.4	14.0	4.8	21.8	6.7	5.6
	State government	68,133	38,106	6,417	20,935	356	1,503	816	7.3	5.6	4.8	22.0	4.2	18.1	10.1
	Federal government	48,683	37,121	8,457	1,884	42	593	586	5.2	5.5	6.3	2.0	0.5	7.2	7.2
	Dept. of Veterans Affairs	40,625	30,138	7,636	1,695	29	559	568	4.4	4.5	5.7	1.8	0.3	6.7	7.0
	Dept. of Defense	5,862	5,112	603	87	11	33	16	0.6	0.8	0.4	0.1	0.1	0.4	0.2
	Indian Health Service	2,163	1,871	212	75	2	1	2	0.2	0.3	0.2	0.1	0.0	0.0	0.0
	Other	33	-	6	27	-	-	-	0.0	-	0.0	0.0	-	-	-
	Tribal government	9,924	7,974	1,412	481	37	2	18	1.1	1.2	1.0	0.5	0.4	0.0	0.2
	Organizational setting														
	Specialty substance abuse treatment	412,577	307,377	47,977	51,539	4,249	953	482	44.4	45.6	35.7	54.1	50.1	11.5	6.0
	Outpatient	306,607	269,759	36,348	217	159	48	76	33.0	40.0	27.0	0.2	1.9	0.6	0.9
	Residential (incl. halfway house, therapeutic community)	43,910	2,650	1,052	36,636	2,978	402	192	4.7	0.4	0.8	38.5	35.1	4.9	2.4
	Outpatient & residential	62,060	34,968	10,577	14,686	1,112	503	214	6.7	5.2	7.9	15.4	13.1	6.1	2.6
	Community mental health center/Other mental health facility	172,326	139,401	26,299	4,087	1,945	241	353	18.5	20.7	19.6	4.3	22.9	2.9	4.4
	Solo or group practice	66,607	57,378	8,278	702	69	89	91	7.2	8.5	6.2	0.7	0.8	1.1	1.1
	General hospital (incl. VA)	95,638	67,926	19,603	2,434	251	2,284	3,140	10.3	10.1	14.6	2.6	3.0	27.6	38.8
	Psychiatric or other specialized hospital	48,659	26,602	11,440	3,461	487	4,242	2,427	5.2	3.9	8.5	3.6	5.7	51.2	30.0
	Criminal justice	59,597	25,971	8,464	24,713	226	126	97	6.4	3.9	6.3	25.9	2.7	1.5	1.2
	Community or religious agency/org.	31,245	17,988	6,201	5,591	371	87	1,007	3.4	2.7	4.6	5.9	4.4	1.0	12.4
	Community health center	8,032	6,441	806	680	99	-	6	0.9	1.0	0.6	0.7	1.2	-	0.1
	Multiple or unknown settings	34,405	25,360	5,444	2,067	779	265	490	3.7	3.8	4.0	2.2	9.2	3.2	6.1

¹ See Appendix B for a discussion of assignment of organizational setting.

SOURCE: Office of Applied Studies, Substance Abuse and Mental Health Services Administration, Uniform Facility Data Set (UFDS) survey, October 1, 1997.

Table 4.3
Substance abuse treatment clients by substance of abuse, according to facility ownership and organizational setting: October 1, 1997

<i>Ownership and organizational setting</i> ¹	<i>Substance of abuse</i>							
	<i>Total</i>	<i>Both alcohol and drug abuse</i>	<i>Drug abuse only</i>	<i>Alcohol abuse only</i>	<i>Total</i>	<i>Both alcohol and drug abuse</i>	<i>Drug abuse only</i>	<i>Alcohol abuse only</i>
		<i>Number</i>				<i>Percent</i>		
Clients in treatment	929,086	378,838	305,415	244,833	100.0	40.8	32.9	26.4
Ownership								
Private non-profit	510,680	217,043	167,843	125,794	100.0	42.5	32.9	24.6
Private for-profit	168,106	58,909	67,862	41,335	100.0	35.0	40.4	24.6
Local, county, or community government	123,560	51,594	36,973	34,993	100.0	41.8	29.9	28.3
State government	68,133	25,182	23,305	19,646	100.0	37.0	34.2	28.8
Federal government	48,683	22,702	8,552	17,429	100.0	46.6	17.6	35.8
Dept. of Veterans Affairs	40,625	21,198	7,755	11,672	100.0	52.2	19.1	28.7
Dept. of Defense	5,862	642	595	4,625	100.0	11.0	10.2	78.9
Indian Health Service	2,163	835	202	1,126	100.0	38.6	9.3	52.1
Other	33	27	-	6	100.0	81.8	-	18.2
Tribal government	9,924	3,408	880	5,636	100.0	34.3	8.9	56.8
Organizational setting								
Specialty substance abuse treatment	412,577	160,968	160,813	90,796	100.0	39.0	39.0	22.0
Outpatient	306,607	103,014	132,391	71,202	100.0	33.6	43.2	23.2
Residential (incl. halfway house, therapeutic community)	43,910	25,372	11,819	6,719	100.0	57.8	26.9	15.3
Outpatient & residential	62,060	32,582	16,603	12,875	100.0	52.5	26.8	20.7
Community mental health center/Other mental health facility	172,326	71,649	41,225	59,452	100.0	41.6	23.9	34.5
Solo or group practice	66,607	23,842	22,774	19,991	100.0	35.8	34.2	30.0
General hospital (incl. VA)	95,638	39,933	28,185	27,520	100.0	41.8	29.5	28.8
Psychiatric or other specialized hospital	48,659	23,743	11,748	13,168	100.0	48.8	24.1	27.1
Criminal justice	59,597	25,818	19,202	14,577	100.0	43.3	32.2	24.5
Community or religious agency/org.	31,245	13,390	10,537	7,318	100.0	42.9	33.7	23.4
Community health center	8,032	4,303	2,028	1,701	100.0	53.6	25.2	21.2
Multiple or unknown settings	34,405	15,192	8,903	10,310	100.0	44.2	25.9	30.0

¹ See Appendix B for a discussion of assignment of organizational setting.

Table 4.4
Substance abuse treatment clients by sex, race/ethnicity, and age, according to type of care, October 1, 1997

Sex, race/ethnicity, and age-group	Type of care								
	Total	Residential (24-hour care)			Outpatient	Total	Residential (24-hour care)		
		Hospital inpatient	Number	Outpatient			Hospital inpatient	Percent	Outpatient
Clients in treatment	929,086	16,380	103,750	808,956	100.0	100.0	100.0	100.0	
Sex									
Male	632,113	11,007	77,265	543,841	68.0	67.2	74.5	67.2	
Female	296,973	5,373	26,485	265,115	32.0	32.8	25.5	32.8	
Race/ethnicity									
White, not of Hispanic origin	524,947	7,702	46,395	470,850	56.5	47.0	44.7	58.2	
Black, not of Hispanic origin	230,971	6,559	36,437	187,975	24.9	40.0	35.1	23.2	
Hispanic	132,459	1,505	17,553	113,401	14.3	9.2	16.9	14.0	
Asian or Pacific Islander	7,697	81	613	7,003	0.8	0.5	0.6	0.9	
American Indian/Alaskan Native	24,459	322	2,135	22,002	2.6	2.0	2.1	2.7	
Other	8,553	211	617	7,725	0.9	1.3	0.6	1.0	
Age-group									
Under 18 years	81,456	1,081	9,719	70,656	8.8	6.6	9.4	8.7	
18 to 20 years	62,046	901	6,964	54,181	6.7	5.5	6.7	6.7	
21 to 24 years	98,330	1,379	11,542	85,409	10.6	8.4	11.1	10.6	
25 to 34 years	270,286	3,915	36,049	230,322	29.1	23.9	34.7	28.5	
35 to 44 years	264,549	5,463	28,128	230,958	28.5	33.4	27.1	28.6	
45 to 64 years	135,758	3,246	10,674	121,838	14.6	19.8	10.3	15.1	
65 years & over	16,661	395	674	15,592	1.8	2.4	0.6	1.9	

SOURCE: Office of Applied Studies, Substance Abuse and Mental Health Services Administration, Uniform Facility Data Set (UFDS) survey, October 1, 1997.

Table 4.5
Substance abuse treatment clients receiving opioid substitutes, by facility ownership and organizational setting: October 1, 1997

<i>Ownership and organizational setting¹</i>	<i>Facilities dispensing opioid substitutes</i>				<i>Clients in treatment receiving opioid substitutes (methadone or LAAM)</i>					
	<i>Facilities</i>		<i>Total clients</i>	<i>Percent receiving opioid substitutes</i>	<i>Number</i>			<i>Percent</i>		
	<i>Number</i>	<i>Percent</i>			<i>Total</i>	<i>Methadone</i>	<i>LAAM</i>	<i>Total</i>	<i>Methadone</i>	<i>LAAM</i>
Total	768	100.0	178,263	77.4	138,009	135,696	2,313	100.0	100.0	100.0
Ownership										
Private non-profit	386	50.3	89,621	82.0	73,449	72,306	1,143	53.2	53.3	49.4
Private for-profit	234	30.5	45,414	94.5	42,934	42,541	393	31.1	31.4	17.0
Local, county, or community govt	85	11.1	20,046	70.1	14,050	13,822	228	10.2	10.2	9.9
State government	31	4.0	6,692	55.1	3,688	3,659	29	2.7	2.7	1.3
Federal government	32	4.2	16,490	23.6	3,888	3,368	520	2.8	2.5	22.5
Dept. of Veterans Affairs	32	4.2	16,490	23.6	3,888	3,368	520	2.8	2.5	22.5
Dept. of Defense	-	-	-	-	-	-	-	-	-	-
Indian Health Service	-	-	-	-	-	-	-	-	-	-
Other	-	-	-	-	-	-	-	-	-	-
Tribal government	-	-	-	-	-	-	-	-	-	-
Organizational setting										
Specialty substance abuse treatment	421	54.8	105,998	84.8	89,890	88,599	1,291	65.1	65.3	55.8
Outpatient	370	48.2	93,523	90.5	84,604	83,370	1,234	61.3	61.4	53.4
Residential (incl. halfway house, therapeutic community)	19	2.5	1,340	36.8	493	493	-	0.4	0.4	-
Outpatient & residential	32	4.2	11,135	43.0	4,793	4,736	57	3.5	3.5	2.5
Community mental health center/Other mental health facility	49	6.4	10,530	62.8	6,608	6,487	121	4.8	4.8	5.2
Solo or group practice	43	5.6	11,396	97.6	11,119	10,911	208	8.1	8.0	9.0
General hospital (incl. VA)	161	21.0	32,754	58.1	19,016	18,448	568	13.8	13.6	24.6
Psychiatric or other specialized hospital	53	6.9	7,782	64.9	5,047	5,007	40	3.7	3.7	1.7
Criminal justice	8	1.0	950	60.7	577	557	20	0.4	0.4	0.9
Community or religious agency/org.	10	1.3	3,498	88.4	3,092	3,050	42	2.2	2.2	1.8
Community health center	5	0.7	1,795	41.6	747	747	-	0.5	0.6	-
Multiple or unknown settings	18	2.3	3,560	53.7	1,913	1,890	23	1.4	1.4	1.0

¹ See Appendix B for a discussion of assignment of organizational setting.

SOURCE: Office of Applied Studies, Substance Abuse and Mental Health Services Administration, Uniform Facility Data Set (UFDS) survey, October 1, 1997.

CHAPTER 5

STATE DATA

This chapter presents facility and client data by State or jurisdiction. Facilities operated by Federal agencies are included in the States in which the facilities are located, although these facilities may have clients from other States.

These tables are presented for reference only. Care should be taken in drawing any conclusions about differences between States. As discussed in Chapter 1, States differ in their systems of licensure/certification and disbursement of public funds. This affects the scope of facilities included in UFDS for each State, and it may create artifactual differences among States. Also, no adjustments were made for survey non-response. In smaller States especially, non-participation of even one or two large facilities can affect findings.

Table 5.1 details the 1997 UFDS survey response rate. Table 5.2 summarizes the numbers of treatment facilities and clients by State or jurisdiction. Table 5.3 presents, for the 50 States and the District of Columbia, the number of clients in treatment by substance abuse problem and the number in treatment per 100,000 population (aged 12 and over). Tables 5.4-5.10 provide State-level detail on tables presented in earlier chapters and will not be discussed.

Survey Response

Table 5.1. For the United States, the response rate averaged 86 percent, ranging from 71 percent (the District of Columbia) to 95 percent (Iowa). Puerto Rico is by far the largest of the

other jurisdictions surveyed, and it had a response rate of 67 percent.

Facilities and Clients

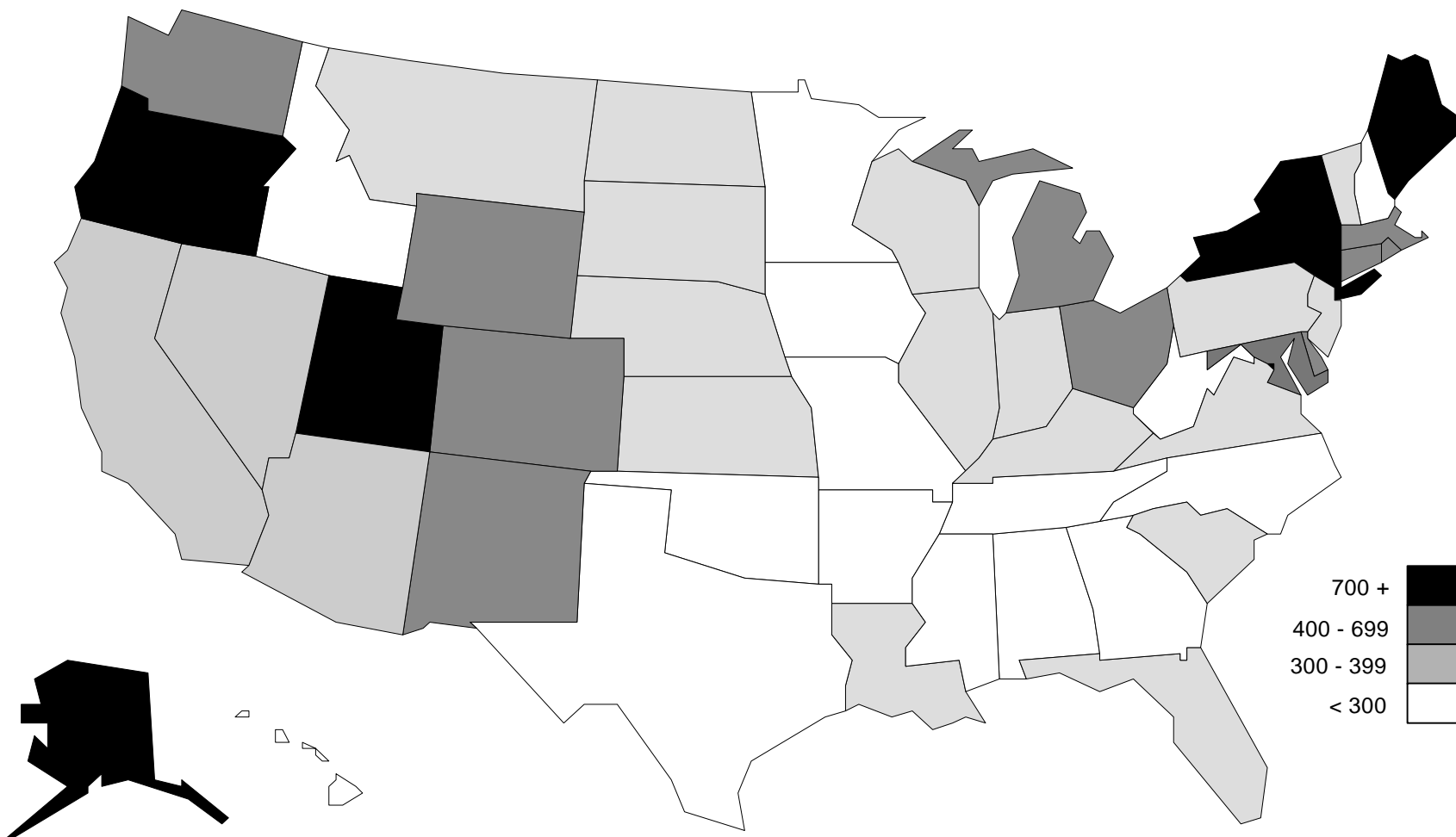
Table 5.2. About half of all facilities and clients in treatment were located in eight States. New York had the largest number of both facilities and clients, with 11 percent of all facilities and 14 percent of all clients. California ranked second, with 11 percent of facilities and 10 percent of clients, followed by Michigan, Florida, Texas, Ohio, Illinois, and Pennsylvania.

