

FY 2023 Notice of Funding Opportunity SAMHSA Treatment Drug Courts – TI-23-007 Pre-Application Webinar

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SAMHSA
Substance Abuse and Mental Health
Services Administration

Agenda

- Programmatic Review of TI-23-007: Grants to Expand Substance Abuse Treatment Capacity in Adult and Family Treatment Drug Courts
 - Jon Berg M.Ed., Criminal Justice Program Coordinator
- Grants Management Presentation
 - Latonya Brown, Senior Grants Management Specialist
 - Sean Aflalo, MPH, Grants Management Specialist
- Data Collection and Performance Measurement
 - Jon Berg M.Ed., Criminal Justice Program Coordinator

Overview of TI-23-007

- **Purpose:** Expand substance use disorder (SUD) treatment and recovery support services in existing drug courts, and, provide a continuum of care for individuals with SUD involved with the courts including prevention, harm reduction, treatment, and recovery services.
- **Population of focus:** Adults diagnosed with a substance use disorder as their primary condition.
- **Due Date:** March 6, 2023, at 11:59 pm ET
- **Available Funding:** Up to \$32.4 million

Overview of TI-23-007

- **Estimated Number of Awards: 81**
(At least 5 awards will be made to tribes/tribal organizations and at least 16 awards will be made to FTDCs, pending sufficient application volume from these groups and the strengths and weaknesses of the application as identified by peer reviewers.)
- **Estimated Award Amount: Up to \$400,000 per year**
- **Length of Project Period: Up to 5 years**
- **Anticipated Project Start Date: 9/30/2023**
- **Anticipated Award Date: 8/31/2023**

Overview of TI-23-007 (cont'd)

- TI-23-007 can be accessed on SAMHSA's website at:
<https://www.samhsa.gov/grants/grant-announcements/ti-23-007>
- You must respond to all of the requirements listed in the NOFO in preparing your application.
- You must use the forms in the application package to complete your application.
- **Note:** Additional materials are available to assist you in completing your application on this posting.

Appendix A – Application and Submission Requirements

- Applicants are required to complete three **(3) registration processes (p.37)**:
 1. System for Award Management (SAM) (p.37);
 2. Grants.gov (p.38); and
 3. eRA Commons. (p.38)
- **If you haven't started these processes, start today.**
- If you have already completed registrations for SAM and Grants.gov, please **ensure that your accounts are up-to-date and/or renewed.**
- Afterwards, register in eRA Commons, in order to have access to electronic submission, receive notifications on the status of your application and retrieve grant information.

Application Submission

- You **must** electronically submit your application using eRA ASSIST or Grants.gov Workspace that will be routed through Grants.gov. (p.43)
- All applications that are successfully submitted must be validated by Grants.gov before proceeding to the NIH eRA Commons system and validations.
- If Grants.gov identifies any errors and rejects your application with a “Rejected with Errors” status, you must address all errors and resubmit through Grants.gov, prior to the application deadline. (p.45)
- **The Project Director MUST have an active eRA Commons account (with the PI role) affiliated with the organization in eRA Commons.**
- The person submitting your application must be properly registered with Grants.gov as the Authorized Organization Representative (AOR) for the specific UEI number cited on the SF-424 (first page). (p.38)

Application Submission (cont'd)

- If no problem is found, Grants.gov will allow the eRA system to retrieve the application and check it against its own agency business rules (eRA Commons Validations). If you use ASSIST to complete your application, you can validate your application and fix errors before submission. (p.45)
- If errors are found during eRA Commons validation, the applicant will receive a System Error and/or Warning notification regarding the problems found in the application. The applicant must take action to make the required corrections and resubmit the application through Grants.gov before the application due date and time. (p.45)
- Applicants are strongly encouraged to **allocate additional time prior to the submission deadline to submit their applications** and to correct errors identified in the validation process. Applicants are also encouraged to check the status of their application submission to determine if the application is complete and error-free. (p.44)

Eligibility

- Eligible applicants are: (p.20)
 - State and local governments (including individual adult treatment drug courts);
 - Federally recognized American Indian/Alaska Native (AI/AN) tribes, tribal organizations, Urban Indian Organizations, and consortia of tribes or tribal organizations;
 - Public or private universities and colleges; and
 - Community- and faith-based organizations.

