

FY 2023 Notice of Funding Opportunity SAMHSA Adult Reentry Program – TI-23-006 Pre-Application Webinar

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SAMHSA
Substance Abuse and Mental Health
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Agenda

- Programmatic Review of TI-23-006: FY 2023 Adult Reentry Program Presentation
 - Jon Berg M.Ed., Criminal Justice Program Coordinator
- Grants Management Presentation
 - Aina Halili, Grants Management Specialist
- Data Collection and Performance Measurement
 - Jon Berg M.Ed., Criminal Justice Program Coordinator

Overview of TI-23-0076

- **Purpose:** The purpose of this program is to expand substance use disorder (SUD) treatment and related recovery and reentry services to sentenced adults in the criminal justice system with a SUD and/or co-occurring substance use and mental disorders, who are returning to their families and community following a period of incarceration in state and local facilities including prisons, jails, or detention centers.
- **Population of focus:** Sentenced adults diagnosed with a substance use disorder and/or co-occurring substance use and mental disorder.
- **Due Date:** March 6, 2023, at 11:59 pm ET
- **Available Funding:** Up to \$13 million

Overview of TI-23-006

- **Estimated Number of Awards: 33**
- **Estimated Award Amount: Up to \$400,000 per year**
- **Length of Project Period: Up to 5 years**
- **Anticipated Project Start Date: 9/30/2023**
- **Anticipated Award Date: 8/31/2023**

Overview of TI-23-006 (cont'd)

- TI-23-006 can be accessed on SAMHSA's website at:
<https://www.samhsa.gov/grants/grant-announcements/ti-23-006>
- You must respond to all of the requirements listed in the NOFO in preparing your application.
- You must use the forms in the application package to complete your application.
- **Note:** Additional materials are available to assist you in completing your application on this posting.

Appendix A – Application and Submission Requirements

- Applicants are required to complete three **(3) registration processes (p.33)**:
 1. System for Award Management (SAM) (p.33);
 2. Grants.gov (p.34); and
 3. eRA Commons. (p.34)
- **If you haven't started these processes, start today.**
- If you have already completed registrations for SAM and Grants.gov, please **ensure that your accounts are up-to-date and/or renewed.**
- Afterwards, register in eRA Commons, in order to have access to electronic submission, receive notifications on the status of your application and retrieve grant information.

Application Submission

- You **must** electronically submit your application using eRA ASSIST or Grants.gov Workspace that will be routed through Grants.gov. (p.39)
- All applications that are successfully submitted must be validated by Grants.gov before proceeding to the NIH eRA Commons system and validations.
- If Grants.gov identifies any errors and rejects your application with a “Rejected with Errors” status, you must address all errors and resubmit through Grants.gov, prior to the application deadline. (p.41)
- **The Project Director MUST have an active eRA Commons account (with the PI role) affiliated with the organization in eRA Commons.**
- The person submitting your application must be properly registered with Grants.gov as the Authorized Organization Representative (AOR) for the specific UEI number cited on the SF-424 (first page). (p.34)

Application Submission (cont'd)

- If no problem is found, Grants.gov will allow the eRA system to retrieve the application and check it against its own agency business rules (eRA Commons Validations). If you use ASSIST to complete your application, you can validate your application and fix errors before submission. (p.41)
- If errors are found during eRA Commons validation, the applicant will receive a System Error and/or Warning notification regarding the problems found in the application. The applicant must take action to make the required corrections and resubmit the application through Grants.gov before the application due date and time. (p.41)
- Applicants are strongly encouraged to **allocate additional time prior to the submission deadline to submit their applications** and to correct errors identified in the validation process. Applicants are also encouraged to check the status of their application submission to determine if the application is complete and error-free. (p.40)

Eligibility

- Eligible applicants are: (p.18)
 - Domestic public and private non-profit entities;
 - State governments, DC, Guam, Puerto Rico, . . .
 - Governmental units within political subdivisions of a state, such as a county, city, or town.
 - Federally recognized American Indian/Alaska Native (AI/AN) tribes and tribal organizations, and consortia of tribes or tribal organizations;
 - Public or private universities and colleges; and
 - Community- and faith-based organizations.

Eligibility

- **NOT eligible to apply** - grant recipients who received funding in: (p.21)
 - AR Program recipients who received funding in FY2019 (except those recipients funded prior to 12/30/2018) and FY 2020 under the Offender Reentry Program NOFO (TI-18-003) are not eligible to apply for this funding opportunity.

Questions?

Eligible Program Participants

- **The sentenced adult must meet the following criteria to receive services funded under this program: (pp.6-7)**
- Be assessed or diagnosed as having a SUD and/or co-occurring substance use and mental disorder;
- Either sentenced to and serving at least one month in a correctional facility (jail/prison/detention center); or
 - Be on probation/parole and be at risk of being re-incarcerated in a correctional facility (jail/prison/detention center), due to a probation/parole violation;
- For those currently incarcerated, be within four months of scheduled release to the community, in order to receive services in the correctional/detention setting; and
- Upon immediate release from the correctional facility to the community, be referred to community-based treatment.