Clients in Treatment per 100,000 Population

Table 5.3. For the United States, there were 415 clients in treatment per 100,000 population aged 12 and over on October 1, 1997. *Figure 6* maps the rates of clients in treatment by State, and indicates that States in the Northeast and West had the highest rates of clients in treatment.

The rate was highest for persons with both alcohol and drug problems (171 per 100,000), followed by drug abuse alone (136) and alcohol abuse alone (109). While these rates can suggest the extent of the underlying substance abuse problem, they also reflect the level of resources available for treatment and should be interpreted with caution.

Figure 6
Clients in treatment per 100,000 population aged 12 and over, by State or jurisdiction: October 1, 1997



SOURCE: Office of Applied Studies,
Substance Abuse and Mental Health Services Administration,
Uniform Facility Data Set (UFDS) survey, October 1, 1997

Table 5.1
Forms accounting and response rate by State or jurisdiction: UFDS 1997

State or jurisdiction ¹	Original sample	Closed	Roll-up ²	Effective sample	Respondents			Response rate ³
					Mail	Telephone	Non-respondent	
All facilities	19,956	2,057	1,204	16,695	11,627	2,664	2,404	85.6
Alabama	136	11	11	114	71	22	21	81.6
American Samoa	1	-	-	1	-	-	1	0.0
Alaska	108	9	10	89	68	12	9	89.9
Arizona	248	23	20	205	106	58	41	80.0
Arkansas	90	12	3	75	42	24	9	88.0
California	2,050	170	83	1,797	1,199	326	272	84.9
Colorado	254	22	6	226	142	46	38	83.2
Connecticut	385	38	41	306	199	54	53	82.7
Delaware	75	8	2	65	39	16	10	84.6
District of Columbia	94	17	2	75	34	19	22	70.7
Fed. of Micronesia	7	1	-	6	2	-	4	33.3
Florida	1,266	200	112	954	602	185	167	82.5
Georgia	269	26	26	217	129	47	41	81.1
Guam	1	-	-	1	-	1	-	100.0
Hawaii	136	17	4	115	79	21	15	87.0
Idaho	62	9	1	52	31	12	9	82.7
Illinois	900	103	52	745	562	96	87	88.3
Indiana	521	60	84	377	292	45	40	89.4
Iowa	147	14	15	118	104	8	6	94.9
Kansas	285	39	13	233	153	31	49	79.0
Kentucky	434	43	17	374	288	45	41	89.0
Louisiana	273	39	5	229	152	46	31	86.5
Maine	259	42	31	186	121	32	33	82.3
Maryland	449	33	19	397	306	43	48	87.9
Massachusetts	520	50	31	439	294	83	62	85.9
Michigan	1,094	105	32	957	775	91	91	90.5
Minnesota	354	27	8	319	229	49	41	87.1
Mississippi	123	15	11	97	65	17	15	84.5
Missouri	293	39	21	233	165	33	35	85.0
Montana	70	8	3	59	42	7	10	83.1
Nebraska	181	26	5	150	119	17	14	90.7

See notes at end of table.

47

Table 5.1
Forms accounting and response rate by State or jurisdiction: UFDS 1997

<i>State or jurisdiction</i> ¹	<i>Original sample</i>	<i>Closed</i>	<i>Roll-up</i> ²	<i>Effective sample</i>	<i>Respondents</i>		<i>Non-respondent</i>	<i>Response rate</i> ³
					<i>Mail</i>	<i>Telephone</i>		
Nevada	99	3	1	95	65	20	10	89.5
New Hampshire	152	15	11	126	84	27	15	88.1
New Jersey	388	32	11	345	211	66	68	80.3
New Mexico	153	14	8	131	89	18	24	81.7
New York	1,856	99	56	1,701	1,406	160	135	92.1
North Carolina	259	23	11	225	141	37	47	79.1
North Dakota	63	2	1	60	44	10	6	90.0
Ohio	1,080	123	78	879	678	121	80	90.9
Oklahoma	198	23	7	168	113	30	25	85.1
Oregon	264	30	15	219	154	30	35	84.0
Palau	1	-	-	1	1	-	-	100.0
Pennsylvania	824	80	62	682	453	117	112	83.6
Puerto Rico	226	24	64	138	62	31	45	67.4
Rhode Island	110	12	6	92	61	16	15	83.7
South Carolina	129	12	8	109	62	25	22	79.8
South Dakota	85	10	2	73	54	11	8	89.0
Tennessee	277	34	46	197	124	39	34	82.7
Texas	1,022	132	46	844	453	171	220	73.9
Utah	264	46	39	179	144	23	12	93.3
Vermont	32	1	5	26	17	5	4	84.6
Virgin Islands	4	-	-	4	3	1	-	100.0
Virginia	313	31	21	261	139	66	56	78.5
Washington	429	39	11	379	278	49	52	86.3
West Virginia	141	19	9	113	70	32	11	90.3
Wisconsin	435	42	16	377	264	67	46	87.8
Wyoming	67	5	2	60	47	6	7	88.3

¹ Facilities operated by Federal agencies are included in the States in which the facilities are located.

² A roll-up is a facility whose data is included with that of another facility.

³ Response rate calculated as 'Respondents' divided by the 'Effective sample'.

SOURCE: Office of Applied Studies, Substance Abuse and Mental Health Services Administration. Uniform Facility Data Set (UFDS) survey 1997: Administrative data.

Table 5.2
Substance abuse treatment facilities and clients in treatment, by State or jurisdiction: October 1, 1997

State or jurisdiction ¹	Facilities	Clients	Facilities	Clients	State or jurisdiction ¹	Facilities	Clients	Facilities	Clients
	Number		Percent			Number		Percent	
Total	10,866	929,086	100.0	100.0					
Alabama	77	10,664	0.7	1.1	Montana	45	2,298	0.4	0.2
Alaska	60	5,261	0.6	0.6	Nebraska	97	4,197	0.9	0.5
Arizona	143	12,307	1.3	1.3	Nevada	71	5,279	0.7	0.6
Arkansas	55	4,129	0.5	0.4	New Hampshire	54	2,507	0.5	0.3
California	1,179	88,876	10.9	9.6	New Jersey	237	20,594	2.2	2.2
Colorado	143	13,530	1.3	1.5	New Mexico	72	6,452	0.7	0.7
Connecticut	209	15,592	1.9	1.7	New York	1,184	127,272	10.9	13.7
Delaware	41	3,567	0.4	0.4	North Carolina	139	17,379	1.3	1.9
District of Columbia	40	8,201	0.4	0.9	North Dakota	40	2,086	0.4	0.2
Fed. of Micronesia	1	4	0.0	0.0	Ohio	484	40,401	4.5	4.3
Florida	526	41,663	4.8	4.5	Oklahoma	108	7,572	1.0	0.8
Georgia	152	16,118	1.4	1.7	Oregon	160	22,627	1.5	2.4
Guam	1	32	0.0	0.0	Palau	1	45	0.0	0.0
Hawaii	69	2,177	0.6	0.2	Pennsylvania	475	36,382	4.4	3.9
Idaho	39	2,464	0.4	0.3	Puerto Rico	64	12,206	0.6	1.3
Illinois	468	39,040	4.3	4.2	Rhode Island	59	5,084	0.5	0.5
Indiana	251	18,458	2.3	2.0	South Carolina	64	10,862	0.6	1.2
Iowa	78	5,373	0.7	0.6	South Dakota	51	1,880	0.5	0.2
Kansas	145	8,288	1.3	0.9	Tennessee	132	13,166	1.2	1.4
Kentucky	231	12,119	2.1	1.3	Texas	504	40,693	4.6	4.4
Louisiana	138	12,185	1.3	1.3	Utah	112	13,621	1.0	1.5
Maine	132	8,188	1.2	0.9	Vermont	20	1,638	0.2	0.2
Maryland	304	23,794	2.8	2.6	Virgin Islands	4	162	0.0	0.0
Massachusetts	310	33,219	2.9	3.6	Virginia	153	21,039	1.4	2.3
Michigan	597	49,788	5.5	5.4	Washington	298	31,260	2.7	3.4
Minnesota	239	7,593	2.2	0.8	West Virginia	81	4,704	0.7	0.5
Mississippi	71	5,334	0.7	0.6	Wisconsin	269	16,535	2.5	1.8
Missouri	142	11,090	1.3	1.2	Wyoming	47	2,091	0.4	0.2

¹ Facilities operated by Federal agencies are included in the States in which the facilities are located.

SOURCE: Office of Applied Studies, Substance Abuse and Mental Health Services Administration, Uniform Facility Data Set (UFDS) survey, October 1, 1997.

Table 5.3

Clients in treatment per 100,000 population aged 12 and over by substance abuse problem, according to State or jurisdiction:¹ October 1, 1997

<i>State or jurisdiction²</i>	<i>Substance abuse problem</i>											
	<i>Number</i>				<i>Percent</i>				<i>Clients in treatment per 100,000 population aged 12+</i>			
	<i>Total</i>	<i>Both alcohol and drug abuse</i>	<i>Drug abuse only</i>	<i>Alcohol abuse only</i>	<i>Total</i>	<i>Both alcohol and drug abuse</i>	<i>Drug abuse only</i>	<i>Alcohol abuse only</i>	<i>Total</i>	<i>Both alcohol and drug abuse</i>	<i>Drug abuse only</i>	<i>Alcohol abuse only</i>
Clients in treatment	916,637	376,482	299,593	240,562	100.0	41.1	32.7	26.2	415	171	136	109
Alabama	10,664	2,385	5,808	2,471	100.0	22.4	54.5	23.2	295	66	161	68
Alaska	5,261	2,101	894	2,266	100.0	39.9	17.0	43.1	1,070	427	182	461
Arizona	12,307	4,297	4,612	3,398	100.0	34.9	37.5	27.6	341	119	128	94
Arkansas	4,129	1,652	1,588	889	100.0	40.0	38.5	21.5	195	78	75	42
California	88,876	36,421	39,646	12,809	100.0	41.0	44.6	14.4	347	142	155	50
Colorado	13,530	4,388	4,297	4,845	100.0	32.4	31.8	35.8	416	135	132	149
Connecticut	15,592	5,949	7,199	2,444	100.0	38.2	46.2	15.7	570	218	263	89
Delaware	3,567	2,256	624	687	100.0	63.2	17.5	19.3	580	367	102	112
Dist. of Columbia	8,201	2,722	4,033	1,446	100.0	33.2	49.2	17.6	1,806	599	888	318
Florida	41,663	19,358	13,908	8,397	100.0	46.5	33.4	20.2	339	158	113	68
Georgia	16,118	7,299	4,883	3,936	100.0	45.3	30.3	24.4	262	119	79	64
Hawaii	2,177	893	784	500	100.0	41.0	36.0	23.0	219	90	79	50
Idaho	2,464	1,717	360	387	100.0	69.7	14.6	15.7	244	170	36	38
Illinois	39,040	17,967	10,839	10,234	100.0	46.0	27.8	26.2	399	183	111	104
Indiana	18,458	7,597	4,334	6,527	100.0	41.2	23.5	35.4	375	154	88	133
Iowa	5,373	2,580	870	1,923	100.0	48.0	16.2	35.8	223	107	36	80
Kansas	8,288	3,906	1,637	2,745	100.0	47.1	19.8	33.1	385	181	76	127
Kentucky	12,119	4,093	3,365	4,661	100.0	33.8	27.8	38.5	369	125	102	142
Louisiana	12,185	6,273	3,595	2,317	100.0	51.5	29.5	19.0	341	175	101	65
Maine	8,188	3,948	1,496	2,744	100.0	48.2	18.3	33.5	776	374	142	260
Maryland	23,794	10,088	8,868	4,838	100.0	42.4	37.3	20.3	559	237	208	114
Massachusetts	33,219	13,984	10,235	9,000	100.0	42.1	30.8	27.1	648	273	200	175
Michigan	49,788	18,123	14,135	17,530	100.0	36.4	28.4	35.2	627	228	178	221
Minnesota	7,593	3,621	1,275	2,697	100.0	47.7	16.8	35.5	195	93	33	69
Mississippi	5,334	2,515	1,391	1,428	100.0	47.2	26.1	26.8	237	112	62	64
Missouri	11,090	5,789	2,740	2,561	100.0	52.2	24.7	23.1	246	129	61	57
Montana	2,298	1,135	482	681	100.0	49.4	21.0	29.6	305	151	64	91
Nebraska	4,197	2,140	444	1,613	100.0	51.0	10.6	38.4	305	155	32	117

See notes at end of table.

Table 5.3

Clients in treatment per 100,000 population aged 12 and over by substance abuse problem, according to State or jurisdiction:¹ October 1, 1997

<i>State or jurisdiction²</i>	<i>Substance abuse problem</i>											
	<i>Both alcohol and drug abuse</i>				<i>Drug abuse only</i>				<i>Alcohol abuse only</i>			
	<i>Total</i>	<i>Both alcohol and drug abuse</i>	<i>Drug abuse only</i>	<i>Alcohol abuse only</i>	<i>Total</i>	<i>Both alcohol and drug abuse</i>	<i>Drug abuse only</i>	<i>Alcohol abuse only</i>	<i>Total</i>	<i>Both alcohol and drug abuse</i>	<i>Drug abuse only</i>	<i>Alcohol abuse only</i>
<i>Number</i>	<i>Percent</i>											<i>Clients in treatment per 100,000 population aged 12+</i>
Nevada	5,279	1,697	2,158	1,424	100.0	32.1	40.9	27.0	380	122	156	103
New Hampshire	2,507	1,028	465	1,014	100.0	41.0	18.5	40.4	255	105	47	103
New Jersey	20,594	9,147	7,928	3,519	100.0	44.4	38.5	17.1	308	137	119	53
New Mexico	6,452	2,469	1,132	2,851	100.0	38.3	17.5	44.2	456	175	80	202
New York	127,272	35,175	64,260	27,837	100.0	27.6	50.5	21.9	849	235	429	186
North Carolina	17,379	8,358	3,427	5,594	100.0	48.1	19.7	32.2	280	135	55	90
North Dakota	2,086	856	242	988	100.0	41.0	11.6	47.4	384	158	45	182
Ohio	40,401	20,864	7,950	11,587	100.0	51.6	19.7	28.7	432	223	85	124
Oklahoma	7,572	2,511	2,415	2,646	100.0	33.2	31.9	34.9	275	91	88	96
Oregon	22,627	10,731	5,154	6,742	100.0	47.4	22.8	29.8	831	394	189	248
Pennsylvania	36,382	17,957	10,231	8,194	100.0	49.4	28.1	22.5	357	176	100	80
Rhode Island	5,084	1,874	1,914	1,296	100.0	36.9	37.6	25.5	612	226	231	156
South Carolina	10,862	3,943	2,513	4,406	100.0	36.3	23.1	40.6	349	127	81	142
South Dakota	1,880	739	229	912	100.0	39.3	12.2	48.5	305	120	37	148
Tennessee	13,166	6,113	4,069	2,984	100.0	46.4	30.9	22.7	290	135	90	66
Texas	40,693	14,860	14,346	11,487	100.0	36.5	35.3	28.2	261	95	92	74
Utah	13,621	5,771	3,709	4,141	100.0	42.4	27.2	30.4	847	359	231	257
Vermont	1,638	721	215	702	100.0	44.0	13.1	42.9	327	144	43	140
Virginia	21,039	10,839	4,810	5,390	100.0	51.5	22.9	25.6	372	191	85	95
Washington	31,260	17,295	4,392	9,573	100.0	55.3	14.0	30.6	672	372	94	206
West Virginia	4,704	1,159	748	2,797	100.0	24.6	15.9	59.5	299	74	48	178
Wisconsin	16,535	6,333	2,659	7,543	100.0	38.3	16.1	45.6	381	146	61	174
Wyoming	2,091	845	285	961	100.0	40.4	13.6	46.0	507	205	69	233

¹ Excludes jurisdictions outside the United States and the District of Columbia.

² Facilities operated by Federal agencies are included in the States in which the facilities are located.

SOURCE: Office of Applied Studies, Substance Abuse and Mental Health Services Administration, Uniform Facility Data Set (UFDS) survey, October 1, 1997.