Eligibility (cont'd)

- Eligible Adult Treatment Drug Court (ATDC) Models include:
 - ATDCs (using the problem-solving model)
 - Driving While Intoxicated (DWI)/Driving Under the Influence (DUI) Courts, Co-Occurring Drug and Mental Health Courts, Veterans Treatment Courts, and Municipal Courts.
 - Adult Tribal Healing to Wellness Courts; and,
 - Family Treatment Drug Courts (FTDC) are eligible to apply.
- Eligible drug courts must be operational on or before April 1, 2023. Operational is defined as a having a set of cases and seeing clients in the drug court.

Eligibility

- **NOT eligible to apply** - grant recipients who received funding in: (p.21)
 - FY 2019 under Grants to Expand Substance Abuse Treatment Capacity in Adult Treatment Drug Courts and Adult Tribal Healing to Wellness Courts (TI-19-002).
 - FY 2019 under Grants to Expand Substance Abuse Treatment Capacity in Family Treatment Drug Courts (TI-19-001)
 - FY 2020, FY 2021, or FY 2022 under Grants to Expand Substance Abuse Treatment Capacity in Adult and Family Treatment Drug Courts (TI-20-003 and TI-22-010)

Questions?

Required Activities

- **If an applicant is not a drug court**, or the government entity applying on behalf of the drug court (e.g., state, county, or local government), you **must** submit in **Attachment 11** a **Memorandum of Understanding (MOU)** with the drug court(s), signed by the applicant and the Drug Court Administrator and judge(s), that: (pp.11-12)
 - Describes how the applicant will coordinate directly with the drug court(s) for which funding is being sought and clearly delineate the authority, responsibility, and roles of court staff and grant recipient staff for implementing the grant program goals and objectives, especially related to delivery of SUD treatment, harm reduction, and recovery support services.
 - Reflects an agreement that the applicant will serve as the fiscal agent.

Required Activities

- **MOU requirements continued: (If an applicant is not a drug court)**
 - Describes how the applicant and the drug court(s) will partner to ensure the collecting of GPRA data requirements at the three (3) points of collection.
 - Describes the roles and responsibilities of the Project Director, including:
 - That the Project Director is an active member of drug court team. The minimum 0.20 FTE level of effort dedicated to the program may be paid by the court (in-kind) or through contract with the grant recipient.
 - Confirmation that the Project Director meets the requirements outlined under Key Personnel.

Required Activities

- MOU requirements continued: **(If an applicant is not a drug court)**
 - Addresses the overall objectives of the drug court program and affirms commitment to the implementation of the evidence-based program principles included in the National Association of Drug Court Professionals (NADCP) key components and drug court best practice standards.
 - Demonstrates that the drug court(s) has/have sufficient referral sources for participants for the drug court(s) that meet the outlined client requirements so the applicant can meet the identified client target numbers.
- **NOTE: If the MOU is not responsive to each requirement, the application will be screened out and not be reviewed.**
- Only one Project Director is required. The Project Director can either be an employee of the recipient or the court.

Evidence of Experience and Credentials - Attachment 1

Applicants must meet three additional requirements related to the provision of services: (p.22)

- A provider organization for direct client substance use disorder/mental health treatment services, appropriate to the award, must be involved in the proposed project. The provider may be the applicant, or another organization committed to the project. More than one provider organization may be involved.
- Each mental health/substance use disorder treatment provider organization must have at least two years of experience (as of the due date of the application) providing relevant services (official documents must establish that the organization has provided relevant services for the last two years).
- Each mental health/substance use disorder treatment provider organization must comply with all applicable local (city, county) and state licensing, accreditation, and certification requirements, as of the due date of the application.

Judicial Letter of Commitment/*Certification* - Attachment 9

- Applicants must submit Letters of Commitment from each partnering/collaborating ATDC, Adult Tribal Healing to Wellness Courts, and/or FTDC judge(s) stating they intend to meet the award requirements, including reporting requirements and the use of MAT. (pp.26-27)
- The letters must specify that the drug court judge(s) will meet the specific requirements outlined on pages 8-11, and 27.

