

Coming Into Compliance with New Criteria & Attestation Guidance Webinar

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8/21/2023



SAMHSA
Substance Abuse and Mental Health
Services Administration

Agenda Overview

Agenda

- Guidance for CCBHC-IA grants
 - Those who are certified by the state
 - Those who have accepted attestations by SAMHSA
- Guidance for CCBHC-PDI grantees
 - Those who are applying for CCBHC certification from their state
 - Those who are submitting attestations to SAMHSA
- Q&A

Guidance for CCBHC-IA Grant Recipients

All CCBHC-E program grant recipients are required to comply with the 2023 revised CCBHC certification criteria by July 1, 2024. This includes CCBHC-IA grantees.

CCBHC-IA
Grant
Recipients
Certified
by the
State

Submit updated documentation to your GPO demonstrating compliance with the revised criteria by July 1, 2024.

You must submit this documentation even if your state re-certification timeframe is on a different schedule.

Submit your documentation to your GPO via eRA 2-way correspondence.

Guidance for CCBHC-IA Grant Recipients, Cont'd.

All CCBHC-E program grant recipients are required to comply with the 2023 revised CCBHC certification criteria by July 1, 2024. This includes CCBHC-IA grantees.

CCBHC-IA Grant Recipients Not Certified by the State

Submit attestation demonstrating compliance with the revised criteria to your GPO via eRA 2-way correspondence by July 1, 2024.

If your next attestation (based on three-year time frame for community needs assessment updates) is not due until after July 1, 2024, your attestation only needs to demonstrate compliance with updates/changes in the revised criteria.

If your attestation expires prior to July 1, 2024, you may want to consider attesting, to the extent possible, to the revised Criteria. If you are using the initial criteria and plan to include some areas that conform to the revised criteria, please note this in those areas of your attestation

Guidance CCBHC-PDI Grant Recipients

By the end of year one (9/29/2023), CCBHC-PDI Grantees are required to be in compliance with the CCBHC Certification Criteria.

Grantees must submit the required documentation demonstrating compliance in PDF format via the eRA Commons Terms Tracker.

There are two different groups a grantee may fall into, and their submission differs depending on which group they are in.

- Grantees/CCBHCs not certified by their states
- Grantees/CCBHCs that are certified as CCBHCs by their states

In addition, all PDI grantee will have to comply with the revised Certification Criteria by July 1, 2024.

PDI Grantees Certified As CCBHCs By Their States

Submit the materials that you sent to your state for your most recent certification to demonstrate compliance with the certification criteria, as well as a copy of the certificate.

- If you are unable to provide the full application that you submitted to the state (i.e., if you submitted your application online or if the application file is too large), please submit an executive summary or other key information provided in your application demonstrating your CCBHC's compliance.
- If your state only required you to report on some of the CCBHC certification criteria because aspects of the criteria are covered under other accreditation or certification requirements, please note this when you send your materials.
- If you were certified by your state more than 2 years ago, please indicate when you are due for recertification and note any changes in your CCBHC status that have occurred since you were last certified.

PDI Grantees Certified By Their States, Cont'd.

- Please include a copy of the state's concurrence or statement of certification.
- Include copies of relevant supporting documentation (i.e., policies, procedures, checklists), as necessary, to demonstrate how your clinic is operationalizing compliance with the criteria. At a minimum, please submit the following attachments:
 - All Designating Collaborating Organization (DCO) agreements, if any, identifying the specific CCBHC services to be provided by the DCO and, clarifying how the CCBHC will work with the DCO in compliance with Certification Criteria requirements (Certification Criteria Definitions).
 - Organizational Chart for CCBHC Leadership (Program Requirement 1).
 - Staffing and Training Plans (Program Requirement 1).

Please note that SAMHSA's review of your materials is completely separate from any state certification process and does not make you eligible for state certification. After reviewing your submissions, SAMHSA may follow up with additional questions or requests for material.

PDI Grantees Not Certified By Their State

Submit an attestation describing how your CCBHC complies with the Certification Criteria. While SAMHSA does not require grantees to submit the attestation and supporting documents in a specific format, your attestation should:

- State which version of the certification criteria (either the initial certification criteria or the 2023 revised CCBHC certification criteria) serves as the basis for the attestation;
- Fully and succinctly describe how your CCBHC meets each criterion and list the relevant supporting documentation;
- Clearly indicate areas/elements of the attestation, if any, that are compliant with the other version of the certification criteria; and

PDI Grantees Not Certified By Their State, Cont'd.

- Include copies of relevant supporting documentation (i.e., policies, procedures, checklists), as necessary, to demonstrate how your clinic is operationalizing compliance with the criteria. At a minimum, please submit the following attachments:
 - All Designating Collaborating Organization (DCO) agreements, if any, specifying the specific CCBHC services to be provided by the DCO and, clarifying how the CCBHC will work with the DCO in compliance with Certification Criteria requirements (Certification Criteria Definitions).
 - Organizational Chart for CCBHC Leadership (Program Requirement 1).
 - Staffing and Training Plans (Program Requirement 1).

PDI Grantees Not Certified By Their State, Cont'd.

- SAMHSA recommends that you review the full criteria as well as the compliance checklist for the relevant version as you prepare your attestation. SAMHSA encourages grant recipients to limit the attestation narrative to no more than 30 pages (not including any attachments of supporting documentation). If it is not possible to do this in 30 pages or less, please contact your SAMHSA GPO for guidance.
- GPO acceptance will be provided when the GPO has found that the attestation sufficiently describes how the CCBHC fully meets the CCBHC Criteria.

Please note that SAMHSA review and acceptance of your attestation does not constitute certification as a CCBHC.

Requirements for Compliance with the Revised Criteria

NOTE to PDI Grant Recipients:

If the attestation you submitted in September 2023, is based on the initial Certification Criteria, you will need to submit an updated attestation by July 1, 2024, demonstrating your CCBHC's compliance with the updated Criteria.

Questions & Answers



Thank you

SAMHSA's mission is to reduce the impact of substance abuse and mental illness on America's communities.

Presenter Contact Information (Optional) – Use 20pt. Calibri typeface set to auto black color

www.samhsa.gov

1-877-SAMHSA-7 (1-877-726-4727) • 1-800-487-4889 (TDD)