Table 5.4
Substance abuse treatment facilities by ownership, according to State or jurisdiction: October 1, 1997

State or jurisdiction ¹	Ownership																			
	Federal govt										Federal govt									
	Total	Private non-profit	Private for-profit	Local govt	State govt	VA	DoD	IHS	Other	Tribal govt	Total	Private non-profit	Private for-profit	Local govt	State govt	VA	DoD	IHS	Other	Tribal govt
Number										Percent										
No. of facilities	10,866	6,504	2,550	887	483	154	102	35	2	149	100.0	59.9	23.5	8.2	4.4	1.4	0.9	0.3	0.0	1.4
Alabama	77	40	23	7	1	3	3	-	-	-	100.0	51.9	29.9	9.1	1.3	3.9	3.9	-	-	-
Alaska	60	33	7	4	1	1	3	1	-	10	100.0	55.0	11.7	6.7	1.7	5.0	1.7	5.0	1.7	16.7
Arizona	143	81	38	-	-	3	3	6	-	12	100.0	56.6	26.6	-	-	2.1	2.1	4.2	-	8.4
Arkansas	55	36	7	-	10	2	-	-	-	-	100.0	65.5	12.7	-	18.2	3.6	-	-	-	-
California	1,179	782	224	129	10	11	12	2	-	9	100.0	66.3	19.0	10.9	0.8	0.9	1.0	0.2	-	0.8
Colorado	143	57	70	7	3	2	2	-	-	2	100.0	39.9	49.0	4.9	2.1	1.4	1.4	-	-	1.4
Connecticut	209	151	35	3	16	1	2	1	-	-	100.0	72.2	16.7	1.4	7.7	0.5	1.0	0.5	-	-
Delaware	41	23	10	-	5	1	2	-	-	-	100.0	56.1	24.4	-	12.2	2.4	4.9	-	-	-
Dist. of Columbia	40	20	7	6	4	1	2	-	-	-	100.0	50.0	17.5	15.0	10.0	2.5	5.0	-	-	-
Fed. of Micronesia	1	-	-	-	1	-	-	-	-	-	100.0	-	-	-	100.0	-	-	-	-	-
Florida	526	299	162	25	26	6	7	-	-	1	100.0	56.8	30.8	4.8	4.9	1.1	1.3	-	-	0.2
Georgia	152	45	33	36	27	3	8	-	-	-	100.0	29.6	21.7	23.7	17.8	2.0	5.3	-	-	-
Guam	1	-	-	-	1	-	-	-	-	-	100.0	-	-	-	100.0	-	-	-	-	-
Hawaii	69	60	3	-	1	1	4	-	-	-	100.0	87.0	4.3	-	1.4	1.4	5.8	-	-	-
Idaho	39	19	12	2	1	1	1	-	-	3	100.0	48.7	30.8	5.1	2.6	2.6	2.6	-	-	7.7
Illinois	468	295	121	26	18	4	2	1	-	1	100.0	63.0	25.9	5.6	3.8	0.9	0.4	0.2	-	0.2
Indiana	251	155	72	16	6	2	-	-	-	-	100.0	61.8	28.7	6.4	2.4	0.8	-	-	-	-
Iowa	78	56	6	6	8	2	-	-	-	-	100.0	71.8	7.7	7.7	10.3	2.6	-	-	-	-
Kansas	145	81	38	18	2	3	1	1	-	1	100.0	55.9	26.2	12.4	1.4	2.1	0.7	0.7	-	0.7
Kentucky	231	171	48	4	5	1	2	-	-	-	100.0	74.0	20.8	1.7	2.2	0.4	0.9	-	-	-
Louisiana	138	52	30	7	43	4	-	-	-	2	100.0	37.7	21.7	5.1	31.2	2.9	-	-	-	1.4
Maine	132	68	55	2	2	2	1	-	-	2	100.0	51.5	41.7	1.5	1.5	1.5	0.8	-	-	1.5
Maryland	304	115	108	26	50	3	2	-	-	-	100.0	37.8	35.5	8.6	16.4	1.0	0.7	-	-	-
Massachusetts	310	239	58	6	2	5	-	-	-	-	100.0	77.1	18.7	1.9	0.6	1.6	-	-	-	-
Michigan	597	363	169	41	6	5	-	1	-	12	100.0	60.8	28.3	6.9	1.0	0.8	-	0.2	-	2.0
Minnesota	239	120	79	13	17	2	-	-	-	8	100.0	50.2	33.1	5.4	7.1	0.8	-	-	-	3.3
Mississippi	71	32	10	18	6	2	2	1	-	-	100.0	45.1	14.1	25.4	8.5	2.8	2.8	1.4	-	-
Missouri	142	95	34	3	5	4	1	-	-	-	100.0	66.9	23.9	2.1	3.5	2.8	0.7	-	-	-
Montana	45	27	6	2	3	1	-	-	-	6	100.0	60.0	13.3	4.4	6.7	2.2	-	-	-	13.3
Nebraska	97	70	12	5	2	3	1	-	-	4	100.0	72.2	12.4	5.2	2.1	3.1	1.0	-	-	4.1

See notes at end of table.

Table 5.4
Substance abuse treatment facilities by ownership, according to State or jurisdiction: October 1, 1997

State or jurisdiction ¹	Ownership																			
	Federal govt										Federal govt									
	Total	Private non-profit	Private for-profit	Local govt	State govt	VA	DoD	IHS	Other	Tribal govt	Total	Private non-profit	Private for-profit	Local govt	State govt	VA	DoD	IHS	Other	Tribal govt
Number										Percent										
Nevada	71	34	22	2	1	2	-	3	-	7	100.0	47.9	31.0	2.8	1.4	2.8	-	4.2	-	9.9
New Hampshire	54	38	9	3	3	1	-	-	-	-	100.0	70.4	16.7	5.6	5.6	1.9	-	-	-	-
New Jersey	237	144	63	19	4	2	5	-	-	-	100.0	60.8	26.6	8.0	1.7	0.8	2.1	-	-	-
New Mexico	72	39	8	1	7	1	1	5	1	9	100.0	54.2	11.1	1.4	9.7	1.4	1.4	6.9	1.4	12.5
New York	1,184	808	195	131	35	10	-	1	-	4	100.0	68.2	16.5	11.1	3.0	0.8	-	0.1	-	0.3
North Carolina	139	44	38	43	7	3	2	1	-	1	100.0	31.7	27.3	30.9	5.0	2.2	1.4	0.7	-	0.7
North Dakota	40	11	14	-	11	1	-	-	-	3	100.0	27.5	35.0	-	27.5	2.5	-	-	-	7.5
Ohio	484	362	60	30	25	6	1	-	-	-	100.0	74.8	12.4	6.2	5.2	1.2	0.2	-	-	-
Oklahoma	108	66	11	5	8	2	2	3	-	11	100.0	61.1	10.2	4.6	7.4	1.9	1.9	2.8	-	10.2
Oregon	160	86	45	17	4	1	-	1	-	6	100.0	53.8	28.1	10.6	2.5	0.6	-	0.6	-	3.8
Palau	1	-	-	-	1	-	-	-	-	-	100.0	-	-	-	100.0	-	-	-	-	-
Pennsylvania	475	340	114	9	6	6	-	-	-	-	100.0	71.6	24.0	1.9	1.3	1.3	-	-	-	-
Puerto Rico	64	38	-	1	24	1	-	-	-	-	100.0	59.4	-	1.6	37.5	1.6	-	-	-	-
Rhode Island	59	49	7	-	1	1	1	-	-	-	100.0	83.1	11.9	-	1.7	1.7	1.7	-	-	-
South Carolina	64	16	12	20	8	2	5	-	-	1	100.0	25.0	18.8	31.3	12.5	3.1	7.8	-	-	1.6
South Dakota	51	29	9	1	5	3	-	-	-	4	100.0	56.9	17.6	2.0	9.8	5.9	-	-	-	7.8
Tennessee	132	89	31	6	1	4	1	-	-	-	100.0	67.4	23.5	4.5	0.8	3.0	0.8	-	-	-
Texas	504	254	177	33	21	9	9	-	-	1	100.0	50.4	35.1	6.5	4.2	1.8	1.8	-	-	0.2
Utah	112	41	28	34	3	2	2	-	-	2	100.0	36.6	25.0	30.4	2.7	1.8	1.8	-	-	1.8
Vermont	20	19	-	-	-	1	-	-	-	-	100.0	95.0	-	-	-	5.0	-	-	-	-
Virgin Islands	4	2	-	-	2	-	-	-	-	-	100.0	50.0	-	-	50.0	-	-	-	-	-
Virginia	153	45	41	45	11	3	7	-	1	-	100.0	29.4	26.8	29.4	7.2	2.0	4.6	-	0.7	-
Washington	298	136	100	25	9	4	5	2	-	17	100.0	45.6	33.6	8.4	3.0	1.3	1.7	0.7	-	5.7
West Virginia	81	67	7	2	-	5	-	-	-	-	100.0	82.7	8.6	2.5	-	6.2	-	-	-	-
Wisconsin	269	133	73	44	3	3	-	3	-	10	100.0	49.4	27.1	16.4	1.1	1.1	-	1.1	-	3.7
Wyoming	47	29	9	4	1	2	-	2	-	-	100.0	61.7	19.1	8.5	2.1	4.3	-	4.3	-	-

53

¹ Facilities operated by Federal agencies are included in the States in which the facilities are located.

SOURCE: Office of Applied Studies, Substance Abuse and Mental Health Services Administration, Uniform Facility Data Set (UFDS) survey, October 1, 1997.

Table 5.5
Substance abuse treatment facilities by organizational setting, according to State or jurisdiction: October 1, 1997

State or jurisdiction ²	Organizational setting ¹													
	All facilities	Specialty substance abuse treatment					Community mental health center	Solo or group practice	General hospital (incl. VA)	Psychiatric or other specialized hospital	Criminal justice	Community or religious agency/org	Community health center	Multiple/Unknown
		Total	Out-patient	Residential	Out-patient & residential	Percent								
No. of facilities	10,866	100.0	23.2	13.3	6.0	15.1	11.9	10.1	5.5	5.1	4.2	0.9	4.6	
Alabama	77	100.0	24.7	14.3	7.8	23.4	9.1	11.7	3.9	1.3	1.3	-	2.6	
Alaska	60	100.0	15.0	3.3	21.7	18.3	10.0	6.7	1.7	-	10.0	3.3	10.0	
Arizona	143	100.0	14.7	8.4	4.9	25.9	11.2	7.0	11.2	2.8	6.3	0.7	7.0	
Arkansas	55	100.0	1.8	-	21.8	21.8	7.3	7.3	12.7	20.0	3.6	-	3.6	
California	1,179	100.0	28.1	23.6	6.5	8.8	7.5	4.9	3.5	4.2	6.3	1.9	4.8	
Colorado	143	100.0	21.7	7.0	6.3	9.8	24.5	10.5	9.1	6.3	2.1	-	2.8	
Connecticut	209	100.0	27.8	23.4	4.8	12.9	6.2	3.3	8.6	5.7	1.0	0.5	5.7	
Delaware	41	100.0	26.8	24.4	2.4	4.9	9.8	7.3	2.4	9.8	4.9	-	7.3	
District of Columbia	40	100.0	22.5	7.5	2.5	10.0	5.0	10.0	5.0	5.0	22.5	-	10.0	
Fed. of Micronesia	1	100.0	-	-	-	-	-	100.0	-	-	-	-	-	
Florida	526	100.0	20.7	9.9	4.2	16.5	16.3	4.8	6.7	12.7	2.3	0.4	5.5	
Georgia	152	100.0	15.1	9.9	3.9	25.7	6.6	12.5	17.8	1.3	1.3	0.7	5.3	
Guam	1	100.0	-	-	-	100.0	-	-	-	-	-	-	-	
Hawaii	69	100.0	18.8	8.7	5.8	5.8	1.4	7.2	5.8	2.9	2.9	-	40.6	
Idaho	39	100.0	17.9	-	33.3	-	17.9	20.5	5.1	-	-	-	5.1	
Illinois	468	100.0	20.3	5.6	6.0	18.4	14.7	12.0	4.1	6.2	7.9	1.1	3.8	
Indiana	251	100.0	15.9	5.2	4.0	28.3	13.5	12.4	9.2	4.0	2.8	0.4	4.4	
Iowa	78	100.0	16.7	3.8	14.1	9.0	5.1	30.8	1.3	11.5	2.6	-	5.1	
Kansas	145	100.0	19.3	3.4	11.7	15.2	21.4	7.6	4.8	8.3	4.1	2.1	2.1	
Kentucky	231	100.0	10.0	10.0	0.4	47.2	13.9	6.9	7.8	2.6	0.4	-	0.9	
Louisiana	138	100.0	25.4	18.8	5.8	5.1	5.8	14.5	10.9	2.2	5.1	0.7	5.8	
Maine	132	100.0	13.6	9.8	1.5	15.2	33.3	9.8	1.5	3.0	2.3	3.8	6.1	
Maryland	304	100.0	33.9	12.8	2.3	5.3	15.8	8.2	5.6	7.2	4.6	2.3	2.0	
Massachusetts	310	100.0	13.5	21.9	1.9	19.0	11.6	11.0	6.1	1.6	4.8	1.9	6.5	
Michigan	597	100.0	24.8	5.4	4.7	17.6	19.8	7.9	2.5	3.7	6.5	1.2	6.0	
Minnesota	239	100.0	22.2	20.9	8.8	10.5	8.8	9.2	5.9	5.9	3.3	1.3	3.3	
Mississippi	71	100.0	8.5	22.5	5.6	22.5	5.6	11.3	14.1	1.4	2.8	1.4	4.2	
Missouri	142	100.0	13.4	6.3	16.2	21.8	9.2	16.2	6.3	4.2	2.1	0.7	3.5	
Montana	45	100.0	42.2	8.9	8.9	4.4	13.3	6.7	-	6.7	-	2.2	6.7	
Nebraska	97	100.0	8.2	13.4	7.2	33.0	9.3	11.3	4.1	1.0	4.1	1.0	7.2	

See notes at end of table.

Table 5.5
Substance abuse treatment facilities by organizational setting, according to State or jurisdiction: October 1, 1997

State or jurisdiction ²	Organizational setting ¹													
	All facilities	Specialty substance abuse treatment					Community mental health center	Solo or group practice	General hospital (incl. VA)	Psychiatric or other specialized hospital	Criminal justice	Community or religious agency/org	Community health center	Multiple/Unknown
		Total	Out-patient	Residential	Out-patient & residential	Percent								
Nevada	71	100.0	29.6	14.1	5.6	8.5	14.1	9.9	4.2	4.2	1.4	5.6	2.8	
New Hampshire	54	100.0	5.6	9.3	9.3	22.2	14.8	16.7	7.4	5.6	1.9	1.9	5.6	
New Jersey	237	100.0	15.6	8.4	5.1	13.5	21.9	13.5	5.5	2.1	6.3	0.4	7.6	
New Mexico	72	100.0	18.1	6.9	5.6	22.2	11.1	12.5	9.7	1.4	2.8	2.8	6.9	
New York	1,184	100.0	38.1	17.4	1.6	5.4	5.4	17.7	4.6	2.3	3.8	0.7	3.0	
North Carolina	139	100.0	12.2	18.0	4.3	20.9	16.5	8.6	10.1	2.2	1.4	-	5.8	
North Dakota	40	100.0	12.5	-	5.0	22.5	32.5	12.5	2.5	10.0	2.5	-	-	
Ohio	484	100.0	21.3	9.5	9.1	17.1	7.0	9.3	3.7	13.6	3.7	0.6	5.0	
Oklahoma	108	100.0	21.3	16.7	14.8	20.4	4.6	8.3	3.7	2.8	4.6	-	2.8	
Oregon	160	100.0	29.4	9.4	10.6	13.8	11.9	5.0	3.8	10.6	2.5	1.3	1.9	
Palau	1	100.0	-	-	-	-	-	100.0	-	-	-	-	-	
Pennsylvania	475	100.0	25.5	14.1	5.3	10.5	11.8	13.5	4.8	4.2	6.5	0.4	3.4	
Puerto Rico	64	100.0	15.6	32.8	6.3	4.7	-	3.1	-	15.6	14.1	-	7.8	
Rhode Island	59	100.0	25.4	23.7	8.5	16.9	10.2	3.4	5.1	3.4	1.7	1.7	-	
South Carolina	64	100.0	37.5	4.7	15.6	4.7	9.4	7.8	14.1	3.1	1.6	-	1.6	
South Dakota	51	100.0	19.6	17.6	9.8	19.6	5.9	9.8	3.9	11.8	-	-	2.0	
Tennessee	132	100.0	11.4	9.1	12.1	29.5	11.4	12.9	6.8	1.5	2.3	-	3.0	
Texas	504	100.0	22.0	14.5	10.5	8.1	16.3	6.3	6.7	8.5	4.0	0.6	2.4	
Utah	112	100.0	14.3	7.1	6.3	30.4	14.3	8.9	5.4	5.4	2.7	-	5.4	
Vermont	20	100.0	15.0	10.0	5.0	30.0	5.0	10.0	10.0	-	5.0	-	10.0	
Virgin Islands	4	100.0	-	-	50.0	25.0	-	-	-	-	25.0	-	-	
Virginia	153	100.0	16.3	11.1	7.8	22.2	14.4	13.7	8.5	1.3	1.3	-	3.3	
Washington	298	100.0	41.3	8.4	3.0	13.1	12.1	5.4	4.4	4.4	2.0	1.3	4.7	
West Virginia	81	100.0	7.4	14.8	4.9	40.7	4.9	14.8	4.9	1.2	-	-	6.2	
Wisconsin	269	100.0	11.2	16.0	3.3	20.8	16.0	17.1	4.1	2.6	3.3	0.4	5.2	
Wyoming	47	100.0	4.3	2.1	10.6	51.1	14.9	8.5	8.5	-	-	-	-	

¹ See Appendix B for a discussion of assignment of organizational setting.