Required Activities

- Applicants must indicate the total number of unduplicated individuals that will be served each year of the grant and over the total project period. You are expected to achieve the numbers that are proposed. SAMHSA expects drug court recipients to serve a minimum of 40 clients per year (a minimum of 35 clients per year for FTDCs). (p. 9)
- Applicants proposing to serve fewer than the minimum number of clients per year:
 - Must provide a justification in [Section B: Proposed Implementation Approach](#) that details why they cannot meet the minimum expectation.
 - Should consider applying for less than the maximum award amount of up to \$400,000 per year. Applicants are encouraged to apply only for the grant amount which they can reasonably expend based on the activities proposed in their application, including the number of clients they propose to serve annually.

Required Activities (cont'd)

- **Grant funds must be used primarily to support direct services. This includes the following activities: (pp.10-11)**
- Screen and assess clients for the presence of SUD and/or co-occurring substance use and mental disorders and use the information obtained from the screening and assessment to develop appropriate harm reduction, treatment, and/or recovery interventions and treatment plans.
- Screen for infectious diseases for which those with SUDs are at high risk, including HIV and viral hepatitis (hepatitis A, B, and C), with appropriate referral and follow-up to assure treatment if screening tests indicate infection, and vaccination for those infectious diseases for which a vaccine is available.
- Provide evidence-based and population appropriate treatment services to meet the unique needs of diverse populations at risk. Treatment services must include the use of FDA-approved medications in the treatment of opioid use disorder and medication management, outpatient, day treatment (including outreach-based services), intensive outpatient, or residential programs.

Required Activities (cont'd)

- **Grant funds must be used primarily to support direct services. This includes the following activities: (pp. 10-11)**
- Provide recovery support services (e.g., recovery housing, peer support services, childcare, supported employment, skills training and development, and transportation services) that provide emotional and practical support to maintain client/participant remission.
- Provide comprehensive case management plans that directly address risks for recidivism, as determined by validated risk assessments, and include delivery or facilitation of services to appropriate clients, including substance use and cognitive behavioral interventions, to address needs and reduce those risks.

Required Activities (cont'd)

- **Grant funds must be used primarily to support direct services. This includes the following activities: (pp. 10-11)**
- Implement the key components of the drug court model for the drug court(s) you are applying for. (p.11)
 - Refer to Appendices M, N, O for specific drug court standards.
 - In Section B of the Project Narrative, applicants must describe how they will meet the key components of the drug court model(s) for which they are proposing to expand treatment for . . .
- Provide language access services (to include interpretation, translation, disability accommodations, and accessibility) to support required activities as applicable.

Required Activities (cont'd)

- **Requirements for Family Drug Courts: (p.11)**
 - Family drug court grant recipients must:
 - Provide specific services and supports related to the preservation of the family, including but not limited to, family counseling and family recovery support services.
 - Collaborate with community partners that are trained and can serve diverse populations to provide comprehensive services (e.g., child welfare agencies as referral sources, and other agencies that can address the needs of children).

Allowable Activities

- **Allowable activities may include:** (pp.12-13)
- Develop and implement tobacco cessation programs, activities, and/or strategies.
- Provide recovery housing for program participants.
- Provide activities that address behavioral health disparities and the social determinants of health.
- Provide training on behavioral health implementation for the national CLAS standards to service providers to increase awareness . . .
- Implement efforts aligned to the award that may expand diversity equity, inclusion, and accessibility.

Evidence-Based Practices

- SAMHSA's awards are intended to fund services or practices that have a demonstrated evidence base and that are appropriate for the population(s) of focus. (p.14)
- While SAMHSA realizes that EBPs have not been developed for all populations and/or service settings, application reviewers will closely examine proposed interventions for evidence base and appropriateness for the population of focus.
- If an EBP(s) exists for the population(s) of focus and types of problems or disorders being addressed, the expectation is that EBP(s) will be utilized.
- If one does not exist but there are evidence-informed and/or culturally promising practices that are appropriate or can be adapted, these interventions may be implemented in the delivery of services.

Questions?