Key Personnel

- Key personnel are staff members who must be part of the project regardless of whether they receive a salary or compensation from the project.
- Key Personnel for this program is the Project Director and a minimum Level of Effort (LOE) of 0.20 FTE.
- The Project Director is responsible for oversight of the entire project.

Required Activities

- If an applicant is not a Department of Corrections (DOC) or correctional facility, it **must** submit a Letter of Commitment (LOC) in **Attachment 1** for identified correctional facility partners.
- **NOTE: If you haven't started this process, start immediately.**
- The LOC(s) must indicate
 - The correctional facility(ies) are aware of the organization's application, including that they will partner with them to assist with identifying eligible candidates within the correctional facility,
 - Allow in-reach into the facility for pre-release assessment and planning, and
 - Develop a Memorandum of Understanding (MOU) with the organization within 90 days of the organization receiving funding.

Required Activities

- Applicants must indicate the total number of unduplicated individuals that will be served each year of the award and over the total project period. Award recipients are expected to achieve the numbers that are proposed. (p. 7)
- **Award recipients must use SAMHSA's funds to support direct services primarily. This includes the following activities:** (pp.7-9)
- When evaluating individuals for entry into the program, work closely with Probation and Parole to ensure effective screening, assessment, and transition of individuals at risk of a probation/parole violation.

Required Activities (cont'd)

- **Award recipients must use SAMHSA's funds to support direct services primarily. This includes the following activities: (pp.7-9)**
- Screen and assess clients for the presence of SUD and/or co-occurring substance use and mental disorders and use the information obtained from the screening and assessment to develop appropriate, person-centered treatment approaches.
- Provide evidence-based and population appropriate treatment services to meet the unique needs of diverse populations at risk. Treatment services must include the use of FDA-approved medications in the treatment of opioid and/or alcohol use disorder and medication management (if available in the geographic area served), outpatient, day treatment (including outreach-based services), intensive outpatient, or residential programs.

Required Activities (cont'd)

- **Grant funds must be used primarily to support direct services. This includes the following activities: (pp. 7-9)**
- Provide recovery support services (e.g., recovery housing, peer support services, childcare, supported employment, skills training and development, and transportation services) that provide emotional and practical support to maintain client/participant remission.
- Provide drug testing as required for supervision, treatment adherence, and therapeutic intervention.
- Provide case management that encompasses a team approach and includes criminal justice supervising authorities, SUD treatment professionals, existing treatment alternatives organizations (e.g., Treatment Alternatives for Safe Communities (TASC) or similar treatment referral and case management models), . . .

Required Activities (cont'd)

- **Grant funds must be used primarily to support direct services. This includes the following activities: (pp. 7-9)**
- Require the development of comprehensive, person-centered case management plans that directly address the risk factors for recidivism, as determined by validated risk assessments directly related to recidivism, and include delivery or facilitation of services, including substance use and cognitive behavioral interventions.
- Screen for infectious diseases for which those with substance use disorders are at high risk, including HIV and viral hepatitis (hepatitis A, B, and C), with appropriate referral and follow-up to assure treatment if screening tests indicate infection, and vaccination for those infectious diseases for which a vaccine is available.

Required Activities (cont'd)

- **Grant funds must be used primarily to support direct services. This includes the following activities: (pp. 7-9)**
- Develop and implement a plan to provide training on behavioral health implementation for the national CLAS standards for staff that encompasses the population of focus in the service area.
- Monitor race, ethnicity, sexual orientation, and gender identity of enrollees in the program so that equity among population groups is addressed.

Allowable Activities

- **Allowable activities may include:** (pp.9-10)
- Planning activities to coordinate systems which bring together key stakeholder agencies/organizations (e.g., corrections agencies, SUD treatment and service providers, recovery community organizations) to form partnerships that will plan, develop, and provide SUD treatment, recovery services, and related reentry services in the community.
- Purchasing and/or directly administering brief diagnostic, assessment instruments, and screening tools for identification of SUDs and/or co-occurring substance use and mental disorders for the targeted adult reentry population.
- Training and/or employing intake and/or case management staff with SUD treatment expertise to assist correctional staff develop individual transition plans for reentry into the community.

Allowable Activities (cont'd)

- **Allowable activities may include:** (pp.9-10)
- Coordinating with community-based organizations, including faith-based and recovery community organization groups, to go inside correctional facilities to initiate wrap-around transition planning activities (e.g., jobs or life skills education, building connections to social support and/or educational programs, etc.).
- Where feasible, initiating the use of Medications for Opioid Use Disorder (MOUD) and/or medications for other substance use disorders during the pre-release phase.
- Developing and implementing program-wide tobacco/ nicotine inhalation (vaping) cessation programs, activities, and/or strategies.
- Providing recovery housing for program participants.

Allowable Activities (cont'd)

- **Allowable activities may include:** (pp.9-10)
- Developing and implementing an overdose prevention program that includes an educational component with [SAMHSA's Opioid Overdose Prevention Toolkit](#) and naloxone information and distribution.
- Providing activities that address behavioral health disparities and the social determinants of health.
- Implementing efforts aligned to the award that may expand diversity equity, inclusion, and accessibility.