² Facilities operated by Federal agencies are included in the States in which the facilities are located.

SOURCE: Office of Applied Studies, Substance Abuse and Mental Health Services Administration, Uniform Facility Data Set (UFDS) survey, October 1, 1997.

Table 5.6
Substance abuse treatment clients by facility ownership, according to State or jurisdiction: October 1, 1997

State or jurisdiction ¹	Ownership																			
	Federal govt										Federal govt									
	Total	Private non-profit	Private for-profit	Local govt	State govt	VA	DoD	IHS	Other	Tribal govt	Total	Private non-profit	Private for-profit	Local govt	State govt	VA	DoD	IHS	Other	Tribal govt
Number										Percent										
Clients in treatment	929,086	510,680	168,106	123,560	68,133	40,625	5,862	2,163	33	9,924	100.0	55.0	18.1	13.3	7.3	4.4	0.6	0.2	0.0	1.1
Alabama	10,664	4,065	2,010	4,258	29	229	73	-	-	-	100.0	38.1	18.8	39.9	0.3	2.1	0.7	0.0	0.0	0.0
Alaska	5,261	1,539	330	253	97	1,667	130	17	-	1,228	100.0	29.3	6.3	4.8	1.8	31.7	2.5	0.3	0.0	23.3
Arizona	12,307	6,863	3,229	-	-	398	27	637	-	1,153	100.0	55.8	26.2	0.0	0.0	3.2	0.2	5.2	0.0	9.4
Arkansas	4,129	3,447	77	-	255	350	-	-	-	-	100.0	83.5	1.9	0.0	6.2	8.5	0.0	0.0	0.0	0.0
California	88,876	50,473	17,669	12,057	2,722	5,169	359	24	-	403	100.0	56.8	19.9	13.6	3.1	5.8	0.4	0.0	0.0	0.5
Colorado	13,530	5,010	4,830	1,722	1,004	634	185	-	-	145	100.0	37.0	35.7	12.7	7.4	4.7	1.4	0.0	0.0	1.1
Connecticut	15,592	10,799	1,887	444	1,940	450	26	46	-	-	100.0	69.3	12.1	2.8	12.4	2.9	0.2	0.3	0.0	0.0
Delaware	3,567	2,145	950	-	294	143	35	-	-	-	100.0	60.1	26.6	0.0	8.2	4.0	1.0	0.0	0.0	0.0
Dist. of Columbia	8,201	5,719	553	752	602	509	66	-	-	-	100.0	69.7	6.7	9.2	7.3	6.2	0.8	0.0	0.0	0.0
Fed. of Micronesia	4	-	-	-	4	-	-	-	-	-	100.0	0.0	0.0	0.0	100.0	0.0	0.0	0.0	0.0	0.0
Florida	41,663	23,081	11,437	3,345	1,402	2,132	239	-	-	27	100.0	55.4	27.5	8.0	3.4	5.1	0.6	0.0	0.0	0.1
Georgia	16,118	2,886	2,254	4,518	3,528	2,477	455	-	-	-	100.0	17.9	14.0	28.0	21.9	15.4	2.8	0.0	0.0	0.0
Guam	32	-	-	-	32	-	-	-	-	-	100.0	0.0	0.0	0.0	100.0	0.0	0.0	0.0	0.0	0.0
Hawaii	2,177	1,669	37	-	-	97	374	-	-	-	100.0	76.7	1.7	0.0	0.0	4.5	17.2	0.0	0.0	0.0
Idaho	2,464	1,447	372	28	21	412	12	-	-	172	100.0	58.7	15.1	1.1	0.9	16.7	0.5	0.0	0.0	7.0
Illinois	39,040	25,999	6,847	2,339	2,227	1,449	34	41	-	104	100.0	66.6	17.5	6.0	5.7	3.7	0.1	0.1	0.0	0.3
Indiana	18,458	13,169	3,208	1,440	399	242	-	-	-	-	100.0	71.3	17.4	7.8	2.2	1.3	0.0	0.0	0.0	0.0
Iowa	5,373	4,093	455	107	532	186	-	-	-	-	100.0	76.2	8.5	2.0	9.9	3.5	0.0	0.0	0.0	0.0
Kansas	8,288	4,789	1,346	1,737	55	228	37	85	-	11	100.0	57.8	16.2	21.0	0.7	2.8	0.4	1.0	0.0	0.1
Kentucky	12,119	9,274	1,576	384	284	137	464	-	-	-	100.0	76.5	13.0	3.2	2.3	1.1	3.8	0.0	0.0	0.0
Louisiana	12,185	2,813	1,331	217	6,375	1,439	-	-	-	10	100.0	23.1	10.9	1.8	52.3	11.8	0.0	0.0	0.0	0.1
Maine	8,188	3,388	4,405	3	113	147	21	-	-	111	100.0	41.4	53.8	0.0	1.4	1.8	0.3	0.0	0.0	1.4
Maryland	23,794	8,342	6,566	3,284	4,491	1,064	47	-	-	-	100.0	35.1	27.6	13.8	18.9	4.5	0.2	0.0	0.0	0.0
Massachusetts	33,219	25,632	5,197	729	19	1,642	-	-	-	-	100.0	77.2	15.6	2.2	0.1	4.9	0.0	0.0	0.0	0.0
Michigan	49,788	33,057	11,066	3,881	722	761	-	12	-	289	100.0	66.4	22.2	7.8	1.5	1.5	0.0	0.0	0.0	0.6
Minnesota	7,593	3,430	2,201	507	737	451	-	-	-	267	100.0	45.2	29.0	6.7	9.7	5.9	0.0	0.0	0.0	3.5
Mississippi	5,334	2,429	292	1,291	591	533	25	173	-	-	100.0	45.5	5.5	24.2	11.1	10.0	0.5	3.2	0.0	0.0
Missouri	11,090	7,853	1,461	240	858	579	99	-	-	-	100.0	70.8	13.2	2.2	7.7	5.2	0.9	0.0	0.0	0.0
Montana	2,298	1,388	111	78	164	24	-	-	-	533	100.0	60.4	4.8	3.4	7.1	1.0	0.0	0.0	0.0	23.2
Nebraska	4,197	2,531	830	143	117	404	18	-	-	154	100.0	60.3	19.8	3.4	2.8	9.6	0.4	0.0	0.0	3.7

See notes at end of table.

Table 5.6
Substance abuse treatment clients by facility ownership, according to State or jurisdiction: October 1, 1997

State or jurisdiction ¹	Ownership																			
	Federal govt										Federal govt									
	Total	Private	Private	Local govt	State govt	VA	DoD	IHS	Other	Tribal govt	Total	Private	Private	Local govt	State govt	VA	DoD	IHS	Other	Tribal govt
		non-profit	for-profit									non-profit	for-profit							
Number										Percent										
Nevada	5,279	1,672	2,698	212	5	422	-	81	-	189	100.0	31.7	51.1	4.0	0.1	8.0	0.0	1.5	0.0	3.6
New Hampshire	2,507	1,912	150	61	234	150	-	-	-	-	100.0	76.3	6.0	2.4	9.3	6.0	0.0	0.0	0.0	0.0
New Jersey	20,594	15,174	3,060	1,348	616	289	107	-	-	-	100.0	73.7	14.9	6.5	3.0	1.4	0.5	0.0	0.0	0.0
New Mexico	6,452	3,846	267	45	1,115	158	50	139	20	812	100.0	59.6	4.1	0.7	17.3	2.4	0.8	2.2	0.3	12.6
New York	127,272	83,877	19,332	15,078	4,040	3,669	-	25	-	1,251	100.0	65.9	15.2	11.8	3.2	2.9	0.0	0.0	0.0	1.0
North Carolina	17,379	3,471	1,354	11,279	614	258	358	12	-	33	100.0	20.0	7.8	64.9	3.5	1.5	2.1	0.1	0.0	0.2
North Dakota	2,086	452	201	-	1,356	25	-	-	-	52	100.0	21.7	9.6	0.0	65.0	1.2	0.0	0.0	0.0	2.5
Ohio	40,401	30,066	5,171	2,072	1,946	1,101	45	-	-	-	100.0	74.4	12.8	5.1	4.8	2.7	0.1	0.0	0.0	0.0
Oklahoma	7,572	3,566	962	137	2,041	388	190	89	-	199	100.0	47.1	12.7	1.8	27.0	5.1	2.5	1.2	0.0	2.6
Oregon	22,627	7,597	3,474	10,136	569	123	-	274	-	454	100.0	33.6	15.4	44.8	2.5	0.5	0.0	1.2	0.0	2.0
Palau	45	-	-	-	45	-	-	-	-	-	100.0	0.0	0.0	0.0	100.0	0.0	0.0	0.0	0.0	0.0
Pennsylvania	36,382	24,098	5,855	1,124	2,390	2,915	-	-	-	-	100.0	66.2	16.1	3.1	6.6	8.0	0.0	0.0	0.0	0.0
Puerto Rico	12,206	3,559	-	194	8,103	350	-	-	-	-	100.0	29.2	0.0	1.6	66.4	2.9	0.0	0.0	0.0	0.0
Rhode Island	5,084	3,678	1,033	-	41	332	-	-	-	-	100.0	72.3	20.3	0.0	0.8	6.5	0.0	0.0	0.0	0.0
South Carolina	10,862	3,714	635	5,530	430	165	289	-	-	99	100.0	34.2	5.8	50.9	4.0	1.5	2.7	0.0	0.0	0.9
South Dakota	1,880	1,062	146	121	227	105	-	-	-	219	100.0	56.5	7.8	6.4	12.1	5.6	0.0	0.0	0.0	11.6
Tennessee	13,166	4,851	2,276	5,228	27	778	6	-	-	-	100.0	36.8	17.3	39.7	0.2	5.9	0.0	0.0	0.0	0.0
Texas	40,693	13,249	10,580	2,356	12,638	1,031	807	-	-	32	100.0	32.6	26.0	5.8	31.1	2.5	2.0	0.0	0.0	0.1
Utah	13,621	5,365	1,205	5,515	553	822	130	-	-	31	100.0	39.4	8.8	40.5	4.1	6.0	1.0	0.0	0.0	0.2
Vermont	1,638	1,534	-	-	-	104	-	-	-	-	100.0	93.7	0.0	0.0	0.0	6.3	0.0	0.0	0.0	0.0
Virgin Islands	162	57	-	-	105	-	-	-	-	-	100.0	35.2	0.0	0.0	64.8	0.0	0.0	0.0	0.0	0.0
Virginia	21,039	4,261	1,097	13,499	1,133	360	676	-	13	-	100.0	20.3	5.2	64.2	5.4	1.7	3.2	0.0	0.1	0.0
Washington	31,260	14,092	12,005	1,986	237	1,502	478	37	-	923	100.0	45.1	38.4	6.4	0.8	4.8	1.5	0.1	0.0	3.0
West Virginia	4,704	3,507	130	40	-	1,027	-	-	-	-	100.0	74.6	2.8	0.9	0.0	21.8	0.0	0.0	0.0	0.0
Wisconsin	16,535	7,645	3,517	3,703	43	423	-	181	-	1,023	100.0	46.2	21.3	22.4	0.3	2.6	0.0	1.1	0.0	6.2
Wyoming	2,091	1,045	431	139	11	175	-	290	-	-	100.0	50.0	20.6	6.6	0.5	8.4	0.0	13.9	0.0	0.0

¹ Facilities operated by Federal agencies are included in the States in which the facilities are located.

SOURCE: Office of Applied Studies, Substance Abuse and Mental Health Services Administration, Uniform Facility Data Set (UFDS) survey, October 1, 1997.

57

Table 5.7
Substance abuse treatment clients by organizational setting, according to State or jurisdiction: October 1, 1997

State or jurisdiction ²	Organizational setting ¹												
	All clients	Total	Specialty substance abuse treatment			Community mental health center	Solo or group practice	General hospital (incl. VA)	Psychiatric or other specialized hospital	Criminal justice	Community or religious agency/org	Community health center	Multiple/Unknown
			Out-patient	Residential	Out-patient & residential								
Clients in treatment	929,086	100.0	33.0	4.7	6.7	18.5	7.2	10.3	5.2	6.4	3.4	0.9	3.7
Alabama	10,664	100.0	19.9	2.7	3.6	61.6	6.1	1.0	1.7	0.4	1.4	-	1.6
Alaska	5,261	100.0	39.0	0.8	12.5	21.4	4.5	4.8	2.8	-	3.8	3.3	7.1
Arizona	12,307	100.0	25.0	2.5	3.0	24.8	9.0	4.1	12.5	7.2	2.7	0.1	9.1
Arkansas	4,129	100.0	0.2	-	31.5	35.3	2.0	11.4	4.6	9.1	2.1	-	3.9
California	88,876	100.0	46.1	7.7	6.3	7.1	3.7	9.1	2.4	6.2	4.7	1.6	5.0
Colorado	13,530	100.0	25.7	7.3	11.4	10.7	13.4	15.0	7.2	6.5	1.1	-	1.8
Connecticut	15,592	100.0	44.3	7.5	5.2	17.7	3.5	2.4	5.0	8.8	0.7	0.3	4.6
Delaware	3,567	100.0	69.0	4.4	0.2	2.8	1.5	5.1	0.1	10.9	1.8	-	4.2
District of Columbia	8,201	100.0	14.5	1.5	1.0	1.1	5.4	7.6	0.8	1.8	40.9	-	25.5
Fed. of Micronesia	4	100.0	-	-	-	-	-	100.0	-	-	-	-	-
Florida	41,663	100.0	27.2	4.2	9.5	14.8	17.3	3.9	3.6	12.3	2.1	0.3	4.8
Georgia	16,118	100.0	12.4	2.9	4.0	40.2	7.2	4.2	21.2	0.5	1.5	3.3	2.6
Guam	32	100.0	-	-	-	100.0	-	-	-	-	-	-	-
Hawaii	2,177	100.0	38.2	11.6	6.5	6.5	1.0	5.8	5.1	4.0	1.1	-	20.2
Idaho	2,464	100.0	25.0	-	45.2	-	3.7	22.4	2.2	-	-	-	1.5
Illinois	39,040	100.0	19.4	2.1	7.3	22.4	9.4	9.4	4.9	10.0	10.6	0.5	4.1
Indiana	18,458	100.0	13.9	1.1	2.2	54.5	7.5	7.3	5.4	3.4	1.6	0.6	2.4
Iowa	5,373	100.0	20.3	0.9	25.4	10.2	3.1	25.3	1.8	10.7	0.6	-	1.7
Kansas	8,288	100.0	22.4	1.3	20.3	22.1	13.5	3.8	4.0	3.9	2.9	1.4	4.5
Kentucky	12,119	100.0	16.0	4.2	0.1	52.8	8.7	5.8	7.9	3.9	0.1	-	0.5
Louisiana	12,185	100.0	54.2	6.5	3.2	3.5	1.9	14.7	3.9	1.6	2.4	0.5	7.7
Maine	8,188	100.0	13.8	1.8	0.5	16.4	47.7	7.5	0.2	3.1	4.5	1.9	2.5
Maryland	23,794	100.0	49.3	2.6	2.8	8.8	8.1	11.2	5.5	4.7	3.9	2.1	1.0
Massachusetts	33,219	100.0	34.7	5.2	0.3	20.4	4.3	12.7	8.1	1.0	4.5	1.7	7.2
Michigan	49,788	100.0	38.6	1.9	3.2	16.1	11.8	8.4	5.4	2.9	6.3	1.3	4.0
Minnesota	7,593	100.0	18.0	14.7	9.2	17.0	3.6	12.4	10.8	8.0	2.2	0.9	3.3
Mississippi	5,334	100.0	2.6	6.5	2.8	51.8	0.3	8.8	9.5	1.0	9.8	5.0	1.8
Missouri	11,090	100.0	9.3	2.4	21.0	31.9	3.1	12.9	9.6	3.4	0.5	2.2	3.7
Montana	2,298	100.0	53.7	4.7	7.7	8.7	9.0	4.6	-	5.4	-	1.0	5.4
Nebraska	4,197	100.0	10.2	6.0	8.6	28.6	7.5	20.1	10.6	2.1	1.7	0.2	4.4

See notes at end of table.