Data Collection and Performance Measurement

- All SAMHSA recipients are required to collect and report certain data so that SAMHSA can meet its obligations under the Government Performance and Results (GPRA) Modernization Act of 2010. (pp.14-16)
- You must document your plan for data collection and reporting in your Project Narrative in response to [Section E: Data Collection and Performance Measurement](#) in Section V of this NOFO.
- No more than 20 percent of the total grant award for the budget period may be used for data collection, performance measurement, and performance assessment, including incentives for participating in the required data collection follow-up. (p.29)

Data Collection and Performance Measurement (cont'd)

- Information will be gathered using a uniform data collection tool provided by SAMHSA.
- Recipients are required to submit data via SAMHSA's Performance Accountability and Reporting System (SPARS); and access will be provided upon award. An example of the required data collection tool (i.e., National Outcome Measures (NOMs) or NOMS client level services tool) can be accessed through a link on page 15.
- Recipients must periodically review the performance data they report to SAMHSA, assess their progress, and use this information to improve the management of their project. Recipients are also required to report on their progress semi-annually. (pp.15-16)

Data Collection and Performance Measurement (cont'd)

- **Recipients are required to report performance for the following measures: (pp.13-14)**
 - Number of Individuals Served
 - Diagnosis
 - Abstinence from Substance Use
 - Housing Stability
 - Employment/Education Status
 - Social Connectedness
 - Criminal Justice Involvement
 - Access to Treatment; Treatment(s) Provided; Retention in Treatment
 - Health/behavioral/social consequences

Data Collection and Performance Measurement (cont'd)

- Data will be collected via an interview using this tool at three data collection points **at baseline (i.e., the client's entry into the project), discharge, and six months post baseline.** (p.15)
- Recipients will be expected to do a GPRA interview on all clients for their specified unduplicated target number and are also expected to achieve a six-month follow-up rate of 80 percent.
- GPRA training and technical assistance will be offered to recipients.
- This information will be included in the semi-annual progress report see [Section VI.3](#).

Data Collection and Performance Measurement (cont'd)

- **FTDC recipients** will also be required to collect and report data on the children of parents and other family members participating in the FTDC, as well as family functioning outcomes such as: (p.14)
- Number and type of services provided to children and additional family members.
- Number of children placed in out of home care.
- Re-entries to out of home care/foster care.
- Number of children reunited with parents after being removed from the home and placed in temporary placement.

Application Review Information

- **Evaluation Criteria:** (p.30)
- The Project Narrative describes what you intend to do with your project and includes the Evaluation Criteria in Sections A-E.
- Your application will be reviewed and scored according to your response to the requirements in Sections A-E.
- The Project Narrative (Sections A-E) together may be no longer than **10 pages**.

Application Review Information (cont'd)

- **Evaluation Criteria:** (pp.30-32)
- **SECTION A: Population of Focus and Statement of Need (10 points)**
- **SECTION B: Proposed Implementation Approach (30 points)**
- **SECTION C: Proposed Evidence-Based Service/Practice (25 points)**
- **SECTION D: Staff and Organizational Experience (15 points)**
- **SECTION E: Data Collection and Performance Measurement (20 points)**

- **Make sure to address all the NOFO requirements in the appropriate evaluation section or you won't receive related points.**

Grant Writing Tips

- Ensure that your proposed project in your application meets the grant program's needs.
- READ the Application Guidelines (NOFO) to ensure your project meets the **program's goal, description and review criteria.**
- Know the format requirements
 - Page limits, letters of commitment, budget layouts, assurances
- Read and Follow the Directions
 - The application format is not the place to be creative.
- Make it realistic –
 - Don't apply for the maximum amount unless it's needed.

Common Deficiencies in Drug Court Applications

- A list of clearly defined and measurable goals and objectives that relates to the overall project.
- A clear demonstration of cultural competence within the organization as it related to their target population.
- A clear data analysis plan that demonstrates how the organization would utilize the data collected to inform programmatic decisions.
- A complete strategy on how the organization would implement their proposed project/treatment plan.

Questions???

SAMHSA's mission is to reduce the impact of substance abuse and mental illness on America's communities.

Thank You

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