Evidence of Experience and Credentials - Attachment 1

Applicants must meet three additional requirements related to the provision of services: (p.19)

- A provider organization for direct client substance use disorder/mental health treatment services, appropriate to the award, must be involved in the proposed project. The provider may be the applicant, or another organization committed to the project. More than one provider organization may be involved.
- Each mental health/substance use disorder treatment provider organization must have at least two years of experience (as of the due date of the application) providing relevant services (official documents must establish that the organization has provided relevant services for the last two years).
- Each mental health/substance use disorder treatment provider organization must comply with all applicable local (city, county) and state licensing, accreditation, and certification requirements, as of the due date of the application.

Evidence-Based Practices

- SAMHSA's awards are intended to fund services or practices that have a demonstrated evidence base and that are appropriate for the population(s) of focus. (pp.11-12)
- While SAMHSA realizes that EBPs have not been developed for all populations and/or service settings, application reviewers will closely examine proposed interventions for evidence base and appropriateness for the population of focus.
- If an EBP(s) exists for the population(s) of focus and types of problems or disorders being addressed, the expectation is that EBP(s) will be utilized.
- If one does not exist but there are evidence-informed and/or culturally promising practices that are appropriate or can be adapted, these interventions may be implemented in the delivery of services.

Questions?

Data Collection and Performance Measurement

- All SAMHSA recipients are required to collect and report certain data so that SAMHSA can meet its obligations under the Government Performance and Results (GPRA) Modernization Act of 2010. (pp.12-14)
- You must document your plan for data collection and reporting in your Project Narrative in response to Section E: Data Collection and Performance Measurement in Section V of this NOFO.
- No more than 20 percent of the total grant award for the budget period may be used for data collection, performance measurement, and performance assessment, including incentives for participating in the required data collection follow-up. (p.25)

Data Collection and Performance Measurement (cont'd)

- Information will be gathered using a uniform data collection tool provided by SAMHSA.
- Recipients are required to submit data via SAMHSA's Performance Accountability and Reporting System (SPARS); and access will be provided upon award. An example of the required data collection tool (i.e., National Outcome Measures (NOMs) or NOMS client level services tool) can be accessed through a link on page 13.
- Recipients must periodically review the performance data they report to SAMHSA, assess their progress, and use this information to improve the management of their project. Recipients are also required to report on their progress semi-annually. (p. 31)

Data Collection and Performance Measurement (cont'd)

- **Recipients are required to report performance for the following measures: (pp.12-13)**
- Number of Individuals Served
- Diagnosis
- Abstinence from Substance Use
- Housing Stability
- Employment/Education Status
- Social Connectedness
- Criminal Justice Involvement
- Access to Treatment; Treatment(s) Provided; Retention in Treatment
- Health/behavioral/social consequences

Data Collection and Performance Measurement (cont'd)

- Data will be collected via an interview using this tool at three data collection points **at baseline (i.e., the client's entry into the project), discharge, and six months post baseline.** (p.13)
- Recipients will be expected to do a GPRA interview on all clients for their specified unduplicated target number and are also expected to achieve a six-month follow-up rate of 80 percent.
- GPRA training and technical assistance will be offered to recipients.
- This information will be included in the semi-annual progress report see Section VI.3.

Application Review Information

- **Evaluation Criteria:** (p.26)
- The Project Narrative describes what you intend to do with your project and includes the Evaluation Criteria in Sections A-E.
- Your application will be reviewed and scored according to your response to the requirements in Sections A-E.
- The Project Narrative (Sections A-E) together may be no longer than **10 pages**.

Application Review Information (cont'd)

- **Evaluation Criteria:** (pp.26-28)
- **SECTION A: Population of Focus and Statement of Need (10 points)**
- **SECTION B: Proposed Implementation Approach (30 points)**
- **SECTION C: Proposed Evidence-Based Service/Practice (25 points)**
- **SECTION D: Staff and Organizational Experience (15 points)**
- **SECTION E: Data Collection and Performance Measurement (20 points)**

- **Make sure to address all the NOFO requirements in the appropriate evaluation section or you won't receive related points.**

Grant Writing Tips

- Ensure that your proposed project in your application meets the grant program's needs.
- READ the Application Guidelines (NOFO) to ensure your project meets the **program's goal, description and review criteria**.
- Know the format requirements
 - Page limits, letters of commitment, budget layouts, assurances
- Read and Follow the Directions
 - The application format is not the place to be creative.
- Make it realistic –
 - Don't apply for the maximum amount unless it's needed.

Common Deficiencies in Applications

- **Common application deficiencies:**
- A list of clearly defined and measurable goals and objectives that relates to the overall project.
- A clear demonstration of cultural competence within the organization as it related to their target population.
- A clear data analysis plan that demonstrates how the organization would utilize the data collected to inform programmatic decisions.
- A complete strategy on how the organization would implement their proposed project/treatment plan.

Questions???

SAMHSA's mission is to reduce the impact of substance abuse and mental illness on America's communities.

Thank You

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