Table 5.7
Substance abuse treatment clients by organizational setting, according to State or jurisdiction: October 1, 1997

State or jurisdiction ²	Organizational setting ¹													
	All clients	Total	Specialty substance abuse treatment			Community mental health center	Solo or group practice	General hospital (incl. VA)	Psychiatric or other specialized hospital	Criminal justice	Community or religious agency/org	Community health center	Multiple/Unknown	
			Out-patient	Residential	Out-patient & residential									
Nevada	5,279	100.0	28.7	4.9	3.0	26.2	16.4	11.7	1.9	1.7	0.4	2.1	3.0	
New Hampshire	2,507	100.0	17.0	3.9	15.1	29.3	5.8	9.9	2.6	9.5	3.0	0.2	3.7	
New Jersey	20,594	100.0	34.3	4.2	8.3	15.7	6.6	14.0	6.1	1.3	2.6	0.7	6.2	
New Mexico	6,452	100.0	30.1	1.4	8.4	17.1	10.6	6.1	2.8	1.0	1.2	1.0	20.3	
New York	127,272	100.0	47.8	6.9	1.6	4.6	5.1	20.7	3.8	2.4	3.3	1.0	2.8	
North Carolina	17,379	100.0	13.5	5.9	7.7	55.2	3.4	5.2	4.3	1.7	1.1	-	2.1	
North Dakota	2,086	100.0	7.5	-	4.9	57.9	6.3	7.2	3.7	11.2	1.2	-	-	
Ohio	40,401	100.0	36.4	2.2	7.8	22.6	3.8	7.0	3.8	9.7	1.8	1.6	3.2	
Oklahoma	7,572	100.0	23.1	6.4	8.8	35.3	3.1	10.7	6.2	2.9	2.0	-	1.5	
Oregon	22,627	100.0	27.2	1.9	6.1	45.5	4.9	3.3	2.1	6.7	0.4	0.5	1.3	
Palau	45	100.0	-	-	-	-	-	100.0	-	-	-	-	-	
Pennsylvania	36,382	100.0	29.9	5.5	8.0	7.9	6.0	17.3	7.9	10.6	4.0	0.0	2.8	
Puerto Rico	12,206	100.0	46.4	19.5	8.2	10.5	-	3.4	-	7.5	2.4	-	2.3	
Rhode Island	5,084	100.0	48.7	4.4	2.3	12.4	14.0	9.7	5.3	1.5	0.6	1.2	-	
South Carolina	10,862	100.0	50.2	0.6	35.3	1.8	3.6	2.3	5.1	0.6	0.2	-	0.1	
South Dakota	1,880	100.0	15.2	8.0	21.6	30.5	2.3	6.0	2.0	13.8	-	-	0.5	
Tennessee	13,166	100.0	14.6	1.7	4.7	18.2	3.7	12.2	39.9	2.7	1.5	-	0.9	
Texas	40,693	100.0	19.3	7.6	9.4	7.1	9.5	3.5	3.3	37.0	2.2	0.0	1.0	
Utah	13,621	100.0	29.2	3.9	3.6	23.9	5.6	8.7	1.3	21.2	0.6	-	2.0	
Vermont	1,638	100.0	5.1	1.5	6.2	53.0	15.5	6.5	6.0	-	0.3	-	5.9	
Virgin Islands	162	100.0	-	-	62.3	31.5	-	-	-	-	6.2	-	-	
Virginia	21,039	100.0	18.0	1.8	25.6	44.7	2.3	3.6	1.0	0.8	0.3	-	1.9	
Washington	31,260	100.0	53.6	2.5	4.6	10.3	11.1	8.4	4.8	1.6	0.8	1.0	1.4	
West Virginia	4,704	100.0	15.5	4.4	2.8	50.1	4.5	19.5	0.4	0.0	-	-	2.7	
Wisconsin	16,535	100.0	18.2	3.1	3.9	32.1	9.6	18.5	5.6	1.4	1.5	0.3	5.8	
Wyoming	2,091	100.0	18.1	0.3	7.7	41.0	19.6	6.1	7.1	-	-	-	-	

¹ See Appendix B for a discussion of assignment of organizational setting.

² Facilities operated by Federal agencies are included in the States in which the facilities are located.

SOURCE: Office of Applied Studies, Substance Abuse and Mental Health Services Administration, Uniform Facility Data Set (UFDS) survey, October 1, 1997.

Table 5.8
Substance abuse treatment clients by type of care, according to State or jurisdiction: October 1, 1997

State or jurisdiction ¹	Type of care													
	Total	Outpatient		Residential		Hospital inpatient		Total	Outpatient		Residential		Hospital inpatient	
		Intensive	Other	Rehab	Detox	Rehab	Detox		Intensive	Other	Rehab	Detox	Rehab	Detox
Number							Percent							
Clients in treatment	929,086	134,512	674,444	95,274	8,476	8,287	8,093	100.0	14.5	72.6	10.3	0.9	0.9	0.9
Alabama	10,664	7,102	3,001	455	45	27	34	100.0	66.6	28.1	4.3	0.4	0.3	0.3
Alaska	5,261	455	4,364	383	34	23	2	100.0	8.6	83.0	7.3	0.6	0.4	0.0
Arizona	12,307	1,705	9,150	1,165	102	117	68	100.0	13.9	74.3	9.5	0.8	1.0	0.6
Arkansas	4,129	393	2,868	689	48	66	65	100.0	9.5	69.5	16.7	1.2	1.6	1.6
California	88,876	10,921	62,063	13,670	962	844	416	100.0	12.3	69.8	15.4	1.1	0.9	0.5
Colorado	13,530	1,355	10,901	884	257	74	59	100.0	10.0	80.6	6.5	1.9	0.5	0.4
Connecticut	15,592	1,518	11,903	1,630	112	209	220	100.0	9.7	76.3	10.5	0.7	1.3	1.4
Delaware	3,567	297	2,726	502	33	2	7	100.0	8.3	76.4	14.1	0.9	0.1	0.2
Dist. of Columbia	8,201	3,342	3,049	282	153	32	1,343	100.0	40.8	37.2	3.4	1.9	0.4	16.4
Fed. of Micronesia	4	-	2	-	-	-	2	100.0	-	50.0	-	-	-	50.0
Florida	41,663	4,472	29,953	5,927	581	441	289	100.0	10.7	71.9	14.2	1.4	1.1	0.7
Georgia	16,118	4,227	9,194	1,136	1,140	172	249	100.0	26.2	57.0	7.0	7.1	1.1	1.5
Guam	32	10	6	16	-	-	-	100.0	31.3	18.8	50.0	-	-	-
Hawaii	2,177	378	1,403	367	9	15	5	100.0	17.4	64.4	16.9	0.4	0.7	0.2
Idaho	2,464	293	1,959	141	18	31	22	100.0	11.9	79.5	5.7	0.7	1.3	0.9
Illinois	39,040	6,602	28,426	3,001	216	500	295	100.0	16.9	72.8	7.7	0.6	1.3	0.8
Indiana	18,458	3,870	13,371	701	302	113	101	100.0	21.0	72.4	3.8	1.6	0.6	0.5
Iowa	5,373	691	3,630	933	24	74	21	100.0	12.9	67.6	17.4	0.4	1.4	0.4
Kansas	8,288	912	6,537	632	99	38	70	100.0	11.0	78.9	7.6	1.2	0.5	0.8
Kentucky	12,119	572	10,511	706	90	161	79	100.0	4.7	86.7	5.8	0.7	1.3	0.7
Louisiana	12,185	1,519	9,124	1,181	136	106	119	100.0	12.5	74.9	9.7	1.1	0.9	1.0
Maine	8,188	174	7,761	176	11	21	45	100.0	2.1	94.8	2.1	0.1	0.3	0.5
Maryland	23,794	3,629	18,742	1,231	82	85	25	100.0	15.3	78.8	5.2	0.3	0.4	0.1
Massachusetts	33,219	1,467	28,501	2,282	480	131	358	100.0	4.4	85.8	6.9	1.4	0.4	1.1
Michigan	49,788	5,280	41,002	2,243	106	551	606	100.0	10.6	82.4	4.5	0.2	1.1	1.2
Minnesota	7,593	1,831	3,126	2,105	174	277	80	100.0	24.1	41.2	27.7	2.3	3.6	1.1
Mississippi	5,334	289	3,450	1,338	18	125	114	100.0	5.4	64.7	25.1	0.3	2.3	2.1
Missouri	11,090	2,549	6,923	1,280	88	151	99	100.0	23.0	62.4	11.5	0.8	1.4	0.9
Montana	2,298	273	1,845	131	9	35	5	100.0	11.9	80.3	5.7	0.4	1.5	0.2
Nebraska	4,197	568	3,005	433	144	42	5	100.0	13.5	71.6	10.3	3.4	1.0	0.1

See notes at end of table.

Table 5.8
Substance abuse treatment clients by type of care, according to State or jurisdiction: October 1, 1997

State or jurisdiction ¹	Type of care													
	Number							Percent						
	Total	Outpatient		Residential		Hospital inpatient		Total	Outpatient		Residential		Hospital inpatient	
	Intensive	Other	Rehab	Detox	Rehab	Detox		Intensive	Other	Rehab	Detox	Rehab	Detox	
Nevada	5,279	228	4,660	302	35	17	37	100.0	4.3	88.3	5.7	0.7	0.3	0.7
New Hampshire	2,507	187	1,778	433	22	33	54	100.0	7.5	70.9	17.3	0.9	1.3	2.2
New Jersey	20,594	3,090	15,233	1,667	76	113	415	100.0	15.0	74.0	8.1	0.4	0.5	2.0
New Mexico	6,452	840	5,297	200	29	64	22	100.0	13.0	82.1	3.1	0.4	1.0	0.3
New York	127,272	19,364	93,994	10,993	745	1,275	901	100.0	15.2	73.9	8.6	0.6	1.0	0.7
North Carolina	17,379	2,371	13,191	1,177	183	310	147	100.0	13.6	75.9	6.8	1.1	1.8	0.8
North Dakota	2,086	506	1,247	196	52	78	7	100.0	24.3	59.8	9.4	2.5	3.7	0.3
Ohio	40,401	6,479	30,913	2,559	138	77	235	100.0	16.0	76.5	6.3	0.3	0.2	0.6
Oklahoma	7,572	518	5,378	1,132	142	156	246	100.0	6.8	71.0	14.9	1.9	2.1	3.2
Oregon	22,627	7,664	13,847	958	101	13	44	100.0	33.9	61.2	4.2	0.4	0.1	0.2
Palau	45	-	45	-	-	-	-	100.0	-	100.0	-	-	-	-
Pennsylvania	36,382	4,087	26,970	4,196	303	522	304	100.0	11.2	74.1	11.5	0.8	1.4	0.8
Puerto Rico	12,206	1,006	8,339	2,562	239	18	42	100.0	8.2	68.3	21.0	2.0	0.1	0.3
Rhode Island	5,084	240	4,424	318	43	22	37	100.0	4.7	87.0	6.3	0.8	0.4	0.7
South Carolina	10,862	1,062	9,229	265	121	137	48	100.0	9.8	85.0	2.4	1.1	1.3	0.4
South Dakota	1,880	260	1,272	258	34	48	8	100.0	13.8	67.7	13.7	1.8	2.6	0.4
Tennessee	13,166	1,704	10,252	944	60	72	134	100.0	12.9	77.9	7.2	0.5	0.5	1.0
Texas	40,693	3,509	20,007	16,266	245	402	264	100.0	8.6	49.2	40.0	0.6	1.0	0.6
Utah	13,621	934	11,306	1,191	98	37	55	100.0	6.9	83.0	8.7	0.7	0.3	0.4
Vermont	1,638	146	1,432	34	14	4	8	100.0	8.9	87.4	2.1	0.9	0.2	0.5
Virgin Islands	162	-	127	35	-	-	-	100.0	-	78.4	21.6	-	-	-
Virginia	21,039	3,883	15,567	1,277	151	71	90	100.0	18.5	74.0	6.1	0.7	0.3	0.4
Washington	31,260	7,670	21,857	1,438	108	144	43	100.0	24.5	69.9	4.6	0.3	0.5	0.1
West Virginia	4,704	324	3,983	309	34	13	41	100.0	6.9	84.7	6.6	0.7	0.3	0.9
Wisconsin	16,535	1,535	13,870	868	26	137	99	100.0	9.3	83.9	5.2	0.2	0.8	0.6
Wyoming	2,091	211	1,730	76	4	61	9	100.0	10.1	82.7	3.6	0.2	2.9	0.4

¹ Facilities operated by Federal agencies are included in the States in which the facilities are located.

SOURCE: Office of Applied Studies, Substance Abuse and Mental Health Services Administration, Uniform Facility Data Set (UFDS) survey, October 1, 1997.

Table 5.9
Substance abuse treatment facilities with residential or hospital inpatient beds available for substance abuse treatment,¹ by State or jurisdiction: October 1, 1997

State or jurisdiction ²	Total			Residential ¹				Hospital inpatient ¹			
	Clients	Beds available	Utilization rate (%)	No. of facilities	Clients	Beds available	Utilization rate (%)	No. of facilities	Clients	Beds available	Utilization rate (%)
Total	103,384	132,237	78.2	2,683	91,293	108,974	83.8	948	12,091	23,263	52.0
Alabama	559	884	63.2	21	498	674	73.9	9	61	210	29.0
Alaska	402	474	84.8	21	382	448	85.3	2	20	26	76.9
Arizona	1,378	2,013	68.5	39	1,195	1,609	74.3	14	183	404	45.3
Arkansas	780	1,275	61.2	23	660	1,101	59.9	8	120	174	69.0
California	12,314	14,908	82.6	428	11,560	13,525	85.5	67	754	1,383	54.5
Colorado	1,198	1,663	72.0	28	1,066	1,415	75.3	16	132	248	53.2
Connecticut	1,943	2,457	79.1	63	1,514	1,806	83.8	23	429	651	65.9
Delaware	537	615	87.3	14	528	571	92.5	3	9	44	20.5
Dist. of Columbia	441	522	84.5	11	425	487	87.3	2	16	35	45.7
Fed. of Micronesia	2	2	100.0	-	-	-	-	1	2	2	100.0
Florida	6,238	7,757	80.4	139	5,764	7,041	81.9	37	474	716	66.2
Georgia	1,615	2,683	60.2	46	1,222	1,651	74.0	39	393	1,032	38.1
Guam	16	16	100.0	1	16	16	100.0	-	-	-	-
Hawaii	289	419	69.0	14	269	372	72.3	3	20	47	42.6
Idaho	200	369	54.2	13	153	226	67.7	6	47	143	32.9
Illinois	3,480	4,338	80.2	74	2,780	3,159	88.0	41	700	1,179	59.4
Indiana	1,136	1,326	85.7	31	929	693	134.1	38	207	633	32.7
Iowa	761	970	78.5	24	671	773	86.8	11	90	197	45.7
Kansas	800	982	81.5	27	714	832	85.8	9	86	150	57.3
Kentucky	1,004	1,408	71.3	37	781	927	84.3	16	223	481	46.4
Louisiana	1,287	1,794	71.7	38	1,068	1,191	89.7	24	219	603	36.3
Maine	219	371	59.0	14	166	274	60.6	7	53	97	54.6
Maryland	1,226	1,860	65.9	56	1,136	1,604	70.8	9	90	256	35.2
Massachusetts	3,051	3,763	81.1	100	2,573	2,936	87.6	30	478	827	57.8
Michigan	2,614	3,596	72.7	85	2,272	2,971	76.5	25	342	625	54.7
Minnesota	2,588	3,420	75.7	90	2,250	2,798	80.4	17	338	622	54.3
Mississippi	1,436	1,947	73.8	30	1,197	1,445	82.8	17	239	502	47.6
Missouri	1,558	1,947	80.0	54	1,316	1,539	85.5	17	242	408	59.3
Montana	160	256	62.5	7	140	203	69.0	3	20	53	37.7
Nebraska	588	964	61.0	26	544	851	63.9	5	44	113	38.9

See notes at end of table.

Table 5.9

Substance abuse treatment facilities with residential or hospital inpatient beds available for substance abuse treatment,¹ by State or jurisdiction: October 1, 1997

State or jurisdiction ²	Total			Residential ¹				Hospital inpatient ¹			
	Clients	Beds available	Utilization rate (%)	No. of facilities	Clients	Beds available	Utilization rate (%)	No. of facilities	Clients	Beds available	Utilization rate (%)
Nevada	356	472	75.4	16	323	397	81.4	3	33	75	44.0
New Hampshire	358	688	52.0	13	280	340	82.4	9	78	348	22.4
New Jersey	1,827	2,109	86.6	36	1,522	1,727	88.1	15	305	382	79.8
New Mexico	315	471	66.9	14	229	313	73.2	7	86	158	54.4
New York	11,746	14,319	82.0	215	9,925	11,416	86.9	96	1,821	2,903	62.7
North Carolina	1,618	2,120	76.3	41	1,166	1,405	83.0	29	452	715	63.2
North Dakota	232	270	85.9	7	147	164	89.6	4	85	106	80.2
Ohio	2,670	3,962	67.4	116	2,425	3,261	74.4	37	245	701	35.0
Oklahoma	1,523	1,847	82.5	43	1,225	1,521	80.5	13	298	326	91.4
Oregon	1,036	1,340	77.3	39	981	1,177	83.3	5	55	163	33.7
Palau	-	-	-	-	-	-	-	-	-	-	-
Pennsylvania	5,140	6,485	79.3	128	4,392	5,215	84.2	41	748	1,270	58.9
Puerto Rico	2,713	3,450	78.6	30	2,653	3,360	79.0	4	60	90	66.7
Rhode Island	420	567	74.1	20	361	448	80.6	4	59	119	49.6
South Carolina	571	976	58.5	18	386	656	58.8	11	185	320	57.8
South Dakota	290	422	68.7	11	237	315	75.2	5	53	107	49.5
Tennessee	1,113	1,741	63.9	37	926	1,266	73.1	17	187	475	39.4
Texas	16,106	18,262	88.2	141	15,526	16,919	91.8	57	580	1,343	43.2
Utah	1,255	1,443	87.0	24	1,180	1,333	88.5	7	75	110	68.2
Vermont	60	131	45.8	7	48	101	47.5	3	12	30	40.0
Virgin Islands	35	40	87.5	1	35	40	87.5	-	-	-	-
Virginia	1,140	1,827	62.4	34	1,009	1,301	77.6	26	131	526	24.9
Washington	1,600	1,967	81.3	41	1,413	1,619	87.3	15	187	348	53.7
West Virginia	322	510	63.1	20	286	361	79.2	8	36	149	24.2
Wisconsin	970	1,601	60.6	69	751	1,055	71.2	28	219	546	40.1
Wyoming	148	218	67.9	8	78	126	61.9	5	70	92	76.1

¹ This table is based on facilities reporting both clients and number of beds available for substance abuse treatment. Of 1,088 facilities reporting hospital inpatient clients, 87% reported number of beds available for substance abuse treatment. Of 3,002 facilities reporting residential clients, 89% reported number of beds available for substance treatment.

² Facilities operated by Federal agencies are included in the States in which the facilities are located.

SOURCE: Office of Applied Studies, Substance Abuse and Mental Health Services Administration, Uniform Facility Data Set (UFDS) survey, October 1, 1997.

Table 5.10
Facilities dispensing opioid substitutes and substance abuse treatment clients receiving opioid substitutes, by State or jurisdiction: October 1, 1997

State or jurisdiction ¹	Facilities dispensing opioid substitutes				Clients in treatment receiving opioid substitutes (methadone or LAAM)					
	Facilities		Total clients	Percent receiving opioid substitutes	Total	Methadone	LAAM	Total	Methadone	LAAM
	Number	Percent			Number			Percent		
Total	768	100.0	178,263	77.4	138,009	135,696	2,313	100.0	98.3	1.7
Alabama	11	1.4	1,990	83.0	1,652	1,641	11	100.0	99.3	0.7
Alaska	1	0.1	60	98.3	59	59	-	100.0	100.0	-
Arizona	20	2.6	2,962	83.5	2,473	2,383	90	100.0	96.4	3.6
Arkansas	1	0.1	99	100.0	99	93	6	100.0	93.9	6.1
California	112	14.6	26,748	84.7	22,658	22,204	454	100.0	98.0	2.0
Colorado	8	1.0	3,457	47.0	1,626	1,621	5	100.0	99.7	0.3
Connecticut	28	3.6	6,625	77.2	5,117	5,062	55	100.0	98.9	1.1
Delaware	2	0.3	830	54.3	451	451	-	100.0	100.0	-
Dist. of Columbia	6	0.8	1,896	81.5	1,545	1,507	38	100.0	97.5	2.5
Fed. of Micronesia	-	-	-	-	-	-	-	-	-	-
Florida	20	2.6	4,818	55.7	2,682	2,632	50	100.0	98.1	1.9
Georgia	13	1.7	2,220	50.8	1,127	1,127	-	100.0	100.0	-
Guam	-	-	-	-	-	-	-	-	-	-
Hawaii	2	0.3	286	100.0	286	286	-	100.0	100.0	-
Idaho	-	-	-	-	-	-	-	-	-	-
Illinois	36	4.7	8,388	70.1	5,882	5,782	100	100.0	98.3	1.7
Indiana	9	1.2	1,795	72.6	1,303	1,210	93	100.0	92.9	7.1
Iowa	1	0.1	120	100.0	120	117	3	100.0	97.5	2.5
Kansas	3	0.4	364	100.0	364	364	-	100.0	100.0	-
Kentucky	7	0.9	694	91.9	638	594	44	100.0	93.1	6.9
Louisiana	8	1.0	2,059	40.6	836	810	26	100.0	96.9	3.1
Maine	1	0.1	237	100.0	237	237	-	100.0	100.0	-
Maryland	37	4.8	7,294	80.8	5,895	5,851	44	100.0	99.3	0.7
Massachusetts	29	3.8	5,939	85.2	5,060	5,060	-	100.0	100.0	-
Michigan	30	3.9	8,225	86.1	7,081	6,943	138	100.0	98.1	1.9
Minnesota	7	0.9	1,392	76.5	1,065	1,065	-	100.0	100.0	-
Mississippi	-	-	-	-	-	-	-	-	-	-
Missouri	8	1.0	1,143	85.7	980	980	-	100.0	100.0	-
Montana	-	-	-	-	-	-	-	-	-	-
Nebraska	-	-	-	-	-	-	-	-	-	-

See notes at end of table.

Table 5.10
Facilities dispensing opioid substitutes and substance abuse treatment clients receiving opioid substitutes, by State or jurisdiction: October 1, 1997

State or jurisdiction ¹	Facilities dispensing opioid substitutes				Clients in treatment receiving opioid substitutes (methadone or LAAM)					
	Facilities		Total clients	Percent receiving opioid substitutes	Total	Methadone	LAAM	Total	Methadone	LAAM
	Number	Percent			Number			Percent		
Nevada	8	1.0	1,703	92.1	1,569	1,542	27	100.0	98.3	1.7
New Hampshire	2	0.3	29	20.7	6	6	-	100.0	100.0	-
New Jersey	21	2.7	6,118	88.9	5,438	5,420	18	100.0	99.7	0.3
New Mexico	3	0.4	1,169	36.5	427	424	3	100.0	99.3	0.7
New York	175	22.8	40,675	89.0	36,209	35,865	344	100.0	99.0	1.0
North Carolina	9	1.2	3,294	30.3	998	998	-	100.0	100.0	-
North Dakota	-	-	-	-	-	-	-	-	-	-
Ohio	11	1.4	4,727	45.0	2,127	2,080	47	100.0	97.8	2.2
Oklahoma	2	0.3	662	36.7	243	237	6	100.0	97.5	2.5
Oregon	8	1.0	1,879	93.5	1,756	1,737	19	100.0	98.9	1.1
Palau	-	-	-	-	-	-	-	-	-	-
Pennsylvania	35	4.6	7,608	69.8	5,311	5,193	118	100.0	97.8	2.2
Puerto Rico	4	0.5	1,124	95.9	1,078	1,078	-	100.0	100.0	-
Rhode Island	6	0.8	1,409	80.7	1,137	1,115	22	100.0	98.1	1.9
South Carolina	3	0.4	1,258	38.7	487	461	26	100.0	94.7	5.3
South Dakota	-	-	-	-	-	-	-	-	-	-
Tennessee	5	0.7	1,927	81.7	1,574	1,574	-	100.0	100.0	-
Texas	37	4.8	5,761	83.8	4,829	4,702	127	100.0	97.4	2.6
Utah	5	0.7	897	82.9	744	727	17	100.0	97.7	2.3
Vermont	1	0.1	69	4.3	3	3	-	100.0	100.0	-
Virgin Islands	1	0.1	54	37.0	20	20	-	100.0	100.0	-
Virginia	14	1.8	3,047	50.8	1,548	1,233	315	100.0	79.7	20.3
Washington	11	1.4	3,679	66.2	2,435	2,368	67	100.0	97.2	2.8
West Virginia	1	0.1	8	12.5	1	1	-	100.0	100.0	-
Wisconsin	5	0.7	1,362	60.8	828	828	-	100.0	100.0	-
Wyoming	1	0.1	163	3.1	5	5	-	100.0	100.0	-

¹ Facilities operated by Federal agencies are included in the States in which the facilities are located.

SOURCE: Office of Applied Studies, Substance Abuse and Mental Health Services Administration, Uniform Facility Data Set (UFDS) survey, October 1, 1997.

APPENDIX A

UFDS 1997 QUESTIONNAIRE

This Appendix contains a complete copy of the 1997 UFDS questionnaire that was sent by mail to all facilities. As noted in Chapter 1, 82 percent of the 10,860 responding treatment facilities completed this version of the questionnaire.

A slightly abbreviated version of the mail questionnaire was administered by telephone to those facilities that, after repeated efforts detailed in Chapter 1, had not completed the survey. The telephone interview was conducted

using computer-assisted telephone interviewing (CATI). A printed version of the CATI interview is not included here because it contains embedded instructions and skip patterns that make it difficult to read.

The CATI interview included all items from the mail survey except the detailed check list of substance abuse services (Question 20). However, the section of this check list concerning programs for special groups (items 30-34) was asked of telephone respondents.

DRUG AND ALCOHOL SERVICES INFORMATION SYSTEM (DASIS) UNIFORM FACILITY DATA SET (UFDS) OCTOBER 1, 1997

This questionnaire asks about the facility listed below. Please check the accuracy of the information. Update items that are blank or inaccurate by entering the correct information in the space provided on the lower half of this page. If you are reporting data for the first time, please provide all of the information requested.

IF NO CHANGES ARE NEEDED (ALL INFORMATION IS COMPLETE AND CORRECT), MARK (X) THIS BOX →

STATE ID _____	Don't Know -1 <input type="checkbox"/>	NFR ID _____ - ____	Don't Know -1 <input type="checkbox"/>
EIN ID:** _____	-1 <input type="checkbox"/>	FDA ID _____ - ____	-1 <input type="checkbox"/>

**The EIN ID number is your employer identification number or your federal tax identification number. Your accounting or personnel departments may have this number.

Facility Director's Name		
Facility Name		
Mailing Address		
City	State	ZIP Code

Street Name		
City	State	ZIP Code
County	Telephone No.	Ext. (if any)
Facility Director's Telephone No.	Ext. (if any)	Facility Fax Number:
		TTY/TDD Number:

Why is completing this questionnaire important?

Your participation makes a difference. The UFDS survey is the ONLY source of data on ALL known substance abuse treatment and prevention programs in the nation. When substance abuse policy makers and program managers need up-to-date national information on characteristics of substance abuse programs and the numbers and types of clients served, they rely on the UFDS. UFDS data are used to formulate the Nation's annual drug control strategy and to make many other important decisions regarding substance abuse policy.

This survey is sponsored by the Substance Abuse and Mental Health Services Administration (SAMHSA), U.S. Department of Health and Human Services.

Instructions

- The reference date for UFDS is October 1, 1997.
- Use a # 2 pencil. If you wish to change an answer, please erase cleanly.
- See example below for the proper way to record a number in a box.
- Return the completed questionnaire in the envelope provided.

If you have any questions concerning this questionnaire, or if you need additional blank forms, contact:

MATHEMATICA POLICY RESEARCH, INC.
1-888-324-UFDS (8337)

Correct

1	9
---	---

Incorrect

1	19
---	----

1. On October 1, 1997 was the facility named on the cover providing substance abuse treatment, prevention, administrative, or other nontreatment services?

1 Yes → SKIP TO Q.2

2 No

1a. (If No) When did this facility close or stop providing substance abuse services? RECORD MONTH AND YEAR

MONTH: |__| |__|

YEAR: 19 |__| |__|

SKIP TO Q.27, PAGE 10

-1 Don't Know

2. Who is the owner of this substance abuse facility?

MARK ONE ONLY

1 A Private-for-Profit Organization

2 A Private Non-Profit Organization

3 State Government

4 Local County or Community Government

5 Tribal Government

6 Federal Government

SKIP TO Q.3

2a. Which federal government agency?

MARK ONE ONLY

1 Department of Veterans Affairs

2 Department of Defense

3 Bureau of Prisons

4 Indian Health Service

5 Other (Specify: _____)

3. Does this facility operate or participate in a hotline that provides substance abuse counseling and referral services?

911 is not considered a hotline

1 Yes

2 No → SKIP TO Q.4

3a. Please enter the hotline telephone number(s) and hours of operation. If 24 hours, check the box.

PHONE NUMBER(S): HOURS OF OPERATION 24 HOURS

(____)-_____ Weekdays

Weekends

(____)-_____ Weekdays

Weekends

4. On October 1, 1997, which of the following services were provided by this facility at this site?

MARK ALL THAT APPLY

1 Substance Abuse Treatment (services that focus on initiating and maintaining an individual's recovery from substance abuse and on averting relapse, including detoxification)

2 Substance Abuse Prevention (prevention activities directed at individuals not identified to be in need of treatment, such as information dissemination or education)

3 Other Substance Abuse Services (such as intake, assessment, and referral)

4 Administrative Services (such as billing, personnel, and scheduling)

5. Did you check box 1 in Q.4?

1 Yes

2 No → SKIP TO Q.27, PAGE 10

5a. Is a drunk driving or DUI/DWI program the ONLY substance abuse service provided by this facility?

1 Yes → SKIP TO Q.27, PAGE 10

2 No

6. Which ONE category best describes the SETTING of this substance abuse treatment facility?

MARK ONE

- 1 General hospital, may include an outpatient substance abuse unit on site
- 2 Psychiatric hospital, may include an outpatient substance abuse unit on site
- 3 Other specialized hospital, may include an outpatient substance abuse unit on site (for example, alcoholism, maternity, children's, orthopedic)
- 4 Solo practice
- 5 Group practice
- 6 School (elementary, secondary, college/university)
- 7 Jail, prison or juvenile detention center
- 8 Other criminal justice (TASC, pretrial diversion, court referral, probation, parole, community corrections)
- 9 Other setting

➔ **SKIP TO Q.7**

6a. More specifically would you describe this facility as:

MARK YES OR NO FOR EACH
YES NO

- 1 2 a. OUTPATIENT substance abuse treatment facility
- 1 2 b. Community MENTAL health center or other mental health facility that provides a variety of services
- 1 2 c. Community Health Center, including Migrant Health Center, Urban Indian Program, Health Care for the Homeless Center
- 1 2 d. Halfway House
- 1 2 e. Therapeutic Community
- 1 2 f. Other RESIDENTIAL substance abuse treatment facility
- 1 2 g. Community or religious organization/agency that provides a variety of social services
- 1 2 h. Other (*Specify:* _____)

7. Is this facility owned or operated by a managed care organization (for example, an HMO)?

- 1 Yes
- 2 No

8. On October 1, 1997, did this facility have letters of agreement or contracts with managed care organizations for providing substance abuse treatment services?

- 1 Yes, had formal written agreements or contracts with managed care organizations
- 2 No formal written agreements or contracts with managed care organizations → **SKIP TO Q.9**
- 3 Don't know → **SKIP TO Q.9**

8a. With how many managed care organizations did you have formal written agreements or contracts?

Number:

9. On October 1, 1997 was this facility structured as a parent organization or master site with one or more affiliate sites that provide substance abuse treatment services?

- 1 Yes
- 2 No → **SKIP TO Q.10, PAGE 3**

9a. On October 1, 1997, how many affiliate sites did this facility have that provide substance abuse treatment services?

Number:

10. On October 1, 1997, was this facility an affiliate of a parent organization or master site?

- 1 Yes
 2 No → **SKIP TO Q.10b**

10a. Please provide the following information for the parent organization/master site.

Organization: _____

Contact Name: _____

Mailing Address: _____

City: _____ State: _____

ZIP: _____ Telephone Number: (____) _____ - _____

10b. The rest of this questionnaire should be answered for those services, activities, etc. provided at this site by the facility listed on the cover of this questionnaire. Parent organizations or master sites should not include affiliate sites in their responses. Can you respond for only the services, activities, etc. provided at this site?

- 1 Yes → **SKIP TO Q.11**
 2 No

10c. If responding for only this site is not possible, for approximately how many sites will you be reporting in total?

MARK ONE ONLY

- 2 sites
 3-5 sites
 6-10 sites
 More than 10 sites

11. **Waiting Lists.** If a program is full, does this facility maintain a formal waiting list of people waiting for substance abuse services?

- **Formal waiting list:** a record of the names, addresses, and telephone numbers of applicants eligible for admission. *The list must include the date of application and nature of follow-up contacts.*

- 1 Yes
 2 No → **SKIP TO Q.12, PAGE 4**

11a. On October 1, 1997, how many people were on the waiting list?

Number on Waiting List:

12. Number of Active Clients on October 1, 1997. In each of the categories listed below, please enter the number of active clients who were receiving substance abuse treatment at this facility on October 1, 1997:

- DO NOT count codependents, parents, other relatives, friends (i.e., "collaterals"), or other nontreatment clients.

IF NONE,
CHECK BOX

	NUMBER	
a. Hospital Inpatients - Detoxification on October 1, 1997 and were <u>not</u> discharged that day	□ □ □ □	<input type="checkbox"/>
b. Hospital Inpatients - Rehabilitation on October 1, 1997 and were <u>not</u> discharged that day	□ □ □ □	<input type="checkbox"/>
c. Residential (24-Hour Care) - Detoxification on October 1, 1997 and were <u>not</u> discharged that day	□ □ □ □	<input type="checkbox"/>
d. Residential (24-Hour Care) - Rehabilitation on October 1, 1997 and were <u>not</u> discharged that day	□ □ □ □	<input type="checkbox"/>
e. Outpatients (Less Than 24-Hour Care) who received a substance abuse treatment service between September 1 and October 1, 1997 and were still enrolled on October 1, 1997. . . . DO NOT INCLUDE CLIENTS WHOSE ONLY SERVICE IS ATTENDING A DUI/DWI PROGRAM	□ □ □ □	<input type="checkbox"/>
f. Intensive Outpatients* who received a substance abuse treatment service—including day treatment—between September 1 and October 1, 1997 and were still enrolled on October 1, 1997 * (Services provided to a client that last 2 hours or more per day/3 or more days a week)	□ □ □ □	<input type="checkbox"/>
g. TOTAL NUMBER OF ACTIVE CLIENTS (add a - f)	□ □ □ □	<input type="checkbox"/>

Q.12g

12h. Are the numbers entered in the TOTAL box Q.12g actual active client counts for October 1, 1997 or your best estimate?

1 Actual count 2 Estimate

13. Approximately what percentage of the clients in the Q.12g TOTAL box were being treated on October 1, 1997 for:

a. Alcohol Abuse Only	□ □ □	.00%
b. Drug Abuse Only	□ □ □	.00%
c. Both Alcohol and Drug Abuse	□ □ □	.00%
TOTAL CLIENTS		100 %

14. Did you enter a number larger than zero in either the Hospital Inpatient (Q.12a or Q.12b) or Residential—24 Hour Care (Q.12c or Q.12d) categories in Q.12?

- 1 Yes 2 No → **SKIP TO Q.15, PAGE 5**

14a. On October 1, 1997, how many of the beds at this facility could have been used for:

NUMBER OF BEDS

a. Hospital Inpatient Substance Abuse Treatment	□ □ □ □	<input type="checkbox"/> NONE
b. Non-Hospital Residential (24-Hour) Substance Abuse Treatment	□ □ □ □	<input type="checkbox"/> NONE

CHARACTERISTICS OF ACTIVE CLIENTS ON OCTOBER 1, 1997

15. Please complete the following table for the number of active clients reported in Q.12 (page 4).

- Enter the TOTAL from Q.12g into the three TOTAL boxes in Column 1 below.
- Column 1. Enter the number of active clients for each age, race, and sex category in Column 1. For each category with no clients, enter zero, "0."
- Columns 2-4. For each age, race, and sex category with a number greater than zero in Column 1 complete Columns 2-4 to show how many clients were in each of the three types of care. The SUM of each row in Columns 2, 3 and 4 MUST EQUAL the Column 1 total for that row.

NUMBER OF ACTIVE CLIENTS BY TYPE OF CARE

Client Category	1	2	3	4
	TOTAL	HOSPITAL INPATIENT From Q.12a + Q.21b	RESIDENTIAL (24-HOUR CARE) From Q.12c + Q.12d	OUTPATIENT From Q.21e + Q.12f
AGE				
Under 18 years	_ , _ _	_ , _ _	_ , _ _	_ , _ _
18-20	_ , _ _	_ , _ _	_ , _ _	_ , _ _
21-24	_ , _ _	_ , _ _	_ , _ _	_ , _ _
25-34	_ , _ _	_ , _ _	_ , _ _	_ , _ _
35-44	_ , _ _	_ , _ _	_ , _ _	_ , _ _
45-64	_ , _ _	_ , _ _	_ , _ _	_ , _ _
65 and Over	_ , _ _	_ , _ _	_ , _ _	_ , _ _
Don't Know	_ , _ _	_ , _ _	_ , _ _	_ , _ _
TOTAL NUMBER OF ACTIVE CLIENTS	_ , _ _ (from Q.12g)	_ , _ _	_ , _ _	_ , _ _
RACE/ETHNICITY				
White, not of Hispanic Origin	_ , _ _	_ , _ _	_ , _ _	_ , _ _
Black, not of Hispanic Origin	_ , _ _	_ , _ _	_ , _ _	_ , _ _
Hispanic	_ , _ _	_ , _ _	_ , _ _	_ , _ _
Asian or Pacific Islander	_ , _ _	_ , _ _	_ , _ _	_ , _ _
American Indian/Alaskan Native ..	_ , _ _	_ , _ _	_ , _ _	_ , _ _
Other	_ , _ _	_ , _ _	_ , _ _	_ , _ _
Don't Know	_ , _ _	_ , _ _	_ , _ _	_ , _ _
TOTAL NUMBER OF ACTIVE CLIENTS	_ , _ _ (from Q.12g)	_ , _ _	_ , _ _	_ , _ _
GENDER				
Male	_ , _ _	_ , _ _	_ , _ _	_ , _ _
Female	_ , _ _	_ , _ _	_ , _ _	_ , _ _
Don't Know	_ , _ _	_ , _ _	_ , _ _	_ , _ _
TOTAL NUMBER OF ACTIVE CLIENTS	_ , _ _ (from Q.12g)	_ , _ _	_ , _ _	_ , _ _

15a. Are the numbers entered in Q.15 actual active client counts for October 1, 1997 or your best estimate?

- 1 Actual active client counts
- 2 Estimate

16. Does this facility dispense the opioid substitutes methadone or LAAM at this site?

- 1 Yes → (Make certain your FDA ID number on the cover has been recorded and is correct)
 2 No → **SKIP TO Q.17**

16a. On October 1, 1997, approximately how many of the clients in the TOTAL box at Q.12g (page 4) were receiving:

Number

- a. Methadone at this site |__|,|__|__|__|
 b. LAAM at this site |__|,|__|__|__|

17. On October 1, 1997, about how many of the clients recorded in the TOTAL box at Q.12g were:

- Provide your answers *either* as numbers or percentages. Your best estimate is fine. If a reasonable estimate is not possible, mark the “Unknown” box.
- For 17a and 17b, the number entered should not exceed the total number of females reported in Q.15.
- The active clients in Q.12 can be reported more than once in categories a-h below.

	Number	OR	Percentage	Unknown
a. Pregnant?	__ , __ __ __		__ __ __ .00 %	<input type="checkbox"/> -1
b. Women with dependent children?	__ , __ __ __		__ __ __ .00 %	<input type="checkbox"/> -1
c. Injection drug users at the time of admission?	__ , __ __ __		__ __ __ .00 %	<input type="checkbox"/> -1
d. Known as having an active case of tuberculosis (TB)?	__ , __ __ __		__ __ __ .00 %	<input type="checkbox"/> -1
e. HIV positive?	__ , __ __ __		__ __ __ .00 %	<input type="checkbox"/> -1
f. Clients who had previously received substance abuse treatment from you or another facility?	__ , __ __ __		__ __ __ .00 %	<input type="checkbox"/> -1
g. Covered by managed care arrangements	__ , __ __ __		__ __ __ .00 %	<input type="checkbox"/> -1
h. Criminal justice referred clients (excluding DUI/DWI)	__ , __ __ __		__ __ __ .00 %	<input type="checkbox"/> -1

18. From October 1, 1996 to September 30, 1997—or during the most recent 12-month period for which information is available— what was this facility’s:

- DO NOT INCLUDE NONTREATMENT CLIENTS

a. <u>Total number of substance abuse treatment admissions</u> —count <u>every admission</u> for the year, which includes each admission for clients readmitted for treatment or clients entering more than one type of care	12 - MONTH ADMISSIONS	__ __ , __ __ __
b. <u>Unduplicated count of substance abuse treatment clients</u> —count <u>every client</u> treated during that time period—both new clients and clients already receiving treatment. HOWEVER, count each client only once, even if a client was readmitted or treated more than once during the time period. (This count should be <u>no less than</u> the total reported at Q.12g)	12 - MONTH CLIENTS	__ __ , __ __ __

19. Is the number entered in:

	<u>Actual Count</u>	<u>Best Estimate</u>
a. Q.18a an actual admissions count for the year or your best estimate?	<input type="checkbox"/> 1	<input type="checkbox"/> 2
b. Q.18b an actual unduplicated count for the year or your best estimate?	<input type="checkbox"/> 1	<input type="checkbox"/> 2

20. As of October 1, 1997, which of these services were being provided at this substance abuse facility?

MARK ALL THAT APPLY

Assessment Services

- 1 Comprehensive substance abuse assessment/diagnosis
- 2 Comprehensive mental health assessment/diagnosis (for example, psychological/psychiatric evaluation and testing)
- 3 Other (Specify: _____)

Therapy

- 4 Family counseling
- 5 Group therapy, not including relapse prevention
- 6 Individual therapy
- 7 Pharmacotherapies/prescription medication
- 8 Relapse prevention groups
- 9 Other (Specify: _____)

Testing (Include testing service even if specimen is sent to outside source for chemical analysis)

- 10 Blood alcohol testing (including breathalyzer)
- 11 Drug/alcohol urine screening
- 12 Hair analysis
- 13 Hepatitis testing
- 14 HIV testing
- 15 STD testing
- 16 TB screening
- 17 Other (Specify: _____)

Health Services

- 18 Family planning
- 19 Medical care (including physical exams)
- 20 Prenatal care
- 21 Perinatal care
- 22 TB treatment
- 23 Health education (for example, nutrition, contagious diseases, STD other than HIV/AIDS)
- 24 HIV/AIDS education/counseling/support
- 25 Smoking cessation
- 26 Other (Specify: _____)

Continuing Care

- 27 Aftercare counseling
- 28 Alumni(ae) groups
- 29 Other (Specify: _____)

Programs for Special Groups

- 30 Adolescents
- 31 Dually-diagnosed (mental and substance abuse disorders)
- 32 Persons with HIV/AIDS
- 33 Pregnant/Postpartum women
- 34 Other (Specify: _____)

Transitional Services

- 35 Assistance with obtaining Social Services (i.e., Medicaid, WIC, SSI, SSDI)
- 36 Discharge planning
- 37 Employment counseling/training
- 38 Housing assistance
- 32 Referral to other services
- 40 Other (Specify: _____)

Community Outreach

- 41 Drug and alcohol education
- 42 Outreach/early intervention
- 43 Media presentations (T.V., radio, brochures)
- 44 Membership in a community partnership program
- 45 Other (Specify: _____)

Other Services

- 46 Academic education/GED classes
- 47 Acupuncture
- 48 Case management services
- 49 Child care
- 50 Communication skills
- 51 Detoxification from substance of abuse
- 52 Domestic violence - family/partner violence services (physical, sexual and emotional abuse)
- 53 Home visits
- 54 Life skills for independent living
- 55 Outcome follow-up (post-discharge)
- 56 Parenting/family skills development
- 57 Self-help groups, including 12-step programs
- 58 Socialization/recreational services (for example, scheduled activities such as camping, sporting events)
- 59 Transportation assistance to treatment
- 60 Other (Specify: _____)

21. Using the MOST RECENT 12 - month fiscal reporting period for which data are available, what was the *substance abuse treatment revenue or funding* for this facility? Include all sources such as client payments, insurance, government funds, and donations.

- If these data are obtained from a financial report with the information recorded in thousands of dollars, please remember to add three zeroes when recording these figures.
- If substance abuse treatment revenue is summed together with other revenue, please provide your best estimate for the substance abuse treatment portion.

Total Substance Abuse Treatment Revenue or Funding: \$ _____, _____, _____ .00

21a. What 12 - month reporting period was used to answer Q.21?

FROM: _____ | _____ | 19 _____ | _____ | 19 _____ | _____ |
 Month Day Year Month Day Year

22. How much of the *substance abuse treatment revenue or funding* reported in Q.21 was paid directly to this facility by:

- Provide your answers *either* as numbers *or* percentages.
- If you marked category "6" (Federal government) in Q.2, you should have revenues or funding to report in category "e" below.

REVENUE OR FUNDING SOURCES	DOLLAR AMOUNT	OR	ESTIMATED PERCENT
a. <u>Client payments</u> (self-payment, deductibles, copayments)	\$ _____		_____ %
b. <u>Private health insurance</u>			
1. Fee-for-service (not HMO, PPO, or managed care)	\$ _____		_____ %
2. HMO/PPO/Managed care payments	\$ _____		_____ %
3. Private health insurance, unspecified**	\$ _____		_____ %
c. <u>Medicaid</u>			
1. Not managed care—Title XIX, including all Federal, State, and Local matching Medicaid funds	\$ _____		_____ %
2. Managed care payments—Title XIX, including all Federal, State, and Local matching Medicaid funds	\$ _____		_____ %
3. Medicaid, unspecified**	\$ _____		_____ %
d. <u>Medicare</u>	\$ _____		_____ %
e. <u>Government funds</u>			
1. Federal (for example, VA, CHAMPUS—not including Medicare)	\$ _____		_____ %
2. State—including Federal block grants or any other State-only medical assistance	\$ _____		_____ %
3. Local—not including Medicaid	\$ _____		_____ %
f. <u>Other public funds</u> , source unspecified	\$ _____		_____ %
g. <u>Other funds</u> (such as funds from charities, donations, fund-raising events) - (Specify Largest Source: _____)	\$ _____		_____ %
h. <u>Unknown</u>	\$ _____		_____ %
Total	\$ _____	*	100%

** Unspecified: Only use if you are unable to distinguish between revenue from managed care and non-managed care sources. DO NOT DOUBLE COUNT REVENUE.

*Should Equal Q.21 Revenue or Funding Amount

23. To answer Q.22, did you primarily use:

MARK ONE ONLY

- 1 An audited financial statement for the substance abuse treatment facility on the cover
- 2 An unaudited financial statement for the substance abuse treatment facility on the cover
- 3 The annual budget for the substance abuse treatment facility on the cover
- 4 A financial statement, budget, or records from an administrative parent
- 5 Estimates based on other records, budgets, or statements
- 6 Other estimates

24. Does the revenue or funding information reported in Q.22 include revenues or funding for a site OTHER THAN the one identified on the cover of this questionnaire?

- 1 Yes
- 2 No → **SKIP TO Q.25**

24a. Please complete a block below for each site whose revenue or funding information is included in Q.22. Make a photocopy of this page if more address blocks are needed or send your own printout.

NFR ID # _____ - ____	<input type="checkbox"/> -1 Don't Know
State ID # _____	<input type="checkbox"/> -1 Don't Know
Name _____	

Location Address _____	

City _____	
State _____	ZIP Code _ _ _ _ _ _ _
Telephone (_ _ _ _) - _ _ _ _ - _ _ _ _ _	
Ext. (if any) _____	

NFR ID # _____ - ____	<input type="checkbox"/> -1 Don't Know
State ID # _____	<input type="checkbox"/> -1 Don't Know
Name _____	

Location Address _____	

City _____	
State _____	ZIP Code _ _ _ _ _ _ _
Telephone (_ _ _ _) - _ _ _ _ - _ _ _ _ _	
Ext. (if any) _____	

25. Were you able to provide revenue or funding sources in Q.22 for at least 75 percent of the total reported revenue?

- 1 Yes → **SKIP TO Q.26, PAGE 10**
- 2 No

25a. Is there another organization that can provide the revenue or funding information for your facility?

- 1 Yes → **GO TO Q.25b, PAGE 10**
- 2 No →

Please explain: _____

SKIP TO Q.26, PAGE 10

25b. Please provide the following information for that organization.

Name of Organization _____		

Mailing Address _____		

City _____	State _____	ZIP Code _____
Name (Contact Person) _____		
Telephone (_ _ _ _)- _ _ _ _ - _ _ _ _		Ext. (if any) _____

26. In addition to the funding you received for providing substance abuse treatment services, did you receive any Federal or State funding earmarked for prevention activities during the same 12-month period reported in Q.21a, page 8?

- 1 Yes
- 2 No
- 1 Don't Know

27. Please provide the following information about the person primarily responsible for completing this form.

- 1. Name: _____
- 2. Telephone Number:(_____) - _____
- 3. FAX Number:(_____) - _____ OR No FAX Number
- 4. Internet Address: _____ OR No Internet Address

28. When completed, you will be able to access the 1997 National Directory and the 1997 UFDS Data Report on the World Wide Web via SAMHSA's home page at www.samhsa.gov. If you would like to receive a paper copy of the National Directory or the data report, or the National Directory on diskette, please indicate below.

- 1 National Directory - paper copy
- 2 National Directory - diskette (requires minimum of 8 MB RAM and 386 or higher processor)
- 3 Report of UFDS survey findings - paper copy

Thank you for your participation. Please return this questionnaire in the envelope provided. If you no longer have the envelope, please mail this questionnaire to:

MATHEMATICA POLICY RESEARCH, INC.
ATTN: Pat Nemeth
P.O. Box 2393
Princeton, NJ 08543-2393

APPENDIX B

ORGANIZATIONAL SETTING

A consistent goal of UFDS has been to identify *treatment setting*, a concept that is subject to a variety of definitions and interpretations. Definitions of treatment setting generally fall into three categories. A treatment setting may be an organizational setting (e.g., a hospital or mental health center). It may indicate a treatment philosophy (e.g., social model or therapeutic community). Finally, it may indicate living arrangements while in treatment (e.g., outpatient, residential, halfway house). These different definitions are driven by the many different needs of data users. For example, treatment setting may be used by payers to set rates, by treatment professionals to determine levels of care, or by planners to determine system needs.

UFDS planners have tried to incorporate data elements that permit analysis of treatment setting from different viewpoints. These data elements have changed as the questionnaire evolved over time. In general, prior to 1995, organizational setting was collected in a single environment/location/setting question. Treatment philosophy and client living arrangement were collected in client census matrices, which cross-tabulated modality/type of care with environment/facility location. In 1995, the client census matrices were simplified to reduce the reporting burden, and the environment/setting/location question was expanded to recapture information lost from the matrices.

In the 1997 UFDS survey, treatment setting was collected in two related questions (Questions 6 and 6a; see Appendix A). Respondents were asked to select which one setting best described

the facility. Options included general hospital; psychiatric hospital; other specialized hospital; solo practice; group practice; school; jail, prison, or juvenile detention center; other criminal justice setting; and other setting. Facilities that selected ‘Other setting’ were asked to describe the facility more specifically, selecting all options that applied from a list that included: outpatient substance abuse treatment facility; community mental health center (CMHC); community health center; halfway house; therapeutic community; other residential substance abuse treatment facility; community or religious organization/agency providing a variety of social services; and other setting. This multiple listing, in conjunction with the counts of clients in treatment by type of care, was designed to permit flexibility in the definition and analysis of treatment setting.

The definition of treatment setting used in this report corresponds to that of *organizational setting*. To present facility and client data by organizational setting, it was necessary to assign each facility to a single setting category. Categories for the first question part of the setting question (where only one category could be checked) were essentially unchanged, although some were combined:

- General hospital (including VA hospitals that checked ‘Other specialized hospitals’)
- Psychiatric and other (non-VA) specialized hospitals
- Solo and group practices
- Criminal justice (jail, prison, juvenile detention, and other criminal justice settings)

Categories for the second part of the setting question, where multiple responses were permitted, were determined first on the basis of whether a larger organizational affiliation was indicated. Thus facilities indicating that they were set in a community mental health center, community health center, or community or religious agency/organization were categorized as such. Facilities not indicating such an affiliation were classified as *Specialty substance abuse treatment facilities*. These were further subdivided according to client living arrangement (outpatient, residential, or mixed outpatient and residential). Categories for the second question were:

- Community mental health center or other mental health facility
- Community health center
- Community or religious agency/organization
- Specialty substance abuse treatment facility
 - Outpatient
 - Residential (halfway house, therapeutic community, other residential substance abuse treatment facility)
 - Mixed outpatient and residential

Facilities indicating more than one larger affiliation (such as both CMHC and community health center) were classified as *Mixed/Unknown*. This category also included the few facilities that indicated that they were schools in the first question.

Appendix Table 1 provides counts of the multiple responses, and indicates the category to which facilities were assigned for this report.

Appendix Table 1
Organizational setting: October 1, 1997

Questionnaire response	Organizational setting assigned: Specialty substance abuse treatment														
	Out-patient	Residential (incl. halfway house, therapeutic community)								Outpatient & residential					
Community mental health center or other mental health facility that provides a variety of services															
Community health center, including Migrant Health Center, Urban Indian Program, Health Care for the Homeless Center															
Community or religious organization/agency that provides a variety of social services															
Outpatient substance treatment facility	●									●	●	●	●	●	●
Halfway house		●				●	●	●				●		●	●
Therapeutic community				●	●		●	●			●		●	●	●
Other residential substance abuse treatment facility		●				●	●	●		●		●	●	●	
No. of facilities	2,523	709	262	180	140	70	45	39	279	104	91	87	55	28	10

Questionnaire response	Organizational setting assigned: Community mental health center/Other mental health facility														
Community mental health center or other mental health facility that provides a variety of services	●	●	●	●	●	●	●	●	●	●	●	●	●	●	●
Community health center, including Migrant Health Center, Urban Indian Program, Health Care for the Homeless Center															
Community or religious organization/agency that provides a variety of social services															
Outpatient substance treatment facility	●		●	●		●	●	●	●						
Halfway house								●	●	●			●	●	●
Therapeutic community				●			●	●		●	●		●		●
Other residential substance abuse treatment facility			●		●	●	●	●		●		●	●		
No. of facilities	995	428	71	34	29	22	19	11	10	10	6	4	3	2	2

83

Appendix Table 1
Organizational setting: October 1, 1997

<i>Questionnaire response</i>	<i>Organizational setting assigned: Community or religious agency/organization</i>															
Community mental health center or other mental health facility that provides a variety of services																
Community health center, including Migrant Health Center, Urban Indian Program, Health Care for the Homeless Center																
Community or religious organization/agency that provides a variety of social services	●	●	●	●	●	●	●	●	●	●	●	●	●	●	●	
Outpatient substance treatment facility	●				●	●		●	●	●	●	●		●	●	
Halfway house							●		●	●	●	●	●		●	
Therapeutic community				●	●			●	●	●		●		●	●	
Other residential substance abuse treatment facility			●	●		●	●	●	●	●	●					
No. of facilities	210	72	41	26	22	14	14	14	13	7	6	5	3	2	2	2

<i>Questionnaire response</i>	<i>Organizational setting assigned: Community health center</i>										<i>Organizational setting assigned: Multiple or unknown settings</i>					
Community mental health center or other mental health facility that provides a variety of services											●		●		●	●
Community health center, including Migrant Health Center, Urban Indian Program, Health Care for the Homeless Center	●	●	●	●	●	●	●	●	●	●			●	●		
Community or religious organization/agency that provides a variety of social services											●			●	●	●
Outpatient substance treatment facility	●		●		●	●	●		●	●	●		●	●		●
Halfway house							●		●	●						
Therapeutic community					●	●		●	●	●						●
Other residential substance abuse treatment facility			●	●		●		●		●						
No. of facilities	45	39	5	4	3	3	1	1	1	1	129	100	43	18	14	14

84

Appendix Table 1
Organizational setting: October 1, 1997

<i>Questionnaire response</i>	<i>Organizational setting assigned: Multiple or unknown settings (cont.)</i>																	
Community mental health center or other mental health facility that provides a variety of services	●	●	●	●	●	●	●	●	●	●	●	●	●	●	●	●	●	●
Community health center, including Migrant Health Center, Urban Indian Program, Health Care for the Homeless Center	●	●	●	●	●	●	●	●	●	●	●	●	●	●	●	●	●	●
Community or religious organization/agency that provides a variety of social services	●	●	●	●	●	●	●	●	●	●	●	●	●	●	●	●	●	●
Outpatient substance treatment facility	●	●	●	●	●	●	●	●	●	●	●	●	●	●	●	●	●	●
Halfway house																		
Therapeutic community		●	●	●	●	●	●	●	●	●	●	●	●	●	●	●	●	●
Other residential substance abuse treatment facility			●	●	●	●	●	●	●	●	●	●	●	●	●	●	●	●
No. of facilities	9	8	6	6	6	5	5	5	4	3	2	2	2	2	2	2	2	2

<i>Questionnaire response</i>	<i>Organizational setting assigned: Multiple or unknown settings (cont.)</i>																	
Community mental health center or other mental health facility that provides a variety of services	●	●	●	●	●	●	●	●	●	●	●	●	●	●	●	●	●	●
Community health center, including Migrant Health Center, Urban Indian Program, Health Care for the Homeless Center		●	●	●	●	●	●	●	●	●	●	●	●	●	●	●	●	●
Community or religious organization/agency that provides a variety of social services	●	●	●	●	●	●	●	●	●	●	●	●	●	●	●	●	●	●
Outpatient substance treatment facility					●	●	●	●	●	●	●	●	●	●	●	●	●	●
Halfway house			●	●	●	●	●	●	●	●	●	●	●	●	●	●	●	●
Therapeutic community	●	●	●	●	●	●	●	●	●	●	●	●	●	●	●	●	●	●
Other residential substance abuse treatment facility	●	●	●	●	●	●	●	●	●	●	●	●	●	●	●	●	●	●
No. of facilities	2	2	2	2	2	1	1	1	1	1	1	1	1	1	1	1	1	1

SOURCE: Substance Abuse and Mental Health Services Administration, Uniform Facility Data Set (UFDS) survey, October 1, 1997.

APPENDIX C

REVENUE IMPUTATION METHODOLOGY

In the 1997 UFDS survey, 18 percent of facilities did not provide revenue data. For these facilities, missing revenue was imputed using the program PROC IMPUTE,¹ a regression-based distributional estimation procedure. An evaluation of imputation procedures used in the 1995 and 1996 UFDS indicated that, in a simulation study, PROC IMPUTE performed better than the random within-class hot-deck procedure.²

PROC IMPUTE assumes that relations among variables are constant for both observed and missing cases. It considers each variable on the file in turn as a target variable whose missing values are to be filled in. The procedure uses information on other variables to minimize the error in imputing each target variable. For each target variable, regression analysis is used to find the best combination of predictors. Once the regression models are constructed and regression values are computed for all cases (both observed and missing cases), PROC IMPUTE partitions the range of regression values into subsets. All cases in a given subset that are

missing the target variable are then imputed with weighted averages of two values. These values are drawn from that regression function value subset and from an adjacent subset. They are weighted with probability proportional to the distribution of reported values for that variable within the two subsets. The weighted average value is rounded to an integer if the integer flag is set for the target variable. The basic assumption of this algorithm is that within these homogeneous subsets, the missing value cases will have the same target value distribution as the cases with reported values on the target variable.

A limitation of PROC IMPUTE is that it is not robust to extreme outliers. Steps were taken to identify and exclude from the process any records with extreme values reported for revenue.

Editing/Manual Imputation of Total Revenue

The total revenue value for each facility (Q21) was compared to the sum of the revenue from each of its various funding sources (Q22). If the reported total revenue was less than this sum, it was changed to equal the sum. If the reported total revenue was greater than the sum of the revenue from the funding sources, the difference was computed and entered as revenue source *Unknown*.

Revenue was imputed for facilities where a value was missing (either because the respondent didn't know or refused to answer) or total revenue was reported as over \$1 billion.

¹ American Institutes for Research (1980). *Guidebook for imputation of missing data*. Statistical Analysis Group in Education for the National Center for Education Statistics, Palo Alto CA.

² Salvucci S, Hu M, Ghosh D, Hurley P (1998). *Review of Item Response Rates and Imputation Procedures in the 1995 and 1996 Uniform Facility Data Set (UFDS) Survey*. Unpublished report prepared for the Office of Applied Studies, Substance Abuse and Mental Health Services Administration, Rockville MD.

Of the 10,860 treatment facilities, 8,564 reported a revenue value, 300 indicated that their revenue was included in that reported by another facility, and 1,996 facilities had missing revenue values.

Of the 8,564 facilities reporting a revenue value, 582 indicated that the revenue reported included revenue for other facilities (Q24). Responses for these 582 were examined for two types of inconsistencies:

- A facility reported that its revenue included revenue for other facilities, but was missing a revenue amount. For these facilities, revenue reported in the 1995 and 1996 UFDS was examined. In all such cases, the facility had not reported for others in either 1995 or 1996, and its response for Q24 was changed. Its total revenue was set to the average of the revenue values reported in 1995 and 1996.
- A facility reported that its revenue included revenue for other facilities, and reported a revenue amount. The records of each of the facilities reported for (Q24a) were examined. If any of these facilities had reported revenue, the revenue of that facility was set to a special missing code. This was done to avoid double counting of revenue and to indicate that revenue should not be imputed.

The final editing step was to identify facilities with revenue values that would be considered outliers. These included:

- facilities where the ratio of revenue to number of clients was exceptionally high or low;
- facilities not reporting for others that reported more than \$9 million in revenue; and
- facilities that represented outliers in their State.

Revenue and client information for each of these facilities was compared to its revenue and client

information reported in 1995 and 1996. Records that were inconsistent with prior years were checked for data entry errors against the original questionnaire. Errors were corrected if found, and the facility was considered as an outlier if there had been no such error.

Auxiliary Variables

A range of items in the 1997 UFDS was examined to determine the best subset of items to be used as predictor variables in the imputation procedure. Three survey questions were selected because they had the strongest correlations with the total revenue item and/or the amount of missing revenue data differed across the levels of these items. Responses to the questions were recoded as 20 variables (*Appendix Table 2*) to meet the structural input data requirements of PROC IMPUTE. Results also identified State as a stratifying variable.

Overview of Imputation Procedure

Missing revenue values for 1,996 facilities were imputed separately for each State using PROC IMPUTE. Two groups of facilities were excluded as independent variables in the imputation process:

- the 582 facilities that indicated that the revenue reported included revenue for other facilities; and
- the 29 additional facilities that were considered to have outlying revenue values.

A new variable was created in the database that contains the imputed and reported revenue values for all treatment facilities. An imputation flag variable for this variable was also created.

**Appendix Table 2
Auxiliary items used in imputation
of total revenue**

Question	Variable
2	Private for-profit facility
2	Private non-profit facility
2	State government-owned facility
2	Local government-owned facility
2	Tribal government-owned facility
2	Federal government-owned facility
4	Prevention services
4	Other non-treatment services
4	Administrative services
12	No. of hospital inpatient clients (detox)
12	No. of hospital inpatient clients (rehab)
12	No. of residential clients (detox)
12	No. of residential clients (rehab)
12	No. of outpatient clients
12	No. of intensive outpatient clients
12	Total number of clients in treatment
12	Presence/absence of inpatient clients only
12	Presence/absence of residential clients only
12	Presence/absence of outpatient clients only
12	Presence of clients in 2 or more types of care

Profile of Imputation Results

A comparison was made of the mean revenue before and after imputation in order to make sure that no drastic differences resulted from the imputation process. The percent difference between the mean revenue before imputation and the mean revenue after imputation for all treatment facilities in the United States was three percent. All State-level differences were less than 10 percent.

Facilities that reported revenue for other facilities as well as themselves were more likely to report higher mean revenues than facilities that reported only for themselves. Therefore, mean revenue was compared separately for these two types of facilities. The difference between the pre- and post-imputation mean revenue for treatment facilities that reported only for themselves was 2 percent. All State-level differences were 9 percent or less.

Pre- and post-imputation revenue distributions across groups of facilities with different types of clients, ownership, numbers of clients, and types of services was compared to ensure that these distributions were not distorted as a result of the imputations. Results confirmed that no distortions occurred.